

# Puzzles and Microstructures of Black Holes

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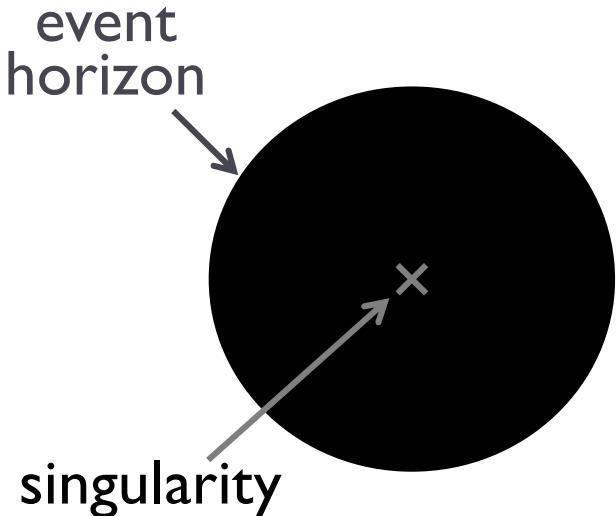
# Plan

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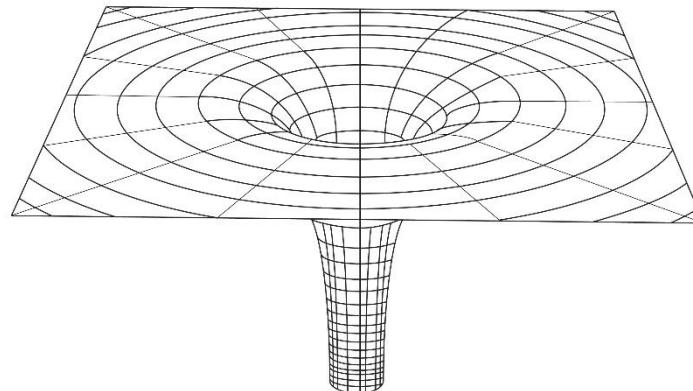
- ▶ BH microstates
- ▶ Microstate geometries
- ▶ Fuzzball conjecture &  
microstate geom program
- ▶ Microstate geom in 5D
- ▶ Microstate geom in 6D
- ▶ Conclusions

# Black hole microstates

# Black holes



- ▶ Solution to Einstein equations
- ▶ Boundary of no return:  
event horizon
- ▶ Spacetime breaks down at  
spacetime singularity



# BH entropy puzzle

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- ▶ BH entropy:

$$S_{\text{BH}} = \frac{A}{4G_N}$$



➡ Stat mech:  $N_{\text{micro}} = e^{S_{\text{BH}}}$

— ***Where are the microstates?***

- ▶ Uniqueness theorems
- ▶ Need quantum gravity?

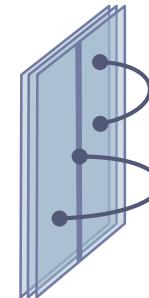
# AdS/CFT correspondence

string theory / gravity  
in AdS space

quantum field theory  
(CFT)

black hole

thermodyn.  
ensemble

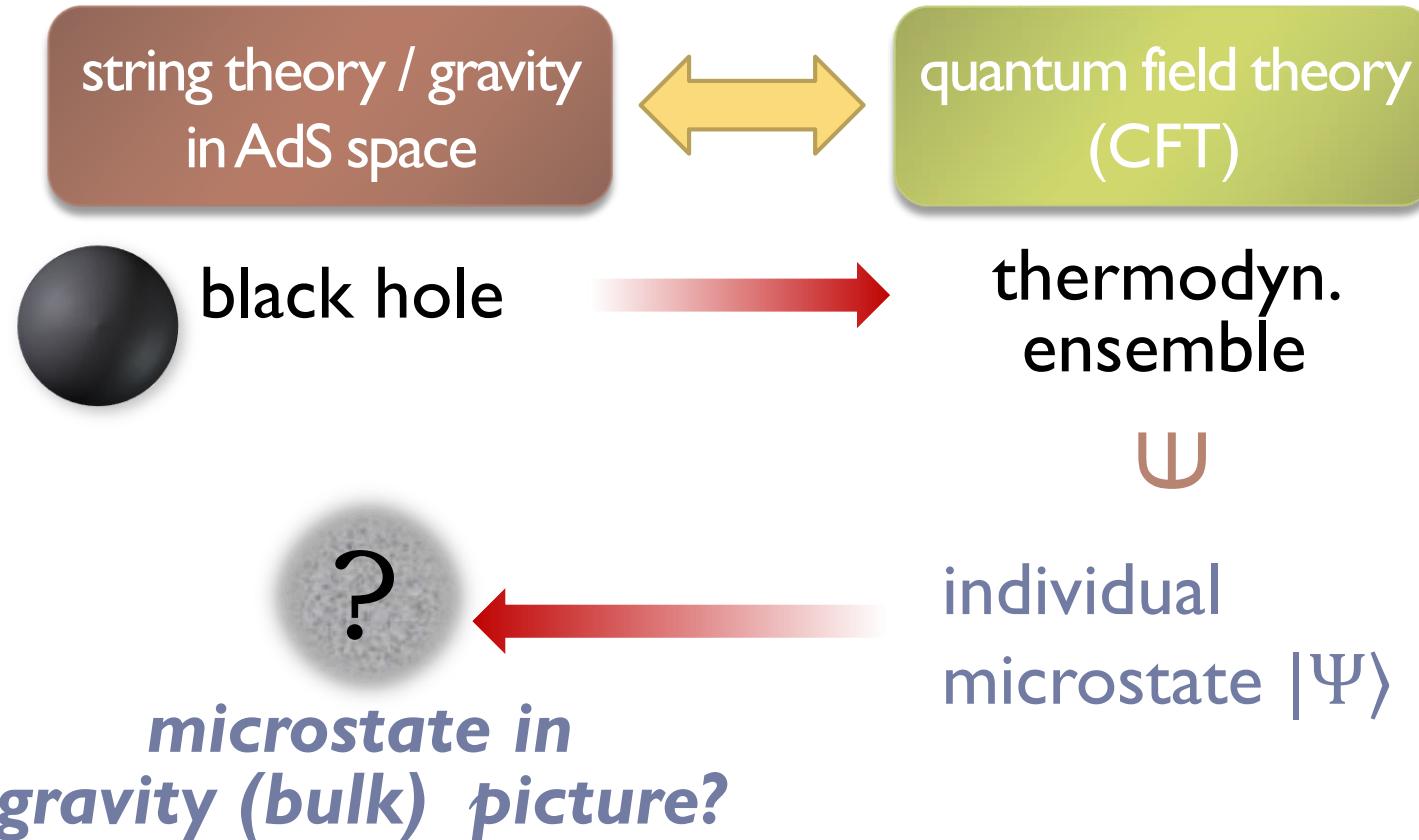


$$S_{\text{BH}} = \frac{A}{4G_N} \quad ! \quad = \quad S_{\text{CFT}} = \log N_{\text{micro}}$$

[Strominger-Vafa '96]

→ Stat mech interpretation of BH put on firm ground

# BH microstates



- ▶ Must be a state of quantum gravity / string theory in general

## Summary:

We want gravity picture  
of BH microstates!

# Microstate geometries

# Are examples of gravity microstates known?

– Yes!

We know examples of microstates called microstate geometries.

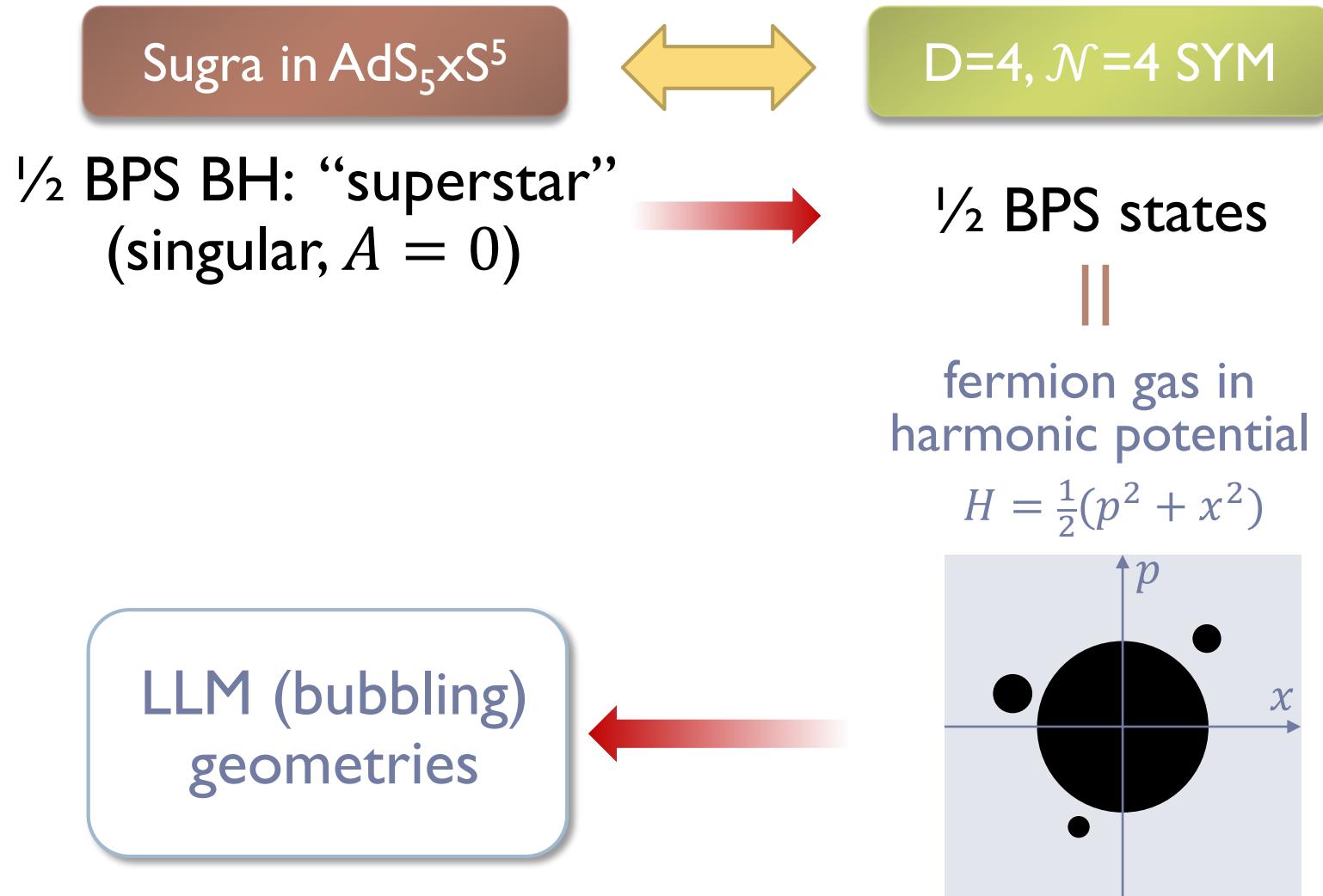


- ▶ Solution of *classical* gravity
- ▶ Has same mass & charge as the BH
- ▶ Smooth & horizonless

# Example I: LLM geometries

[Lin-Lunin-Maldacena 2004]

# LLM geometries (1)

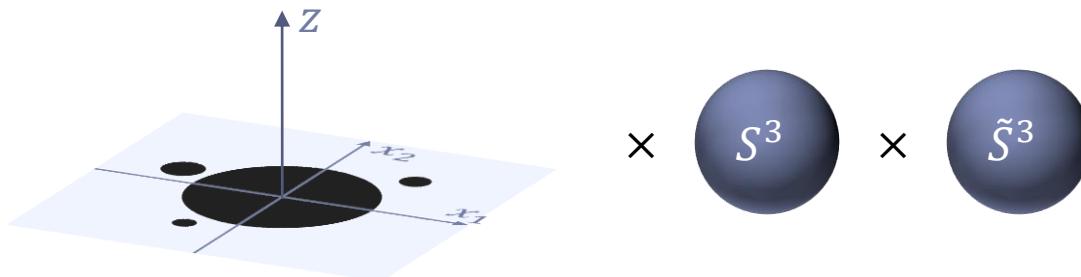


# LLM geometries (2)

$$ds^2 = -h^{-2}(dt + V)^2 + h^2(dy^2 + dx_1^2 + dx_2^2) + ye^G d\Omega_3^3 + ye^{-G} d\tilde{\Omega}_3^2$$

$$h^{-2} = 2y \cosh G \quad e^{2G} = \frac{1/2 + z}{1/2 - z}$$

$$[\partial_1^2 + \partial_2^2 + y\partial_y(y^{-1}\partial_y)]z(x_1, x_2, y) = 0$$



- ▶ LLM diagram encodes how  $S^3$ 's shrink
  - ▶ Smooth horizonless geometries
  - ▶ Non-trivial topology supported by flux
  - ▶ 1-to-1 correspondence with coherent states in CFT
- } no uniqueness  
thm in 10D

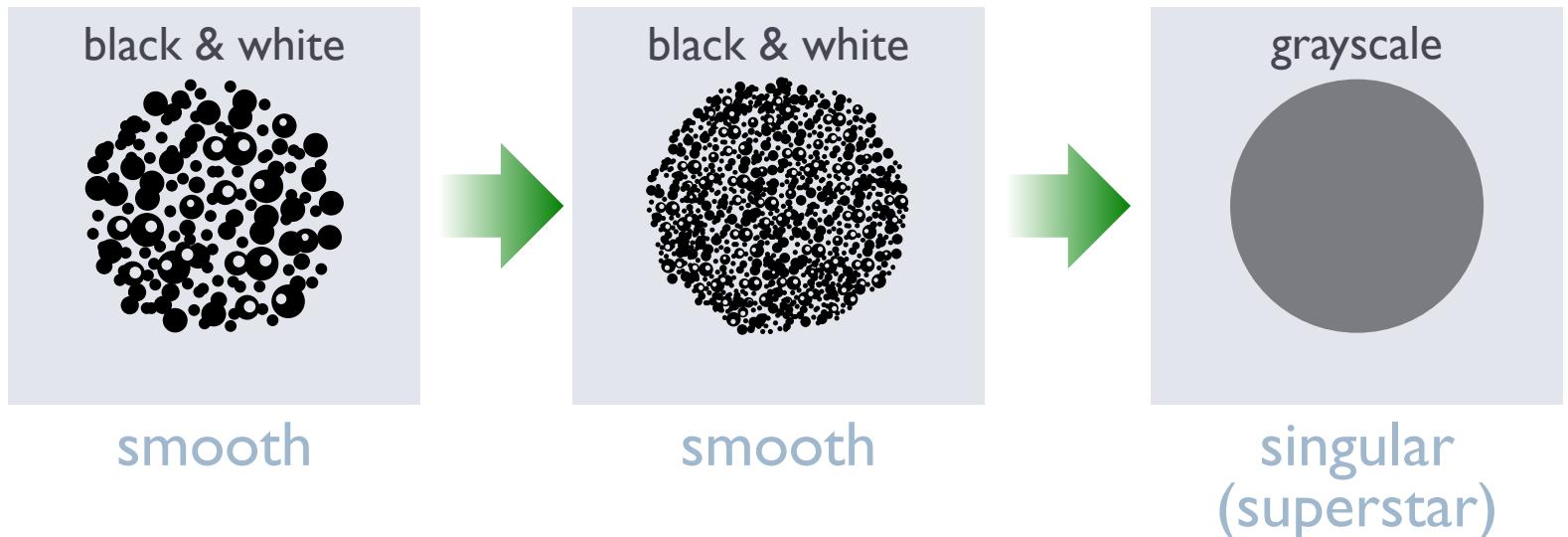
# Classical limit

How is naive singular geometry (superstar) recovered?

- ▶ Bubble area quantized

$$(\text{area}) = 4\pi^2 l_p^4 N, \quad h = 4\pi^2 l_p^4$$

- ▶ Classical limit:  $l_p \rightarrow 0, N \rightarrow \infty$



# Example 2: LM geometries

[Lunin-Mathur 2001]

[Lunin-Maldacena-Maoz 2002]

# LM geometries (1)

Sugra in  $\text{AdS}_3 \times S^3$



D=2,  $\mathcal{N}=(4,4)$  CFT

2-charge BH  
(singular,  $A = 0$ )



$N_1$  D1-branes

$N_2$  D5-branes

1/2 BPS states



free bosons in 2D

“LM geometries”



Parametrized by  
integers

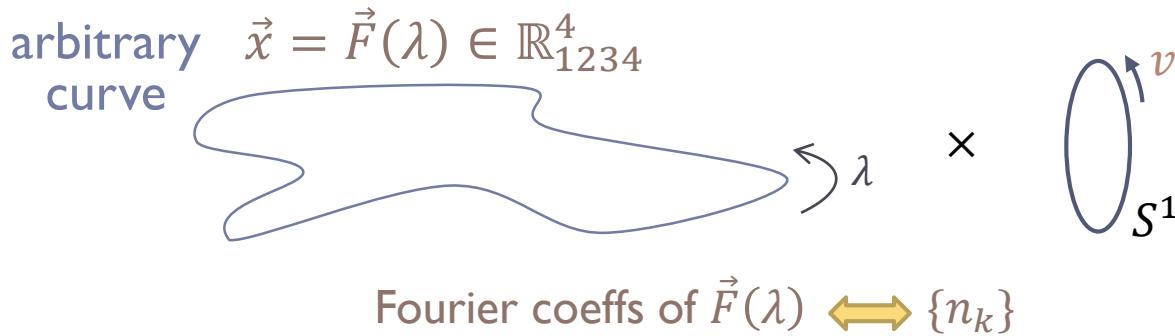
$n_1, n_2, n_3, \dots$

$$\sum_k k n_k = N_1 N_2$$

# LM geometries (2)

$$ds^2 = -\frac{2}{\sqrt{Z_1 Z_2}}(dv + \beta)(du + \omega) + \sqrt{Z_1 Z_2}dx_{1234}^2 + \sqrt{Z_1/Z_2}dx_{6789}^2$$

$$Z_1(\vec{x}) = 1 + \frac{Q_2}{L} \int_0^L \frac{|\dot{\vec{F}}|^2 d\lambda}{|\vec{x} - \vec{F}(\lambda)|^2}, \quad Z_2(\vec{x}) = 1 + \frac{Q_2}{L} \int_0^L \frac{d\lambda}{|\vec{x} - \vec{F}(\lambda)|^2} \quad \dots$$

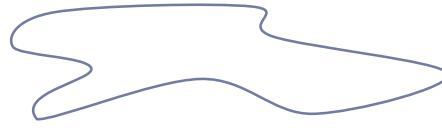


- ▶ LM curve encodes how  $S^1$  shrinks
- ▶ Smooth horizonless geometries supported by flux
- ▶ 1-to-1 correspondence with CFT states:  $\vec{F}(\lambda) \leftrightarrow \{n_k\}$
- ▶ Entropy reproduced geometrically:  $S \sim \sqrt{N_1 N_2}$

# Classical limit

How is naive singular geometry recovered?

smooth



$\mathcal{R} \sim g_s^{1/3} l_s^{1/3} (Q_1 Q_2)^{1/6}$   
 $\sim g_s^{2/3} l_s (N_1 N_2)^{1/6}$



singular

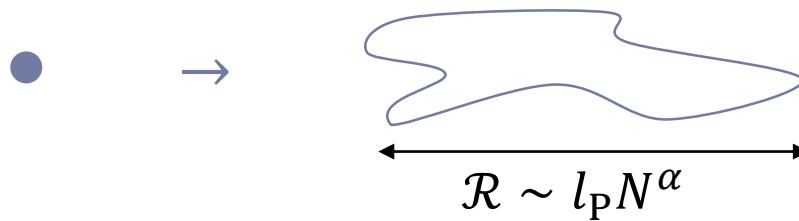

$$g_s \rightarrow 0, l_s \rightarrow 0,$$
$$N_{1,2} \rightarrow \infty$$

Fix  $Q_{1,2} \sim g_s l_s^2 N_{1,2}$

## Summary:

Some BH microstates are represented by *microstate geometries*.

- Naive BH solutions are replaced by bubbling geometries with *finite spread*.

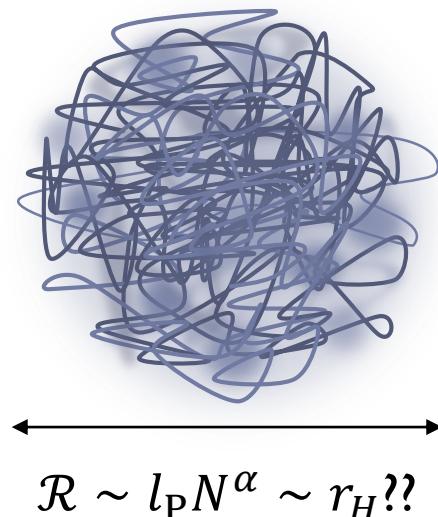


(but recall  $A = 0$  so far)

# Fuzzball conjecture & microstate geometry program

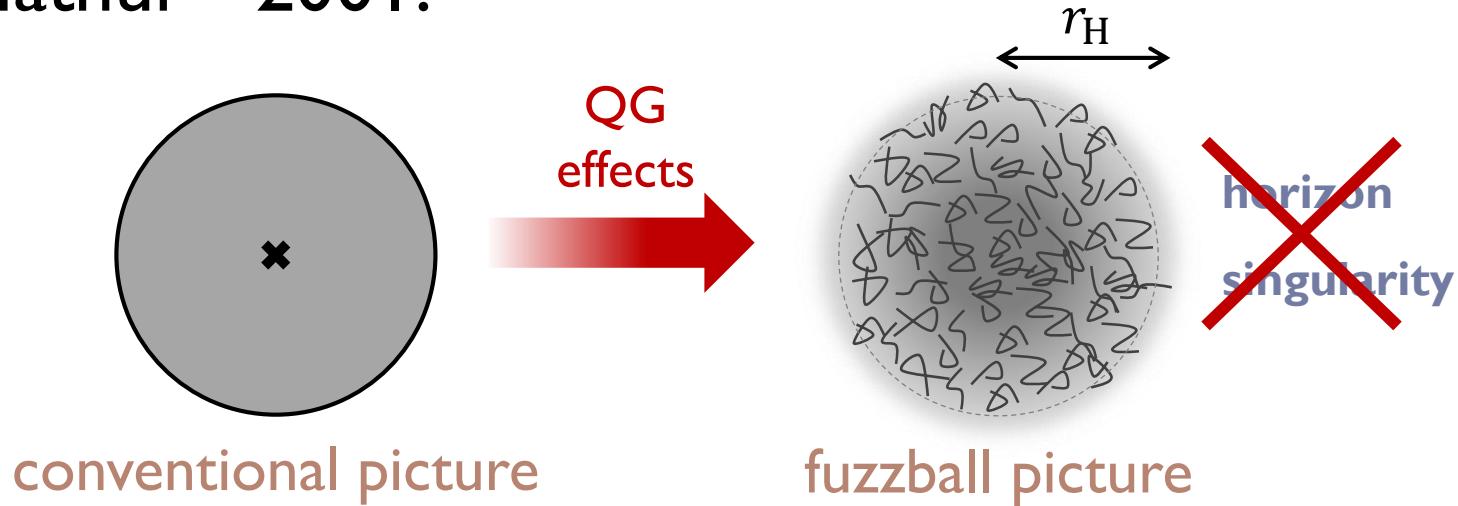
# Maybe the same is true for genuine black holes?

— BH microstates are some stringy  
configurations *spreading over a wide distance*?



# Fuzzball conjecture

► Mathur ~2001:



- BH microstates = QG/stringy “fuzzballs”
- No horizon, no singularity
- Spread over horizon scale

# Sugra fuzzballs (1)

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## **Are fuzzballs describable in sugra?**

- ▶ Unlikely in general
  - General fuzzballs must involve all string modes
  - Massive string modes are not in sugra



- ▶ Hope for supersymmetric states
  - Massive strings break susy
    - Only massless (sugra) modes allowed?
  - “Example”: MSW (wiggling M5)  
[Maldacena+Strominger+Witten 1997]

# Sugra fuzzballs (2)

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## ***Are supersymmetric states any good?***

- ▶ More tractable
  - First order PDEs
- ▶ Can tell us about mechanism
  - Mechanism for horizon-sized structure
- ▶ String theory objects are locally susy

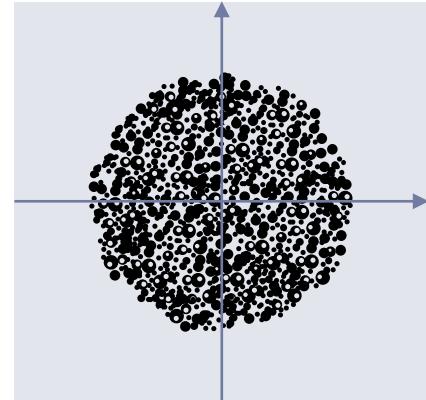
# Sugra fuzzballs (3)

## Caveats:

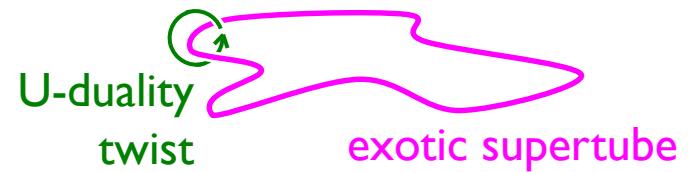
- ▶ Generic states have large curvature
  - Higher derivative corrections nonnegligible
  - But qualitative picture must be robust;  
DoF must be the same (cf. LLM)

## ▶ Non-geometries

- Non-geometric microstates possible [Park+MS 2015]
- Need to extend framework (DFT, EFT)



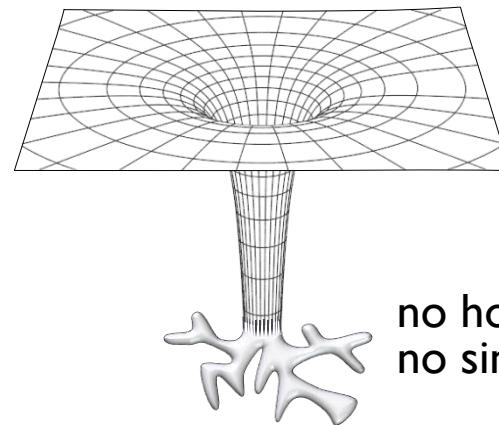
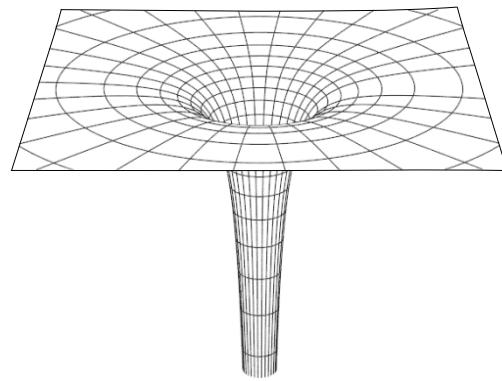
smooth, but  
curvature large





# Microstate geometry program:

*What portion of the BH entropy  
of (supersymmetric) BHs is accounted for  
by smooth, horizonless solutions of classical sugra?*



no horizon,  
no singularity

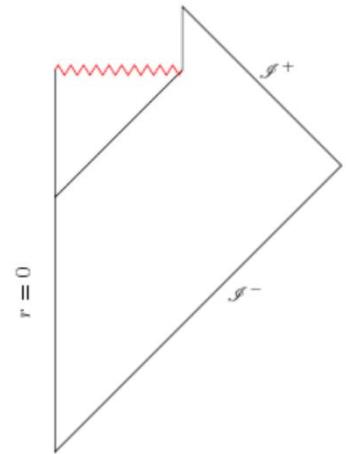
# Comment: bottom-up vs. top-down

**[Mathur '09]**  $O(1)$  deviation from flat space is needed for Hawking radiation to carry information

- Based on Q info (strong subadditivity)

**[AMPS '12]** “Firewall”

- Same result, same Q info (monogamy etc.)



These arguments are “bottom-up”

→ Mechanism to support finite size not explained



Microstate geometry program is “top-down”

→ Finite size supported by topology with fluxes

# Microstate geometries in 5D

# Setup

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- ▶  $D = 5, \mathcal{N} = 1$  sugra with 2 vector multiplets

gauge fields:  $A_\mu^I, I = 1,2,3.$   $F^I \equiv dA^I.$

scalars:  $X^I, X^1X^2X^3 = 1$

- ▶ Action

$$S_{\text{bos}} = \int (*_5 R - Q_{IJ} dX^I \wedge *_5 dX^I - Q_{IJ} F^I \wedge *_5 F^J - \frac{1}{6} C_{IJK} F^I \wedge F^J \wedge A^K)$$

  
Chern-Simons interaction

$$C_{IJK} = |\epsilon_{IJK}|, \quad Q_{IJ} = \frac{1}{2} \text{diag}(1/X^1, 1/X^2, 1/X^3)$$

# 11D interpretation

- ▶ M-theory on  $T_{56789A}^6$

$A = 10$

$$ds_{11}^2 = ds_5^2 + X^1(dx_5^2 + dx_6^2)$$

$$+ X^2(dx_7^2 + dx_8^2) + X^3(dx_9^2 + dx_A^2)$$

$$\mathcal{A}_3 = \underbrace{A^1 dx_5 \wedge dx_6}_{\text{M2(56)}} + \underbrace{A^2 dx_7 \wedge dx_8}_{\text{M2(78)}} + \underbrace{A^3 dx_9 \wedge dx_A}_{\text{M2(9A)}}$$
$$\updownarrow \qquad \updownarrow \qquad \updownarrow$$
$$\text{M5}(\lambda 789A) \qquad \text{M5}(\lambda 569A) \qquad \text{M5}(\lambda 5678)$$

# BPS solutions

[Gutowski-Reall '04] [Bena-Warner '04]

## ► Require susy

$$ds_5^2 = -Z^{-2}(dt + k)^2 + Z \overbrace{ds_4^2}^{4\text{D base } \mathcal{B}^4 \text{ (hyperkähler)}}$$

$$A^I = \underbrace{-Z_I^{-1}(dt + k)}_{\text{elec}} + \underbrace{B^I}_{\text{mag}}, \quad dB^I = \Theta^I$$

$$Z = (Z_1 Z_2 Z_3)^{1/3}; \quad X^1 = \left(\frac{Z_2 Z_3}{Z_1^2}\right)^{1/3} \text{ and cyclic}$$

All depends only on  $B_4$  coordinates

## ► Linear system

$$\Theta^I = *_4 \Theta^I,$$

$$\nabla^2 Z_I = C_{IJK} *_4 (\Theta^J \wedge \Theta^K)$$

$$(1 + *_4)dk = Z_I \Theta^I$$

# Sol'ns with $U(1)$ sym

[Gutowski-Gauntlett '04]

Solving eqs in general is difficult.

Assume  $U(1)$  symmetry in  $\mathcal{B}^4$

 flat  $\mathbb{R}^3$

$$ds_4^2 = V^{-1}(d\psi + A)^2 + V \underbrace{(dy_1^2 + dy_2^2 + dy_3^2)}_{\text{flat } \mathbb{R}^3},$$

(Gibbons-Hawking space)

$V$  is harmonic in  $\mathbb{R}^3$ :

$$V = v_0 + \sum_p \frac{v_p}{|\mathbf{r} - \mathbf{r}_p|}$$

# Complete solution

All eqs solved in terms of harmonic functions in  $\mathbb{R}^3$ :

$$H = (V, K^I, L_I, M), \quad H = h + \sum_p \frac{Q_p}{|\mathbf{r} - \mathbf{r}_p|}$$

$$\Theta^I = d\left(\frac{K^I}{V}\right) \wedge (d\psi + A) - V *_3 d\left(\frac{K^I}{V}\right)$$

$$Z_I = L_I + \frac{1}{2V} C_{IJK} K^J K^K$$

$$k = \mu(d\psi + A) + \omega$$

$$\mu = M + \frac{1}{2V} K^I L_I + \frac{1}{6V^2} C_{IJK} K^I K^J K^K$$

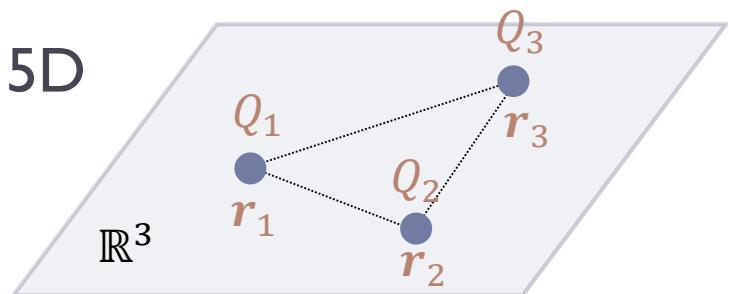
$$*_3 d\omega = V dM - M dV + \frac{1}{2} (K^I dL_I - L_I dK^I)$$

# Multi-center solution

$$H = (V, K^I, L_I, M), \quad H = h + \sum_p \frac{Q_p}{|\mathbf{r} - \mathbf{r}_p|}$$

KK monopole      mag (M5)      elec (M2)      KK momentum along  $\psi$

- ▶ Multi-center config of BHs & BRs in 5D
- ▶ Positions  $\mathbf{r}_p$  satisfy “bubbling eq”  
(force balance)
- ▶ Reducing on  $\psi$  gives 4D BHs  
(same as Bates-Denef 2003)

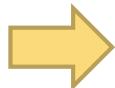


# Microstate geometries (1)

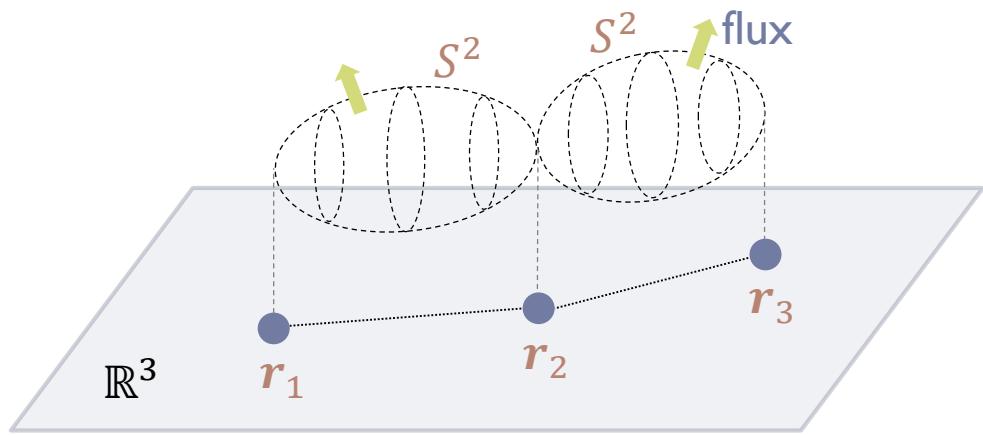
Tune charges:

$$l_p^I = -\frac{C_{IJK}}{2} \frac{k_p^J k_p^K}{v_p}$$

$$m_p = \frac{C_{IJK}}{12} \frac{k_p^I k_p^J k_p^K}{v_p^2}$$



*Smooth horizonless solutions*  
[Bena-Warner 2006] [Berglund-Gimon-Levi 2006]

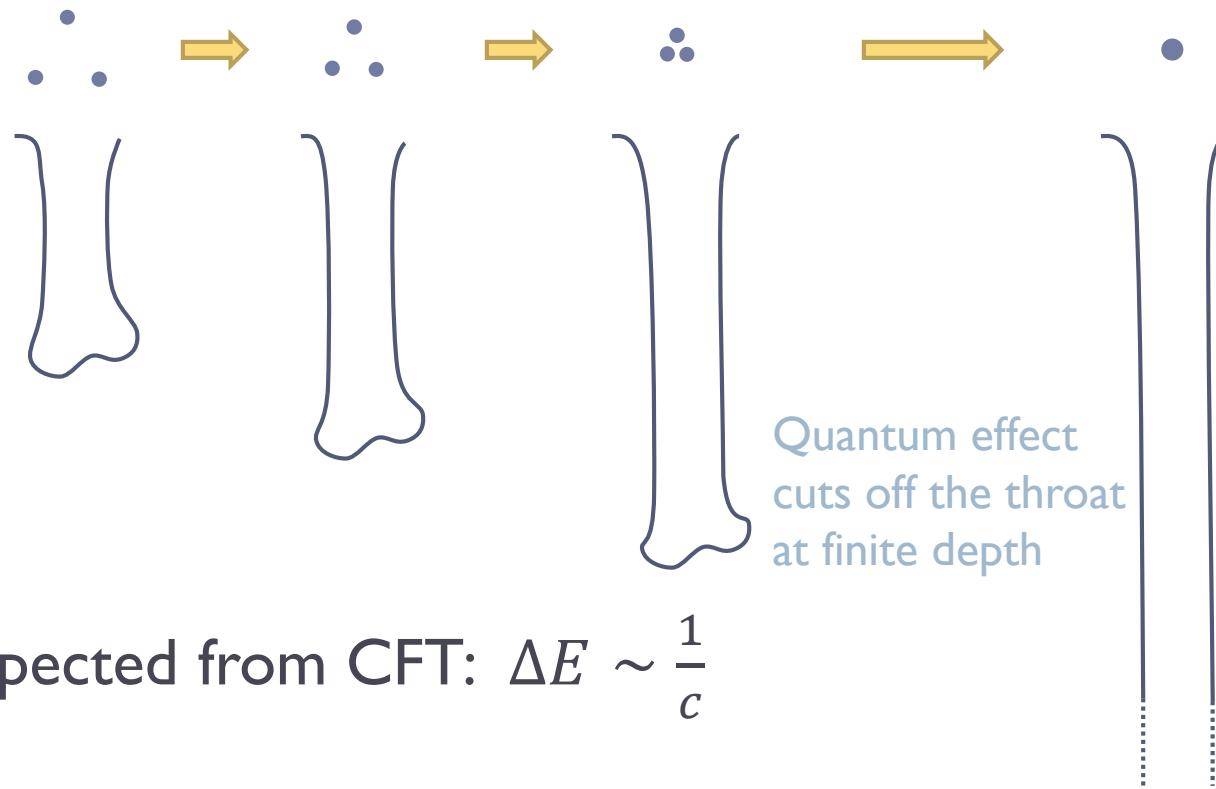


- ▶ Microstate geometries for 5D (and 4D) BHs ☺
  - Same asymptotic charges as BHs
- ▶ Topology & fluxes support the soliton
- ▶ Mechanism to support horizon-sized structure!

# Microstate geometries (2)

- ▶ Various nice properties 😊

- ▶ Scaling solutions [BW et al., 2006, 2007]



- ▶ Gap expected from CFT:  $\Delta E \sim \frac{1}{c}$

# The real question:

***Are there enough?***

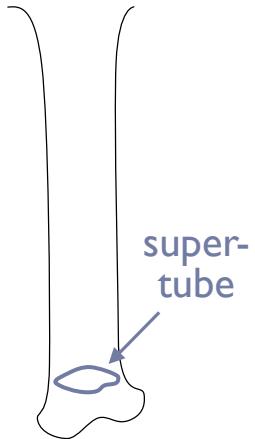
- ▶ 3-chage sys (+ fluctuating supertube)

- ▶ Entropy enhancement mechanism [BW et al., 2008]  
→ Much more entropy?

- ▶ An estimate [BW et al., 2010]

$$S \sim Q^{\frac{5}{4}} \ll Q^{\frac{3}{2}}$$

*Parametrically  
smaller ☹*



- ▶ 4-chage sys [de Boer et al., 2008-09]

- ▶ Quantization of D6- $\overline{\text{D}6}$ -D0 config → *much less entropy ☹*

## Summary:

We found microstate geometries  
for genuine BHs,  
but they are *too few*.

Possibilities:

- A) Sugra is not enough
- B) Need more general ansatz  this talk

# Microstate geometries in 6D

# New hope

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- ▶ 5D microstate geometries are not enough
- ▶ String theory and AdS/CFT suggest:
  - ▶ There are solutions fluctuating along 6<sup>th</sup> direction
  - ▶ They are parametrized by functions of  $\geq 2$  variables

**“superstratum”**

[Bena, de Boer, Warner, MS 2010–14]



Look for superstrata in 6D sugra!

Can use  $\text{AdS}_3/\text{CFT}_2$  as guide:

IIB on  $\text{AdS}_3 \times S^3 \times T^4 \iff$  2D CFT (D1-D5 CFT)

# 6D sugra

---

- ▶ 6D  $\mathcal{N} = 2$  sugra with a vector multiplet
- ▶ Bosonic fields
  - ▶ Metric  $g_{\mu\nu}$
  - ▶ Dilaton  $\phi$
  - ▶ 2-form  $B_2$ , field strength  $G_3 = dB_2$
- ▶ IIB on  $T^4_{6789}$ :

D1(5)       $\rightarrow$  I-brane coupled to  $B_2$

D5(56789)  $\rightarrow$  I-brane coupled to  $\tilde{B}_2$

# Susy sol'n (1): Base [Bena-Giutso-MS-Warner '11]

6D spacetime:  $(u, v, x^m)$

$u$ : isometry,  $v \sim x^5$   
 $x^m$ : 4D base

- ▶ 4D base  $\mathcal{B}^4(v)$  : almost hyper-Kähler

$$ds_4^2 = h_{mn}(x, v) dx^m dx^n, \quad m, n = 1, 2, 3, 4$$

$\beta(x, v)$ : 1-form ( $\leftrightarrow$  KKM)

$J^{(A)}(x, v)$ ,  $A = 1, 2, 3$  : almost HK 2-forms

$$J^{(A)m}{}_n J^{(B)n}{}_p = \epsilon^{ABC} J^{(C)m}{}_p - \delta^{AB} \delta_p^m$$

$$d_4 J^{(A)} = \partial_v (\beta \wedge J^{(A)}), \quad D \equiv d_4 - \beta \wedge \partial_v$$

# Susy sol'n (2): Fields

## ► Fields on $\mathcal{B}^4$

$Z_1$ : scalar  $\leftrightarrow D1(v)$

$Z_2$ : scalar  $\leftrightarrow D5(v6789)$

$\Theta_1$ : 2-form  $\leftrightarrow D1(\lambda)$

$\Theta_2$ : 2-form  $\leftrightarrow D5(\lambda6789)$

$\omega$ : 1-form  $\leftrightarrow J$

$\mathcal{F}$ : scalar  $\leftrightarrow P(v)$

## ► 6D fields

$$ds_6^2 = \frac{2}{\sqrt{Z_1 Z_2}} (dv + \beta) \left( du + \omega + \frac{1}{2} \mathcal{F}(dv + \beta) \right) - \sqrt{Z_1 Z_2} ds_4^2$$

$$G_3 = d[-\frac{1}{2} Z_1^{-1} (du + \omega) \wedge (dv + \beta)] + \frac{1}{2} *_4 (DZ_2 + \dot{\beta} Z_2) + (dv + \beta) \wedge \Theta_1$$

$$e^{\sqrt{2}\phi} = \sqrt{Z_1/Z_2}$$

# Susy sol'n (3): Linear structure

## ► First layer ( $Z, \Theta$ )

$$D *_4 (DZ_I + \dot{\beta} Z_I) + 2D\beta \wedge \Theta_J = 0 \quad \{I,J\} = \{1,2\}$$

$$D\Theta_J - \dot{\beta} \wedge \Theta_J - \partial_\nu \left[ \frac{1}{2} *_4 (DZ_I + \dot{\beta} Z_I) \right] = 0 \quad \cdot \equiv \partial_\nu$$

## ► Second layer ( $\mathcal{F}, \omega$ )

$$\begin{aligned} *_4 D *_4 L &= \dot{Z}_1 \dot{Z}_2 + \ddot{Z}_1 Z_2 + Z_1 \ddot{Z}_2 + \frac{1}{2} \partial_\nu (Z_1 Z_2) h^{mn} \dot{h}_{mn} \\ &\quad + \frac{1}{2} Z_1 Z_2 \left( h^{mn} \ddot{h}_{mn} - \frac{1}{2} h^{mn} \dot{h}_{np} h^{pq} \dot{h}_{qm} \right) - 2 \dot{\beta}_m L^m - 2 *_4 (\Theta_1 \wedge \Theta_2 - \hat{\psi} \wedge D\omega) \end{aligned}$$

$$(1 + *_4) D\omega = 2(Z_1 \Theta_1 + Z_2 \Theta_2) - \mathcal{F} D\beta - 4Z_1 Z_2 \hat{\psi}$$

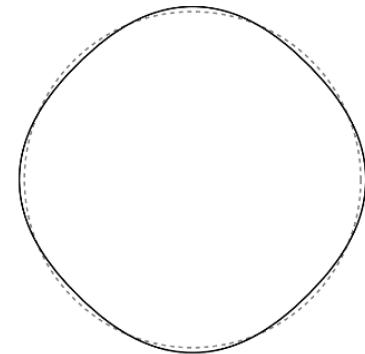
$$L \equiv \dot{\omega} + \frac{1}{2} \mathcal{F} \dot{\beta} - \frac{1}{2} D\mathcal{F} \quad \hat{\psi} = \frac{1}{16} \epsilon^{ABC} J^{(A)mn} J_{mn}^{(B)} J^{(C)}$$

— Linear if solved in the right order

# Superstratum around $AdS_3 \times S^3$ (1)

[Bena-Giusto-Russo-MS-Warner '15]

- ▶ Easiest to start from simplest background:  $AdS_3 \times S^3$
- ▶ AdS/CFT dictionary for linear fluctuation known [Deger et al. '98]
  - Correspond to descendants of chiral primaries in CFT
  - Labeled by 3 quantum numbers  $(k, m, n)$
  - “Supergraviton gas”

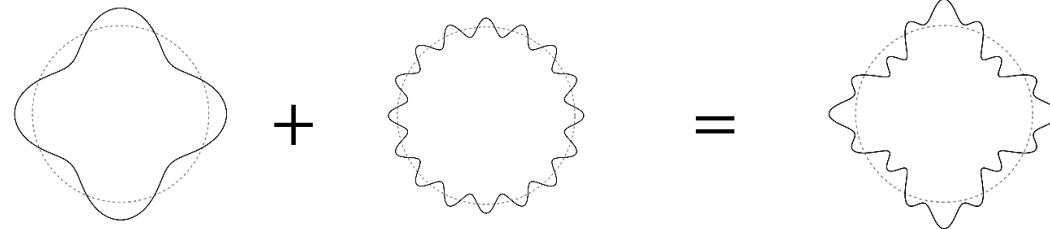
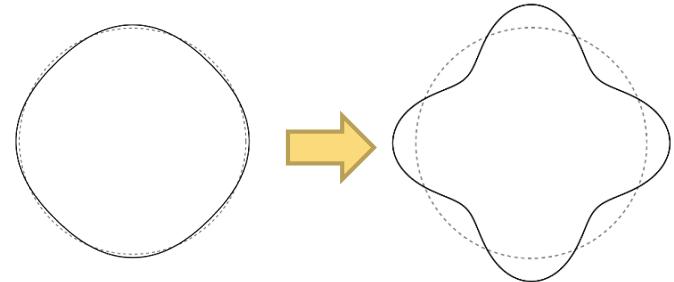


# Superstratum around $\text{AdS}_3 \times S^3$ (2)

- ▶ Can use linear structure of 6D eqs to nonlinearly complete it
- ▶ Superposing multiple modes



Sol's parametrized by  
funcs of 3 variables



- ▶ Correspond to *non-chiral* primaries in CFT  
most general microstate geom with CFT dual known!

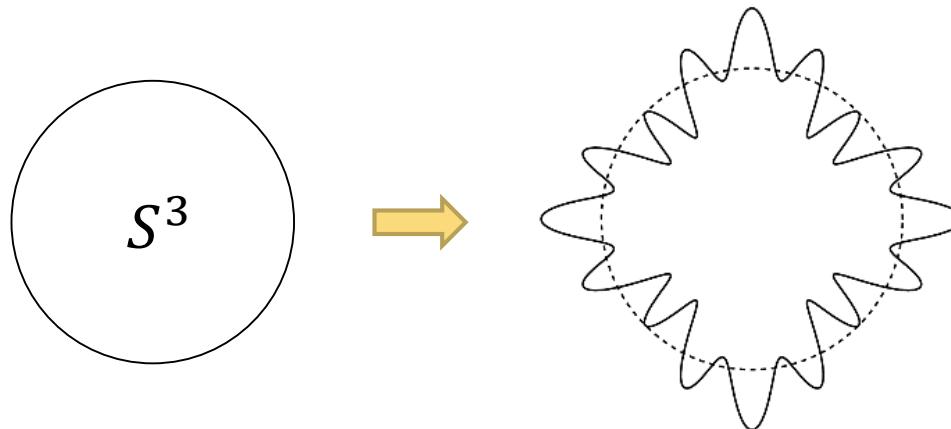
# What's missing?

- ▶ Does this class of superstrata reproduce  $S_{\text{BH}}$ ?

→ Not yet ☹

These correspond to  
supergraviton gas = fluct around  $S^3$ .

Entropy parametrically smaller. [de Boer '98]

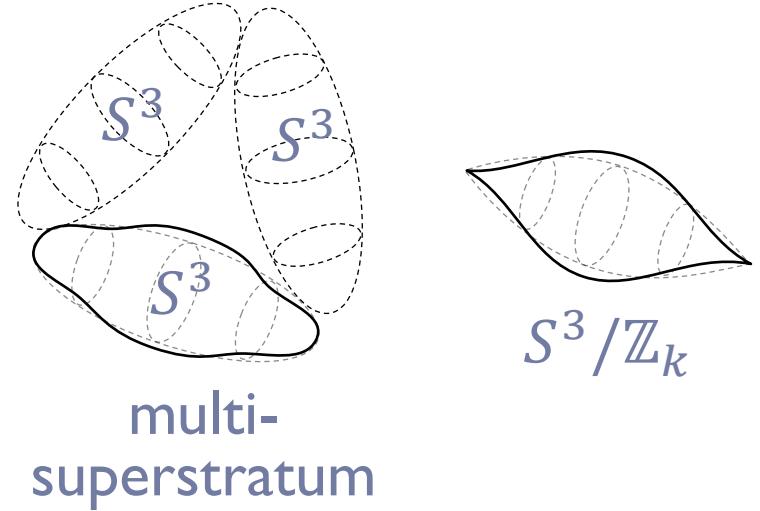


# More general superstrata

Next steps:

- ▶ Other backgrounds

- multiple  $S^3$ 's,  $\mathbb{Z}_k$  orbifolds



- ▶ CFT side:

- Need higher and fractional modes  
of  $SL(2, \mathbb{R})_L \times SU(2)_L$

$$(J_{-1}^+)^m |\psi\rangle \quad \rightarrow \quad J_{-2}^+ |\psi\rangle \quad J_{-\frac{1}{k}}^+ J_{-\frac{2}{k}}^+ |\psi\rangle$$

# Conclusions

# Conclusions

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- ▶ **Microstate geometry program**
  - Interesting enterprise elucidating micro nature of BHs, whether answer turns out to be yes or no
- ▶ **Microstate geom in 5D**
  - Have properties expected from CFT, but too few
- ▶ **6D: superstrata**
  - A new class of microstate geometries
  - CFT duals precisely understood
  - More general superstrata are crucial to reproduce  $S_{\text{BH}}$

# Future directions

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## ▶ Superstratum

- More general solution, multi-strata
- Count states, reproduce entropy (or not)
- Non-geometric microstates  
(exotic branes, DFT/EFT)

## ▶ More

- Non-extremal BHs
- Information paradox
- Observational consequences?
- Early universe
- ...