

Integrability as **Duality**

Masahito Yamazaki

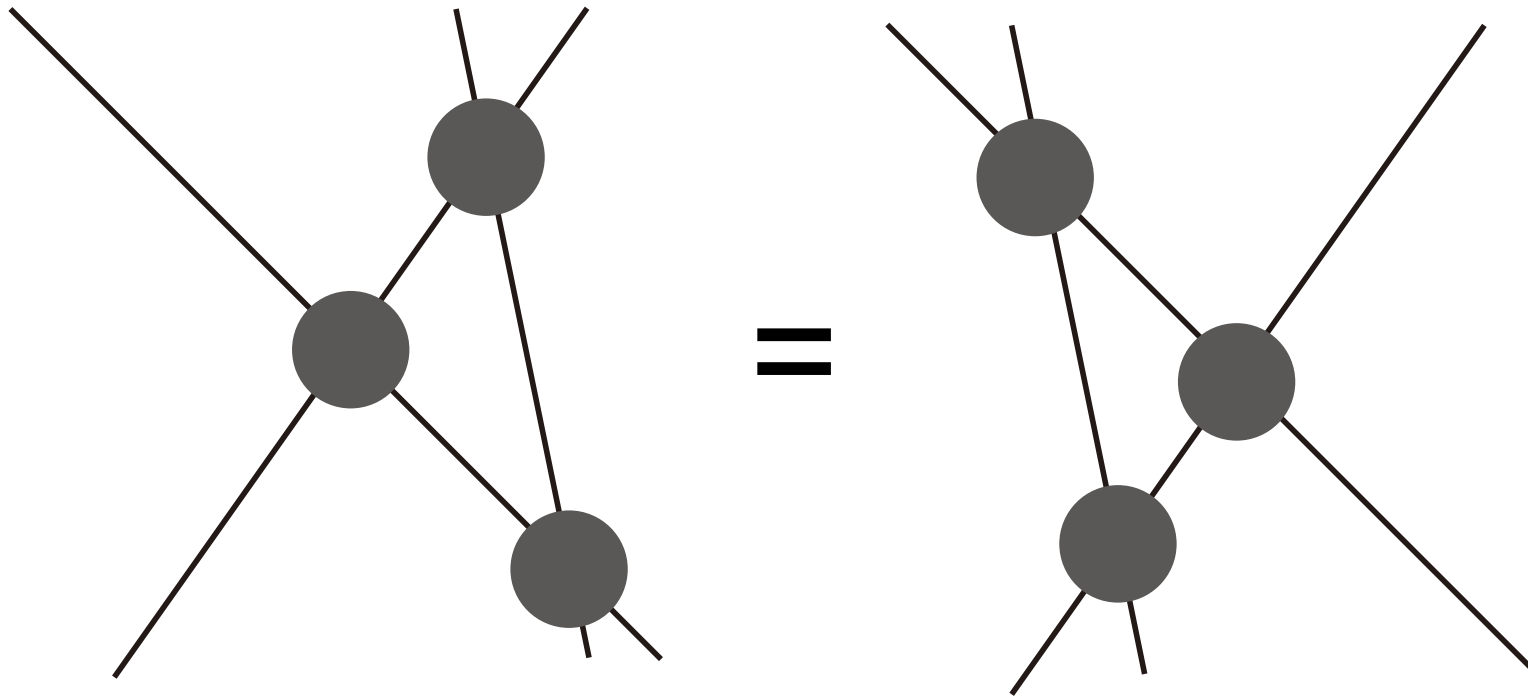


String-Math 2018, Tohoku University

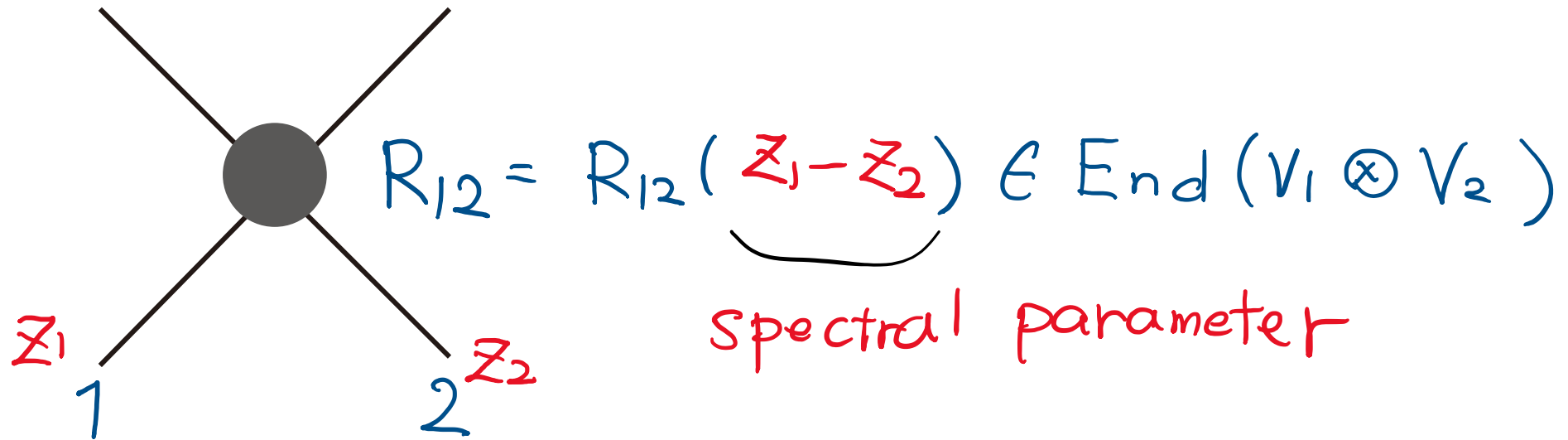
TOHOKU
NEVER GIVE UP

The logo features the word "TOHOKU" in a large, bold, red, sans-serif font. The letter "O" is replaced by a white silhouette of the Tohoku region of Japan. The letter "U" is replaced by a red arrow pointing upwards. Below "TOHOKU" is the phrase "NEVER GIVE UP" in a smaller, bold, red, sans-serif font.

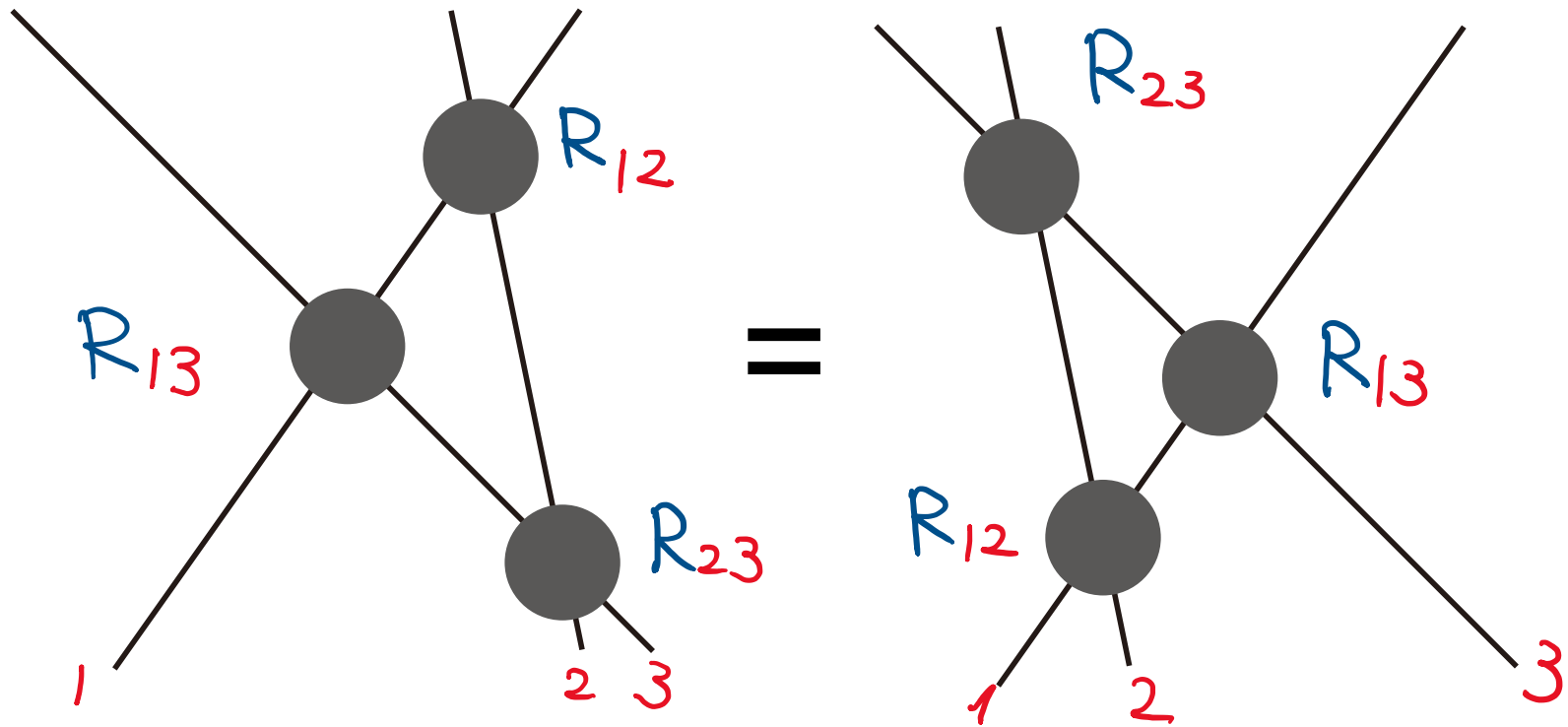
integrable models is characterized by
Yang-Baxter equation with **spectral parameters**



integrable models is characterized by
Yang-Baxter equation with spectral parameters



integrable models is characterized by
Yang-Baxter equation with **spectral parameters**



$$\begin{aligned}
 & R_{23}(z_2 - z_3) R_{13}(z_1 - z_3) R_{12}(z_1 - z_2) \\
 &= R_{12}(z_1 - z_2) R_{13}(z_1 - z_3) R_{23}(z_2 - z_3) \in \text{End}(V_1 \otimes V_2 \otimes V_3)
 \end{aligned}$$

Why integrable models exist?

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Perspectives from QFT?

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Perspectives from QFT?

Origin of **spectral parameter?**

****New** integrable models?**

I myself have worked mainly on two approaches:

1. 4d $N=1$ supersymmetric quiver gauge theories

(Gauge/YBE correspondence)

[Y, Terashima-Y] ('12), [Y] ('13),.....

2. "4d Chern-Simons"

[Costello] ('12),

[Costello-Witten-Y] ('17, '18): Part I-IV

(see also MY's talk at Strings 2018 next week)

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Integrability

from

4d Quiver Gauge Theories

Initiated in 2012-2013, partly with **Yuji Terashima**
[Y] [Terashima-Y] ('12) and [Y] ('13)

and inspired in particular by
[Bazhanov-Sergeev] ('10) ('11)

← "master solution"

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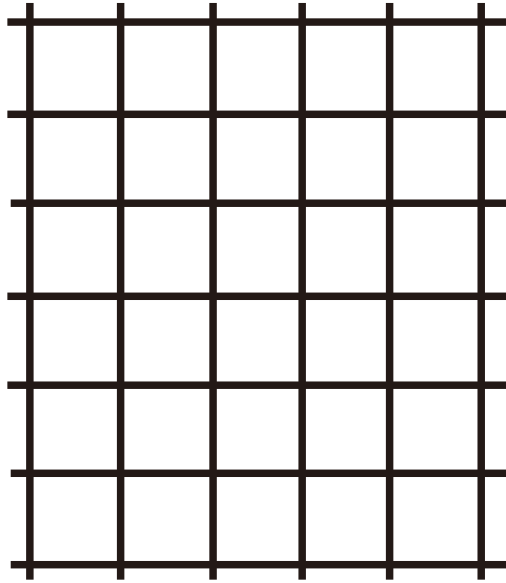
and inspired in particular by
[Bazhanov-Sergeev] ('10) ('11)

Since then more works in collaboration with
Andrew P. Kels, Wenbin Yan and others

Related works by e.g. Bazhanov, Chicherin,
Derkachov, Dolan, Gahramanov, Jafarzade,
Mangazeev, Maruyoshi, Nazari, Osborn, Rains,
Sergeev, Spiridonov (in particular [Spiridonov]
(('10)), Yagi, Zabrodin,.....

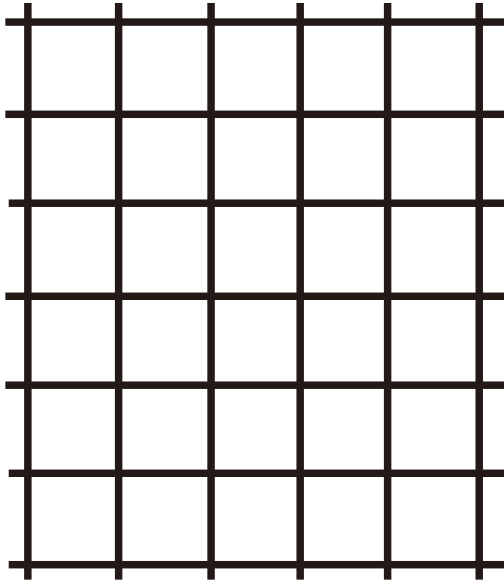
Three Basic Ingredients

1. statistical lattice as a quiver diagram



spin S_v at vertex v

1. statistical lattice as a quiver diagram

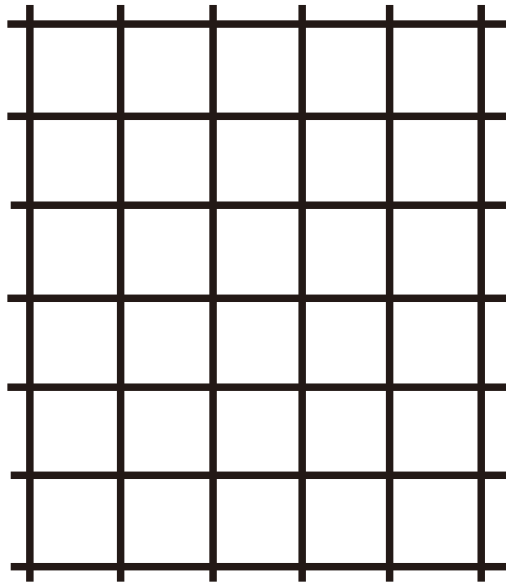


spin s_v at vertex v

partition function

$$Z = \sum_{\{s_v\}} e^{-\mathcal{E}(\{s_v\})}$$

1. statistical lattice as a quiver diagram



spin s_v at vertex v

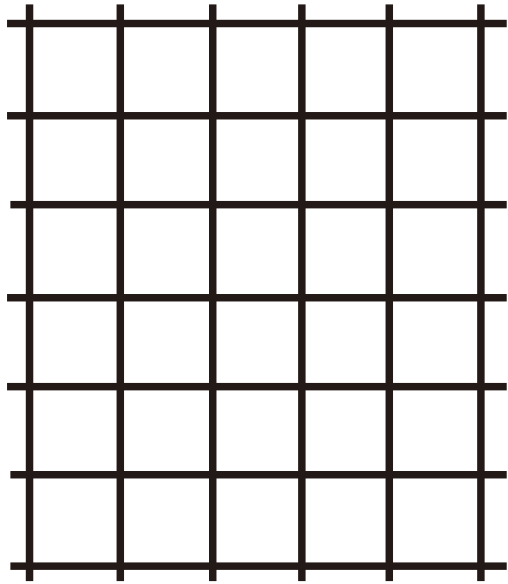
partition function

$$Z = \sum_{\{s_v\}} e^{-\mathcal{E}(\{s_v\})}$$

Boltzmann weight

$$\mathcal{E}(\{s_v\}) = \sum_{v: \text{vertex}} \mathcal{E}^v(s_v) + \sum_{e: \text{edge}} \mathcal{E}^e(\{s_v\}_{v \in e})$$

1. statistical lattice as a quiver diagram

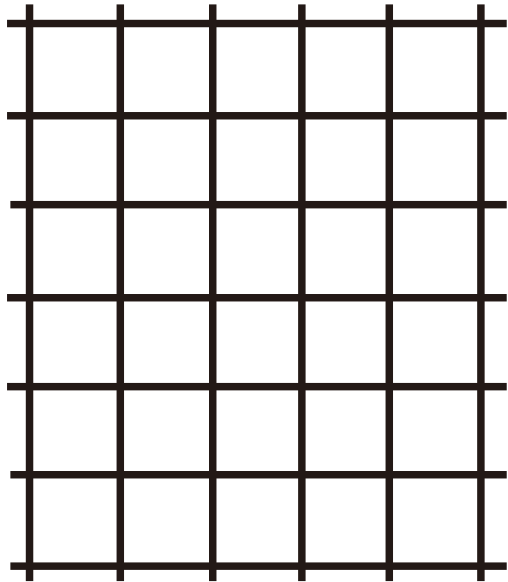


gauge field A_v for vertex v

bifundamental matter Φ_e

for each edge e

1. statistical lattice as a quiver diagram



gauge field A_v for vertex v

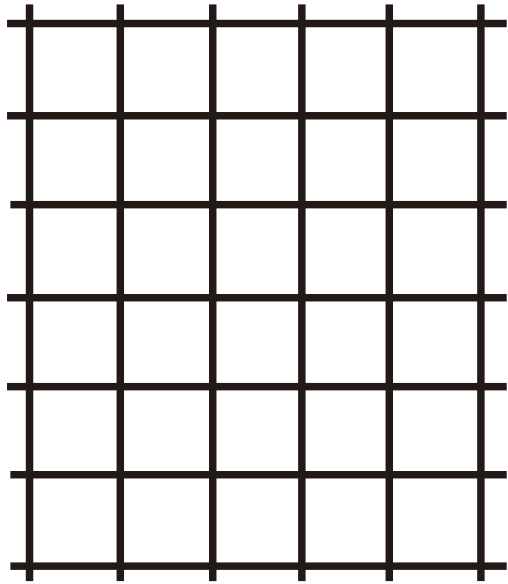
bifundamental matter Φ_e

for each edge e

partition function

$$Z = \int \prod A_v \prod \Phi_e e^{-\mathcal{L}}$$

1. statistical lattice as a quiver diagram



gauge field A_v for vertex v

bifundamental matter Φ_e

for each edge e

partition function

$$Z = \int \prod A^v \prod \Phi_e e^{-\mathcal{L}}$$

Lagrangian

$$\mathcal{L}(\{A_\mu^v, \Phi_e\}) = \sum_{v: \text{vertex}} \mathcal{L}^v(A_v) + \sum_{e: \text{edge}} \mathcal{L}^e(\Phi_e, \{A_v\}_{v \in e})$$

2. supersymmetric localization

Once super-symmetrize the setup, the difference goes away:

$$Z = \int \mathcal{D}A_\nu \mathcal{D}\Phi_e e^{-\mathcal{L}} \quad \curvearrowright \text{path-integral}$$

2. supersymmetric localization

Once super-symmetrize the setup, the difference goes away:

$$\begin{aligned} Z &= \int \mathcal{D}A_\nu \mathcal{D}\Phi_e e^{-\mathcal{L}} \quad \leftarrow \text{path-integral} \\ &= \int \underbrace{d\sigma_\nu}_{\substack{\uparrow \\ \text{parametrize saddle point locus}}} e^{-\mathcal{E}} \quad \leftarrow \text{finite-dim integral} \end{aligned}$$

2. supersymmetric localization

Once super-symmetrize the setup, the difference goes away:

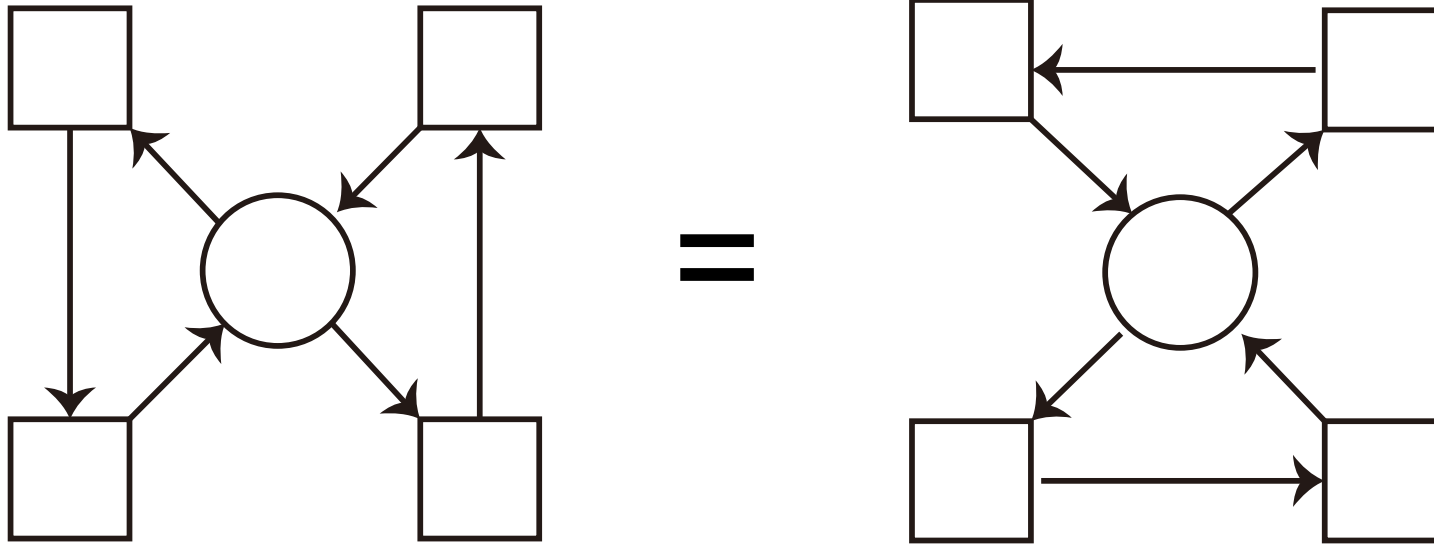
$$Z = \int \mathcal{D}A_\nu \mathcal{D}\Phi_e e^{-\mathcal{L}} \quad \leftarrow \text{path-integral}$$
$$= \int \underbrace{d\sigma_\nu}_v e^{-\mathcal{E}} \quad \leftarrow \text{finite-dim integral}$$

parametrize saddle point locus

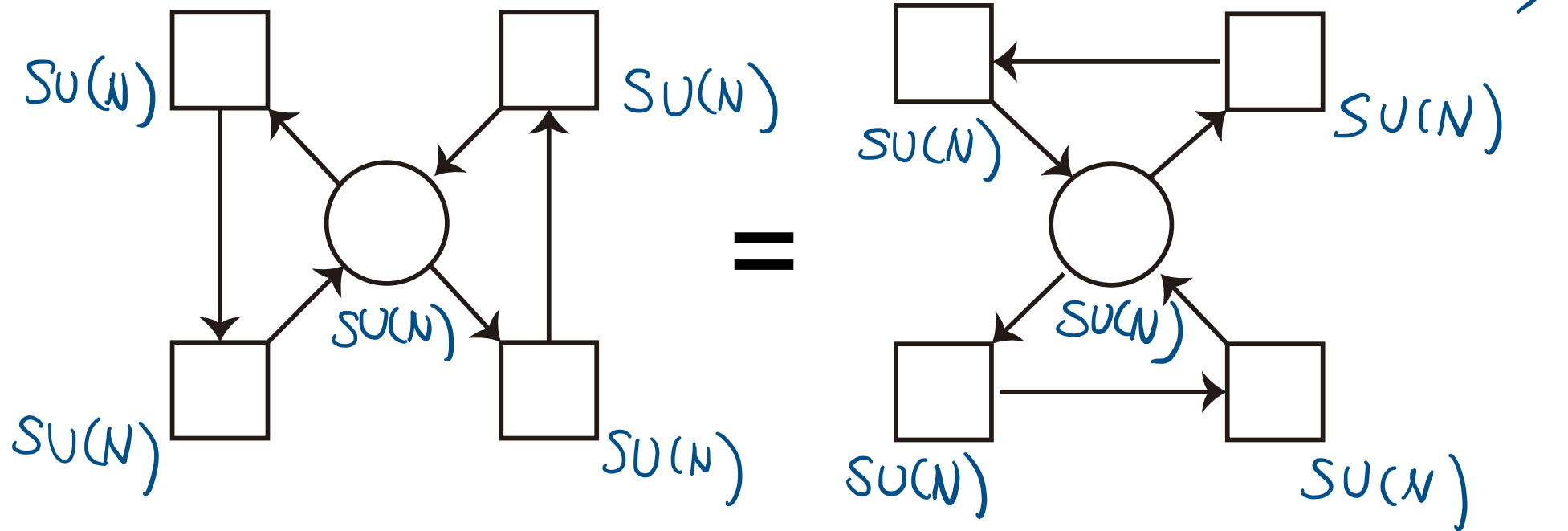
$$\mathcal{E} = \sum_{\nu: \text{vertex}} \mathcal{E}^\nu(\sigma_\nu) + \sum_{e: \text{edge}} \mathcal{E}^e(\{\sigma_\nu\}_{\nu \in e})$$

One-loop determinant

3. Seiberg(-like) duality



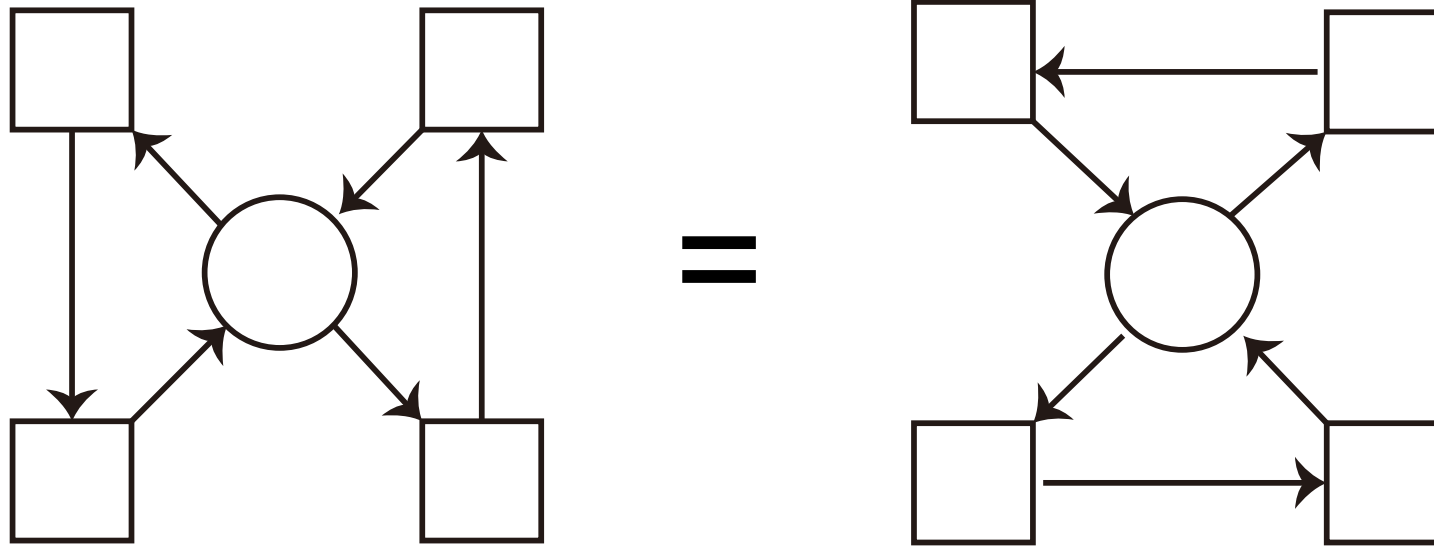
3. Seiberg(-like) duality



○ gauge sym. □ flavor sym

Phys: 4d $N=1$ Seiberg duality [Seiberg] ('94)
 (or their cousins in lower dimensions
 [Aharony] ('97), [Hori-Tong] ('06), [Gadde-Gukov] ('13))

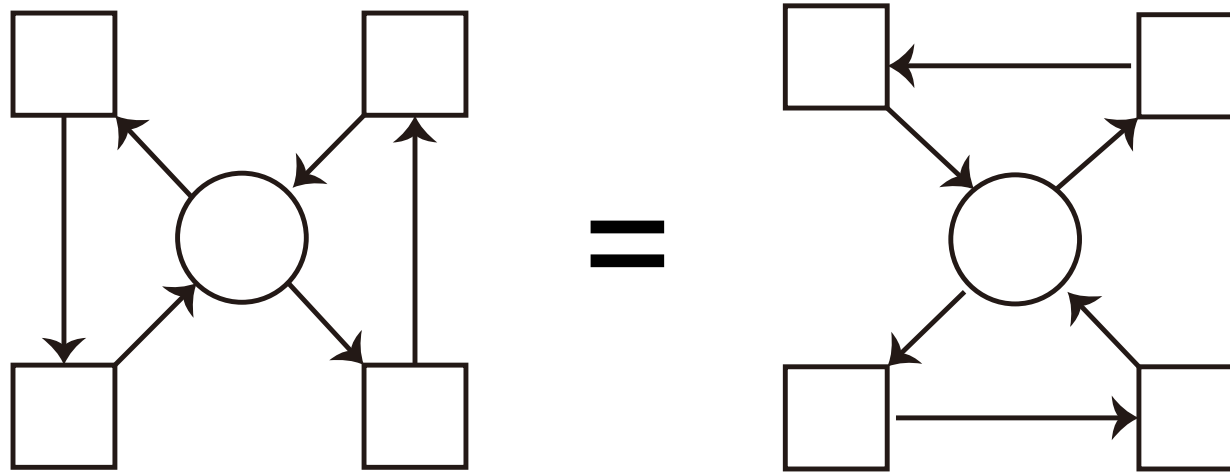
3. Seiberg(-like) duality



○ unfrozen node □ frozen node

Math: (special example of) quiver
mutation [Fomin-Zelevinsky] ('01)

3. Seiberg(-like) duality



This (in a different disguise) is known as **star-star relation** in integrable models, originally in the context of tetrahedron equation [Baxter ('86), Bazhanov-Baxter ('92)]

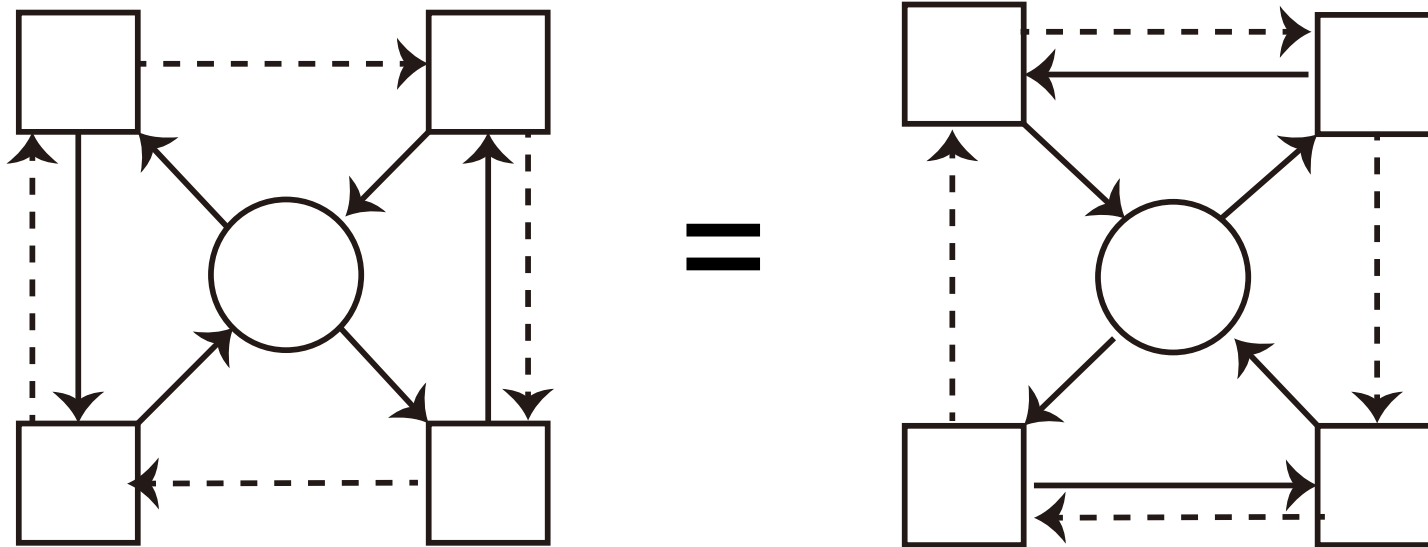
Interestingly, the connection to Seiberg duality (or mutation) was noticed only recently

Since **star-star relation** implies **YBE** [Baxter ('86), Bazhanov-Baxter ('92)], once we solve SSR we have an integrable model

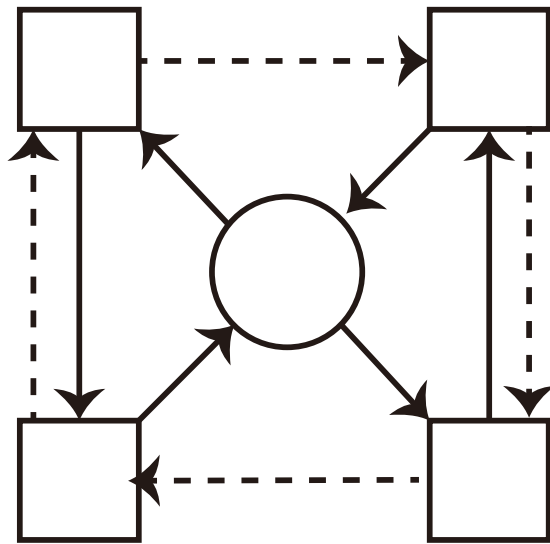
We also know that **star-star relation** is **Seiberg duality**, so their partition function (in the IR) should coincide.

By combining these two observations we will **automatically** land on integrable models!

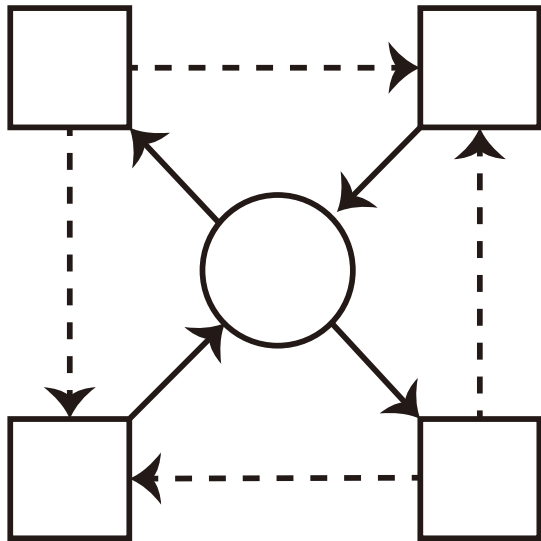
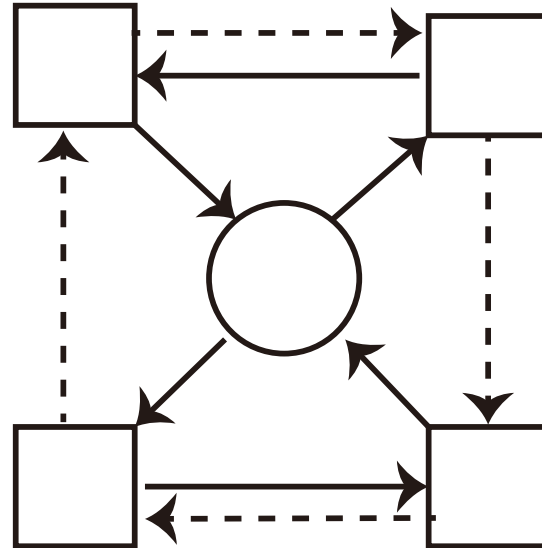
To explain YBE in more detail, it is useful to represent SSR more symmetrically, by adding “half-arrows” [Yan-Y] ('15)



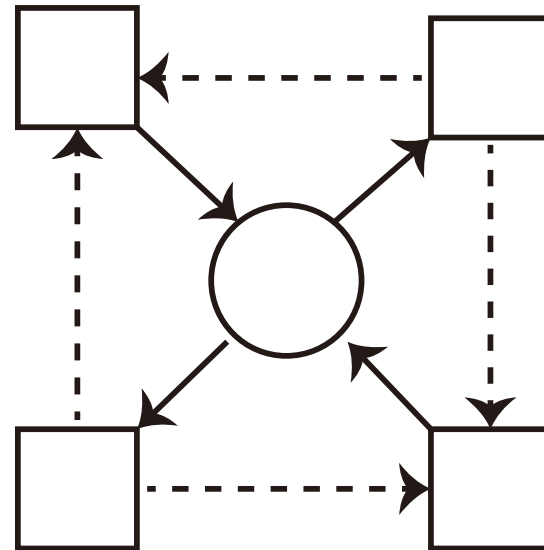
We can cancel half and full arrows:



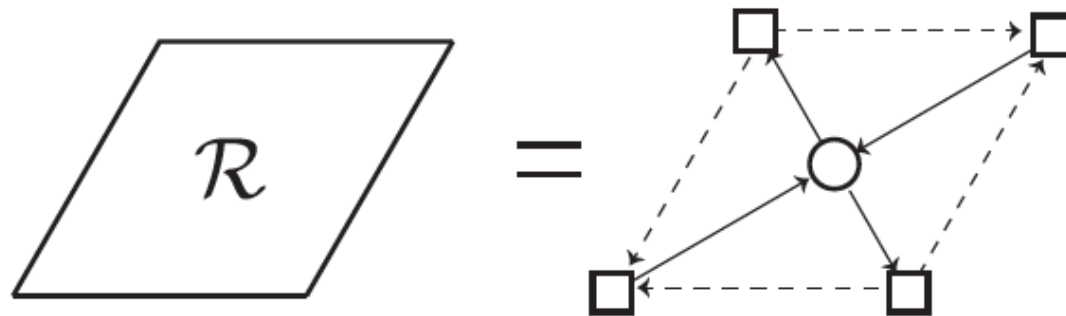
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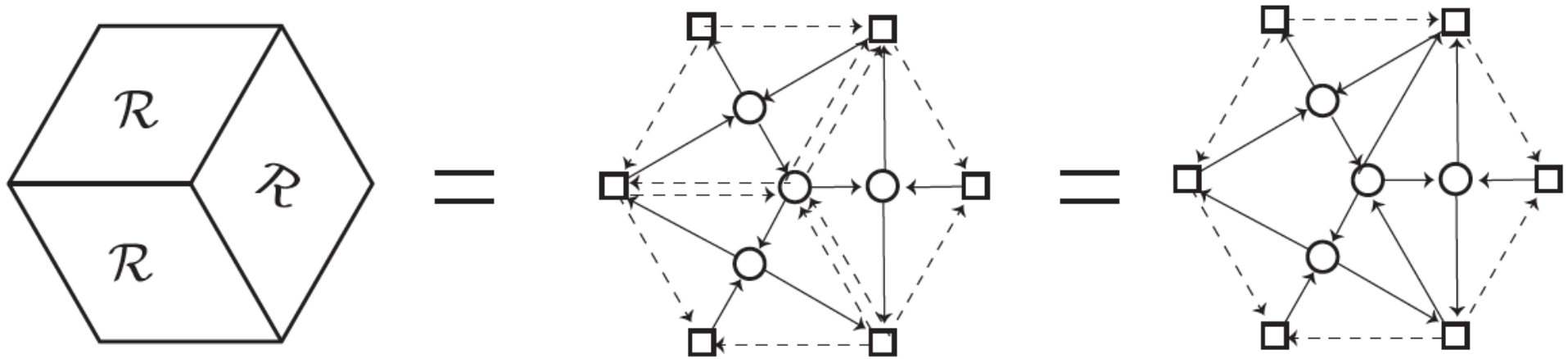
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The **R-matrix** is identified with a simple quiver
("theory for the R-matrix")

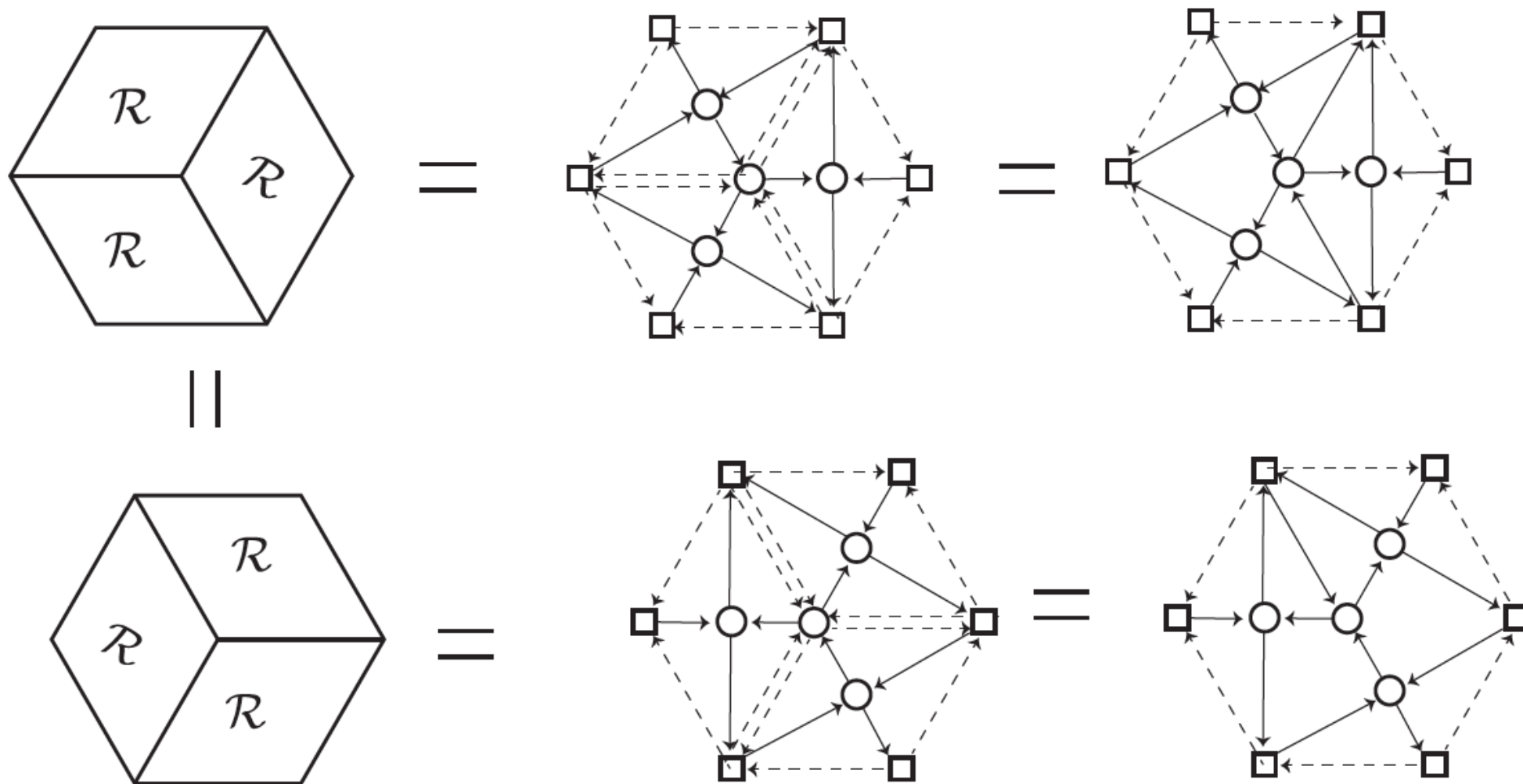


Products of R-matrix is obtained by **gluing**:

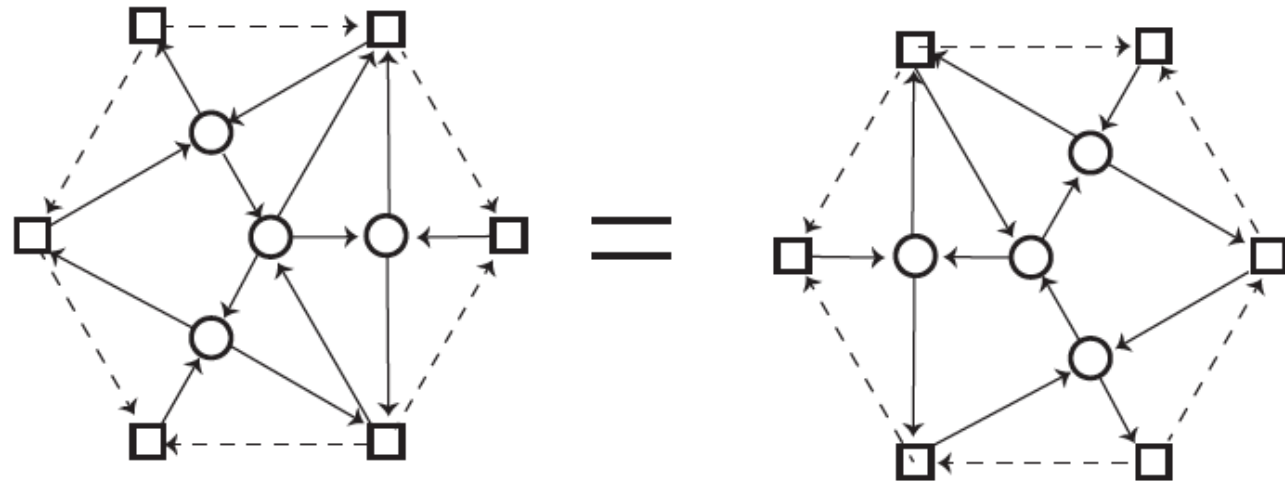


- Phys: gluing **three theories** by **gauging** flavor symmetries (as in “class S” theories)
- Math: gluing **three quivers** by **quiver amalgamation**

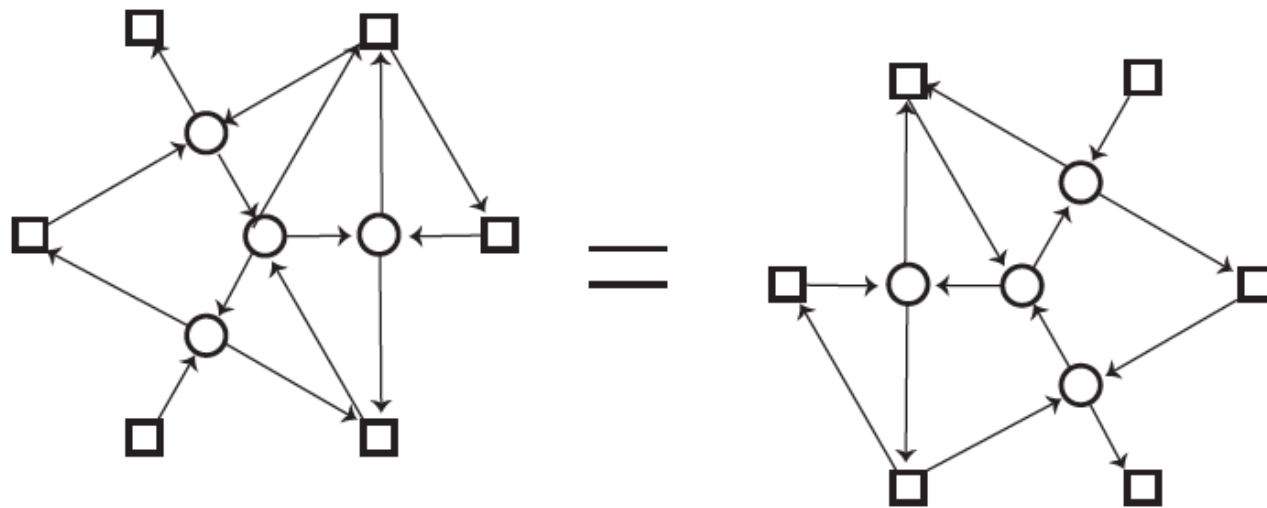
The YBE reads



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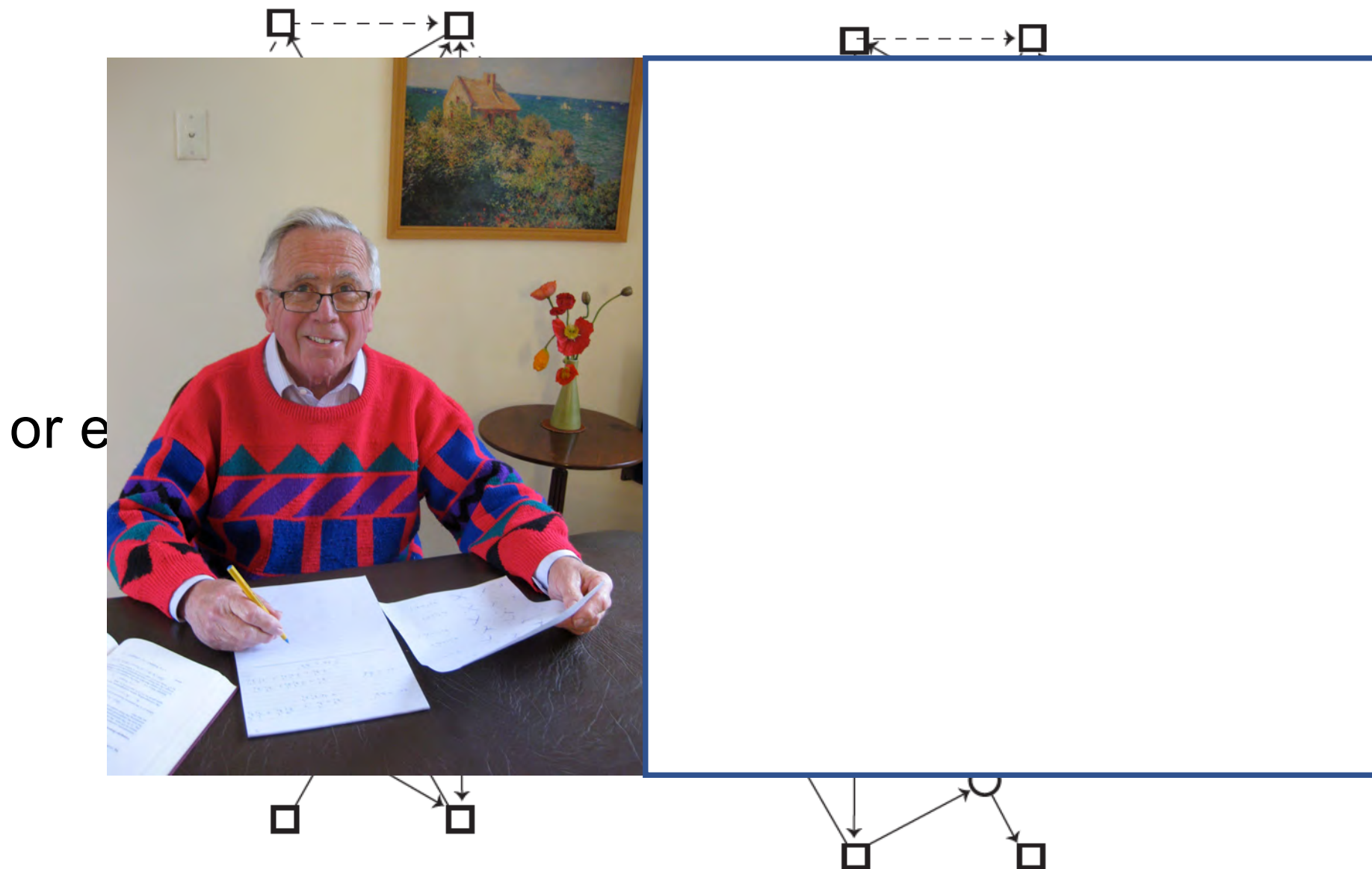


or equivalently



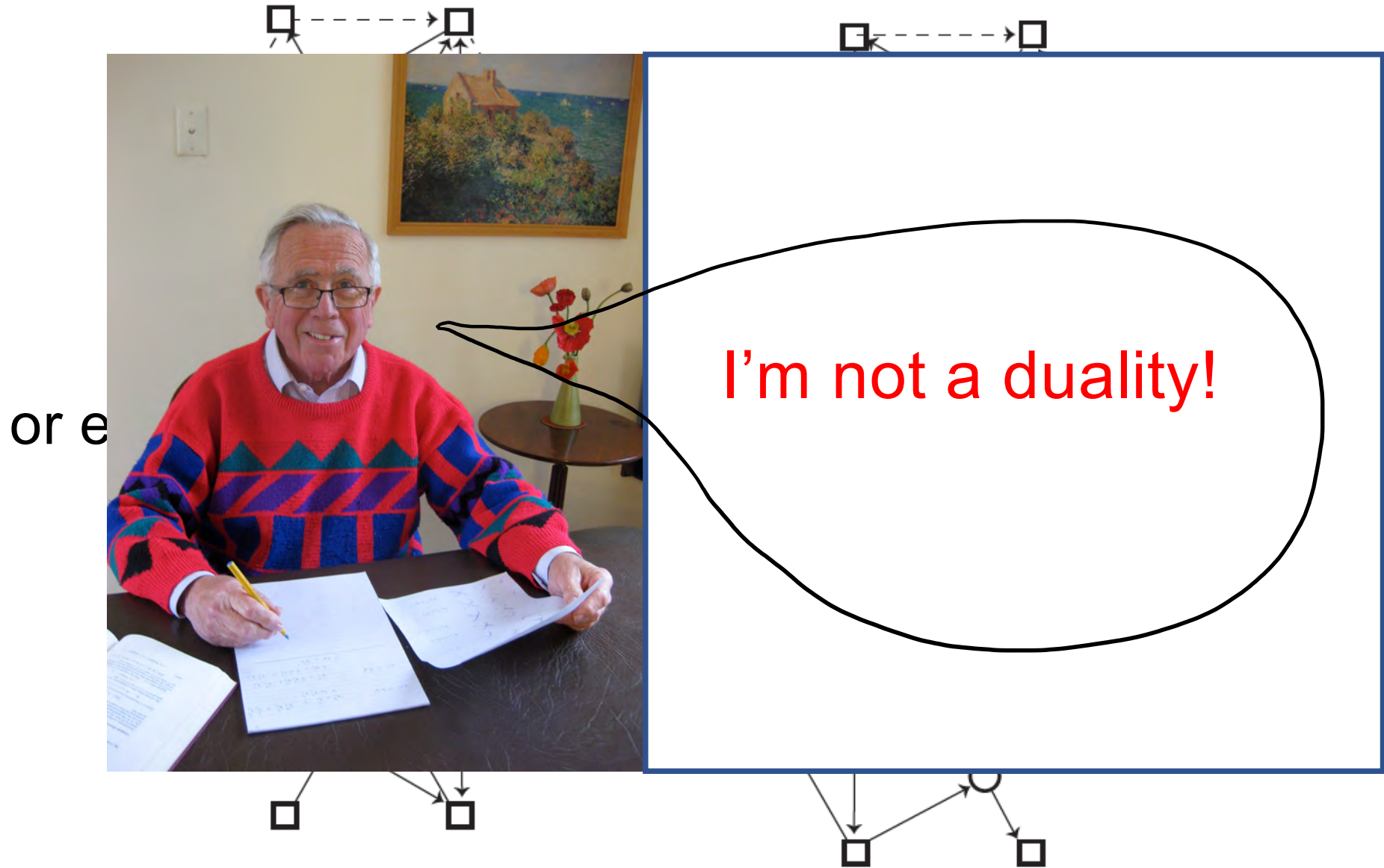
This is the **Yang-Baxter duality** [Y] ('13)

The YBE reads

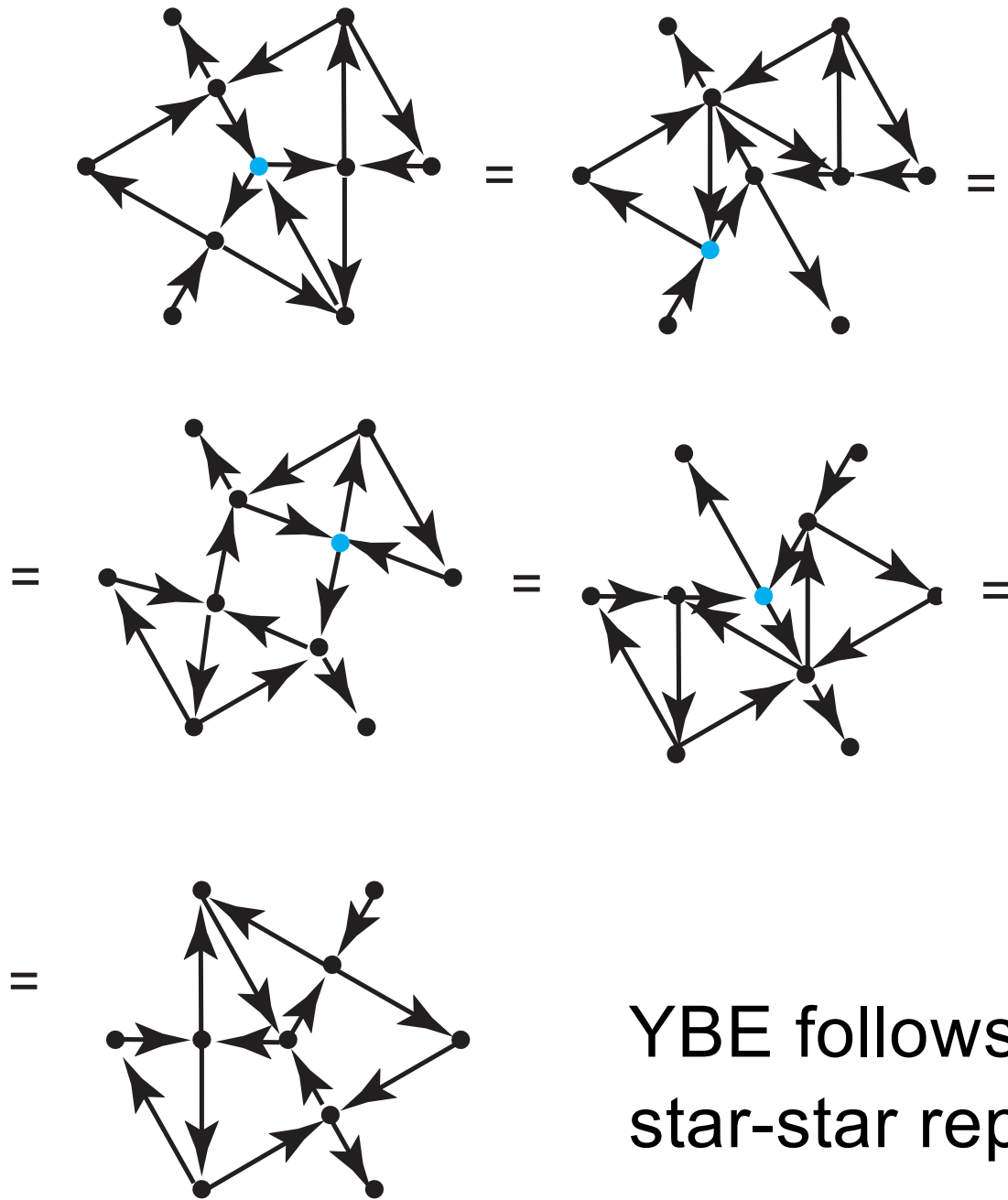


This is the **Yang-Baxter duality**

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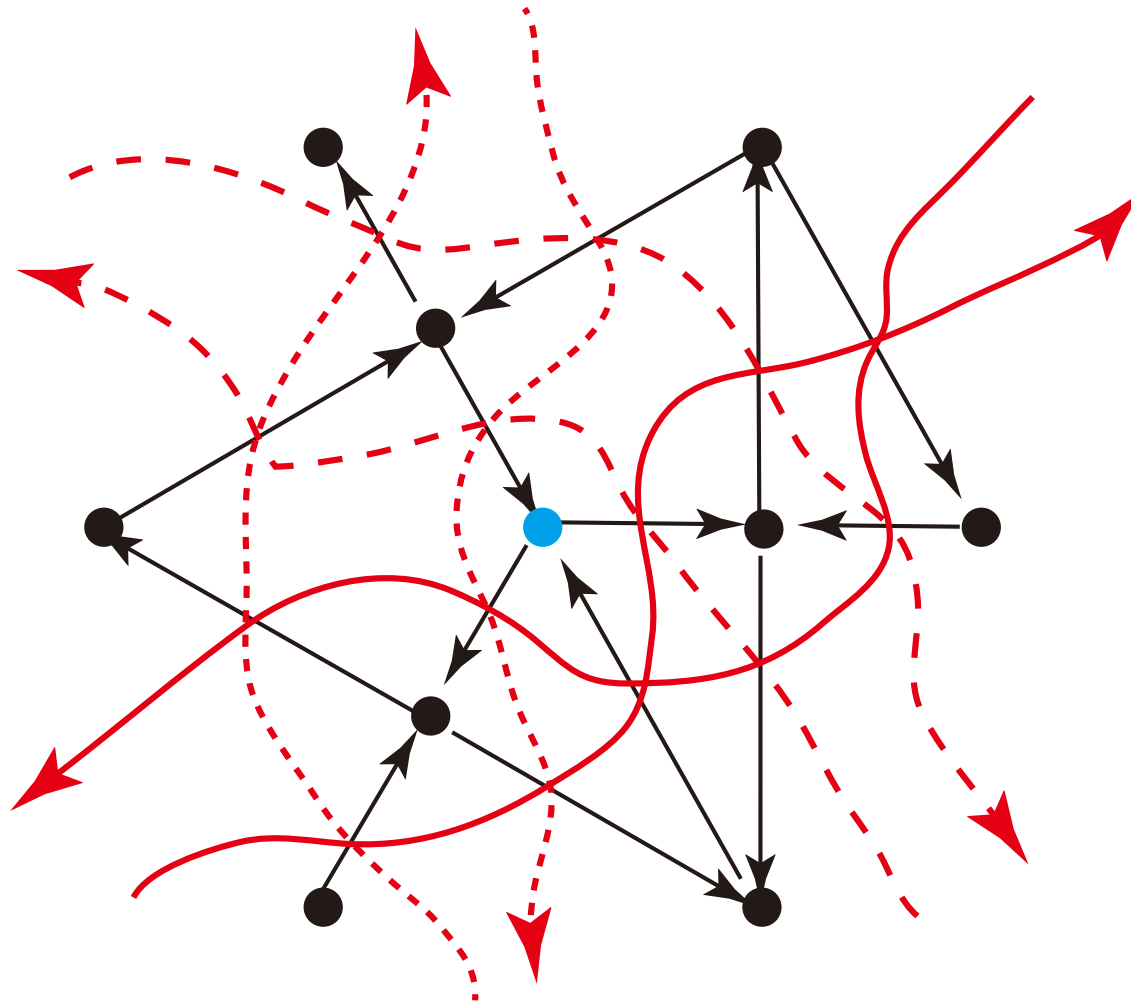
YBE follows from
star-star repeated four times

Spectral Parameter?

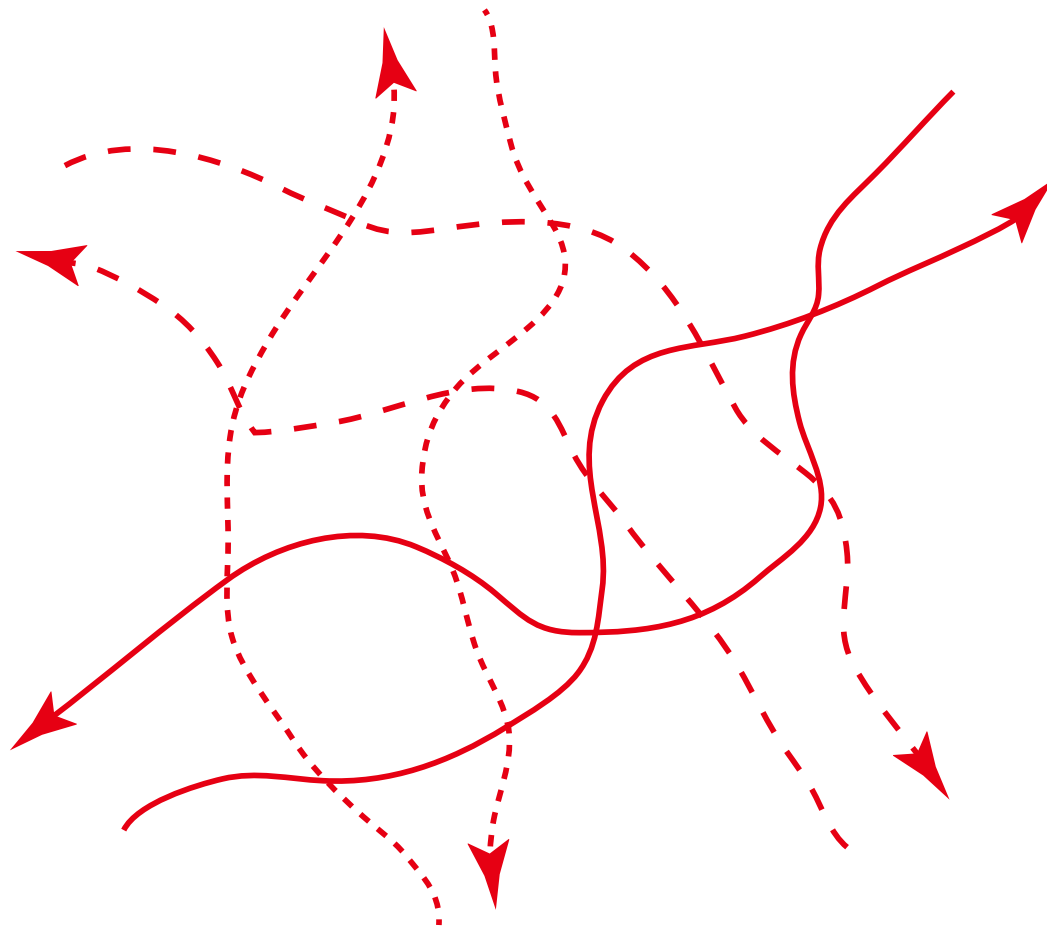
“spectral parameter = R-charge”

The spectral parameter in integrable models matches with the **R-charge** in quiver gauge theories, found in [Hanany-Vegh] ('05)

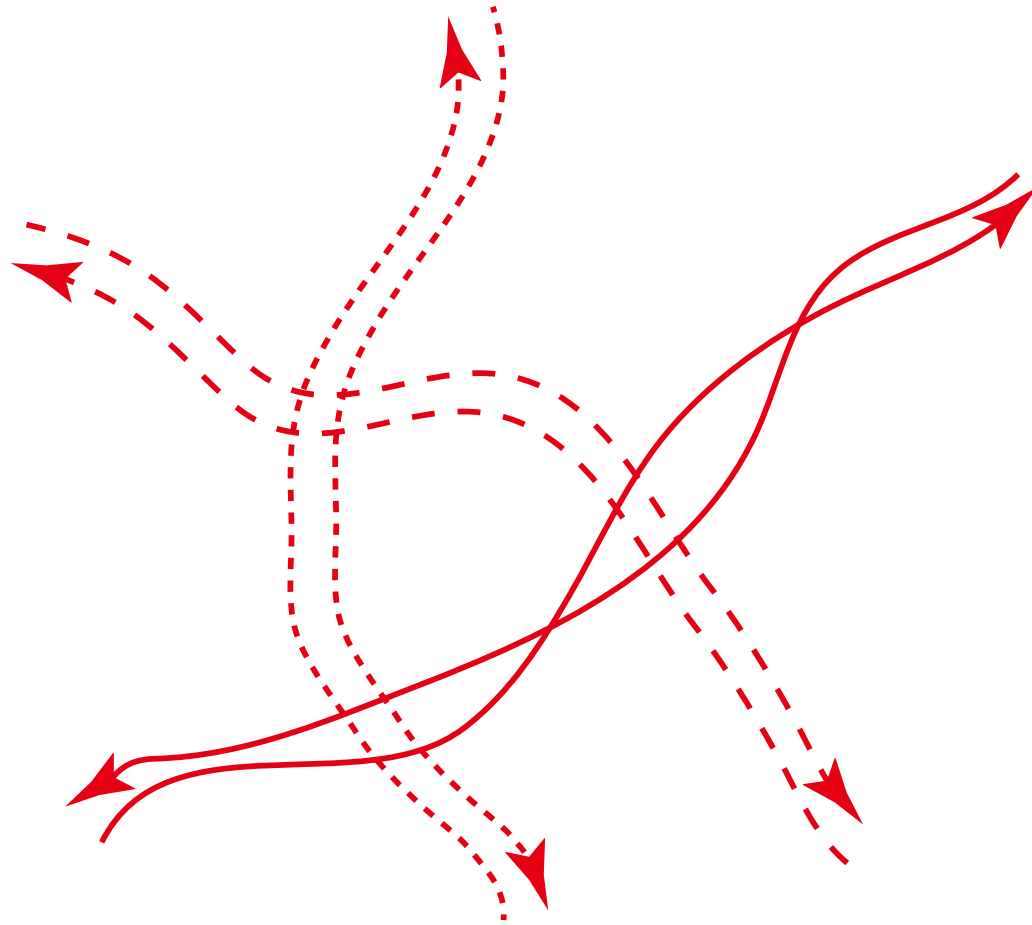
Both are associated with “**zig-zag path**”, discussed also in mathematical literature [Thurston] ('04), [Goncharov-Kenyon] ('11)



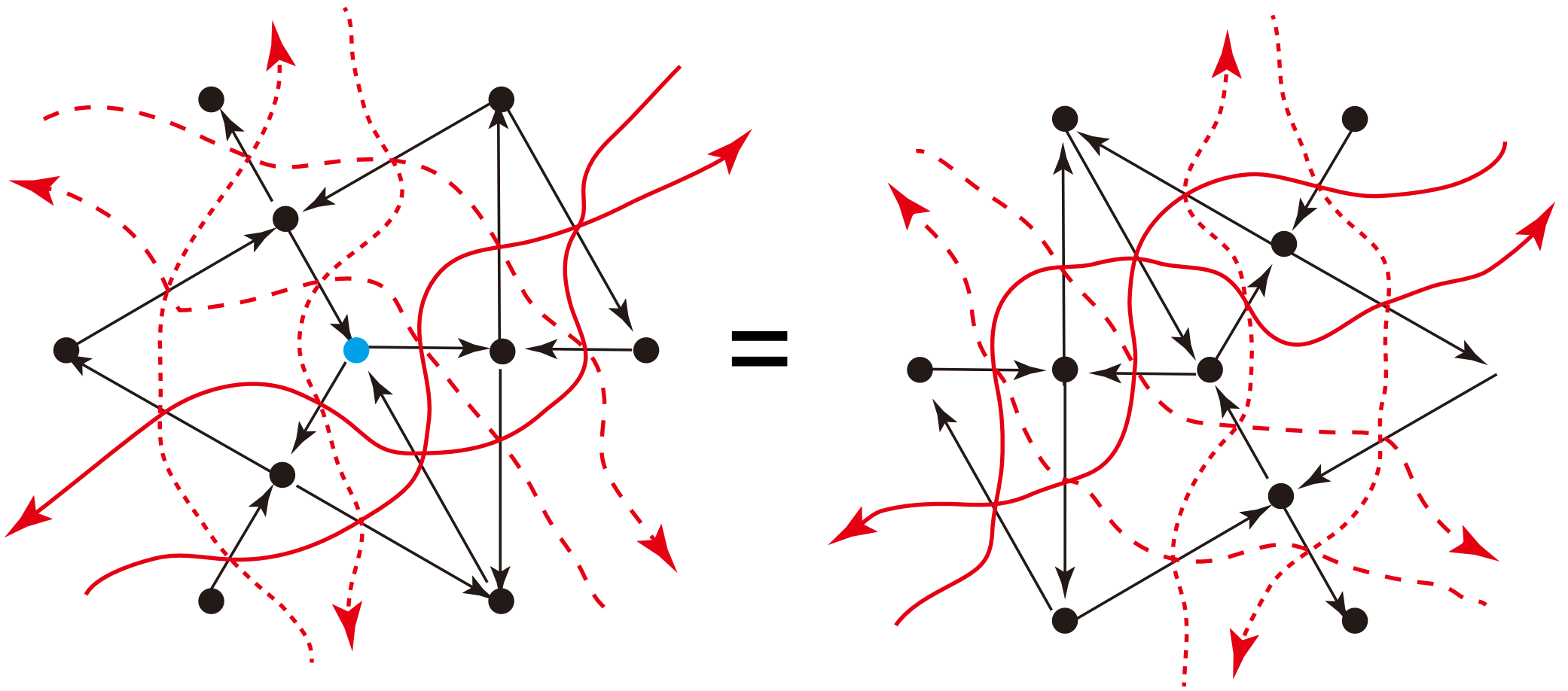
spectral parameter:
associated with “zig-zag path”



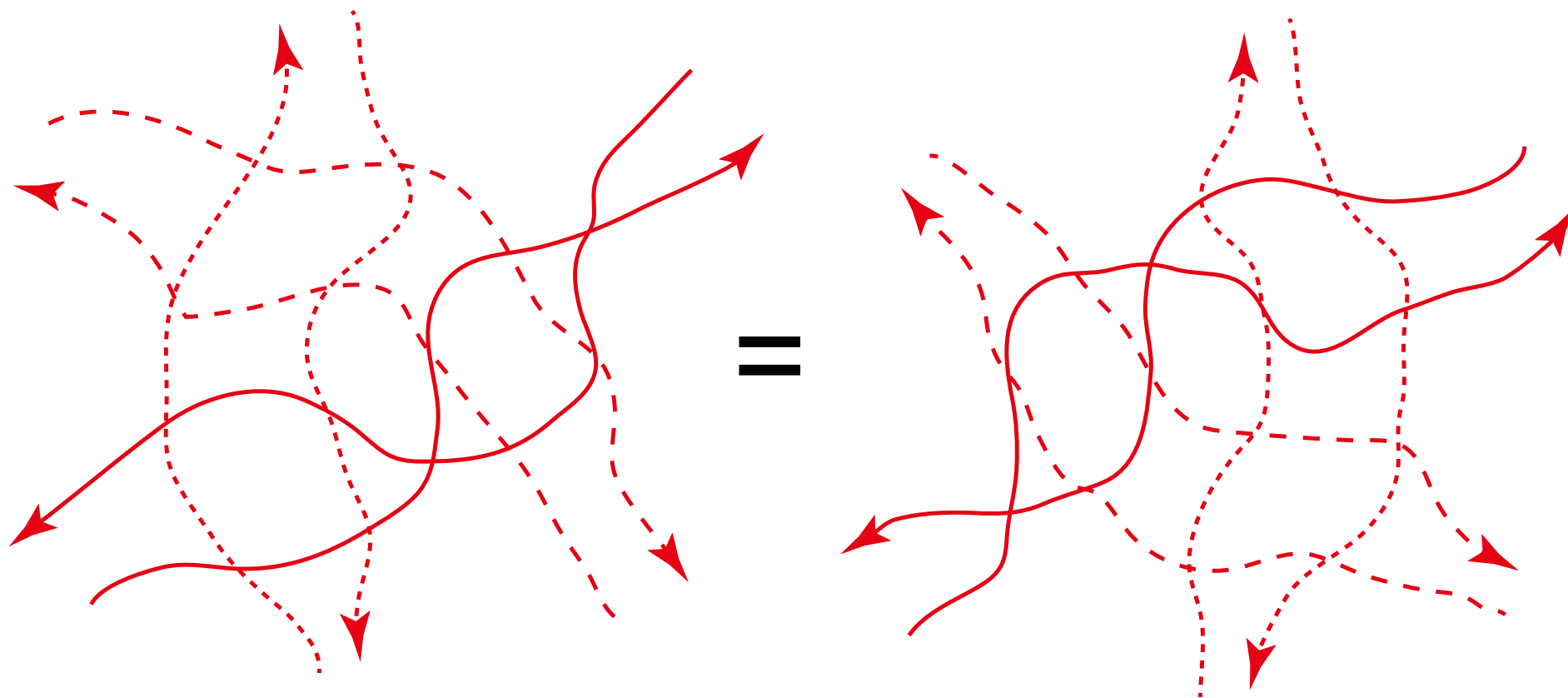
“Z-invariant lattice” [Baxter]



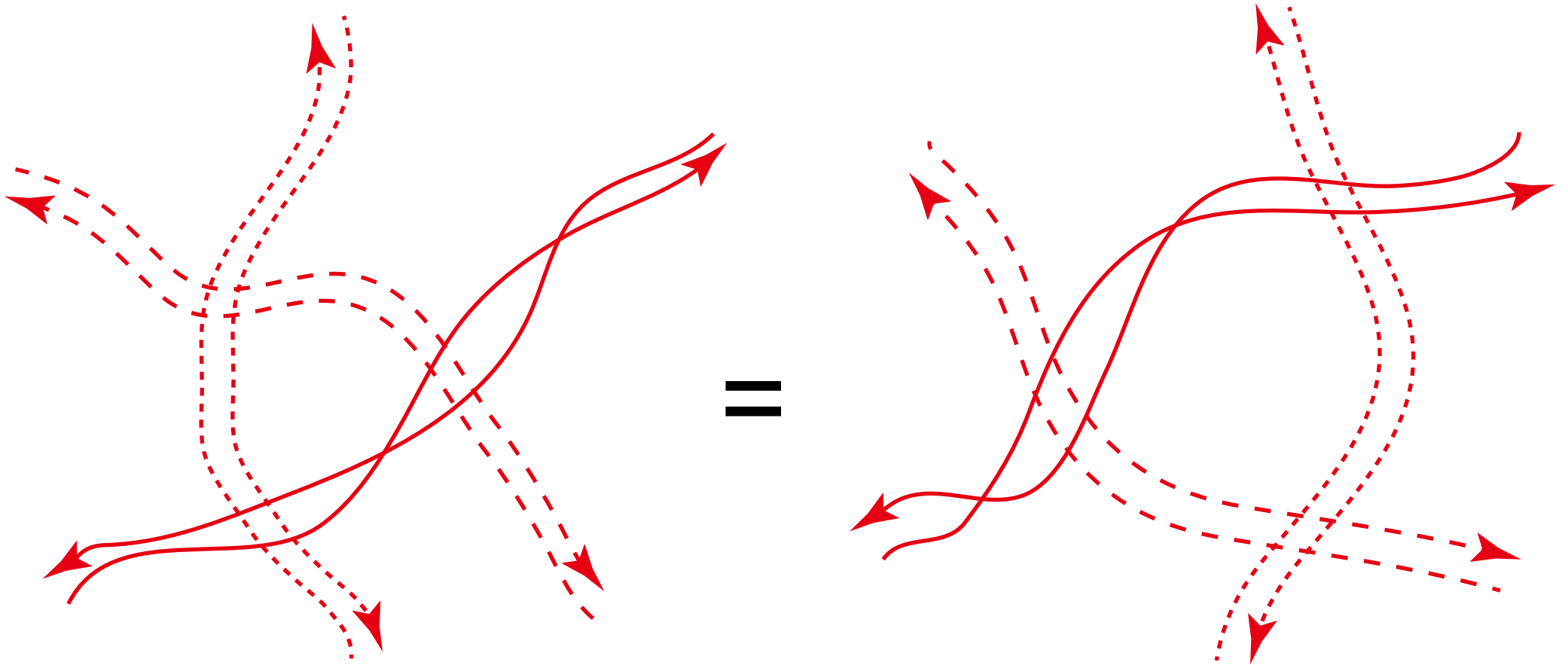
doubled rapidity line



Now go back to Yang-Baxter duality



Now go back to Yang-Baxter duality



“doubled Yang-Baxter equation”

Side remark: zig-zag paths are actually **branes!**

NS5-D5 brane realizations studied by MY's master thesis [Y] ('08); T-dual to [Feng-He-Kennaway-Vafa] ('05), see also [Imamura] ('07), [Imamura-Isono-Kimura-Y] ('07)

	$\mathbb{R}^{3,1}$				$(\mathbb{C}^x)^2$				$U(1)_R$	
	x_0	x_1	x_2	x_3	θ_x	θ_y	x	y	x_8	x_9
D5	—	—	—	—	—	—				
NS5	—	—	—	—	Σ					

hol. curve in $(\mathbb{C}^x)^2$

	x_0	x_1	x_2	x_3	θ_x	θ_y	x	y	x_8	x_9
D5	—	—	—	—	—	—				
NS5	—	—	—	—		Σ				

Basically, we have N D5 wrapping torus, divided by **zig-zag path = NS5-brane**

We have an SU(N) quiver node for each region of D5-branes

(We actually need (N, ± 1)-branes, in addition to (N,0)-branes; I will suppress this point now)

[Imamura] ('07), [Imamura-Isono-Kimura-Y] ('07), [Y] ('08)

	x_0	x_1	x_2	x_3	θ_x	θ_y	x	y	x_8	x_9
D5	—	—	—	—	—	—				
NS5	—	—	—	—		Σ				

The question I addressed in [Y] ('08) was to relate the smooth holomorphic curve to the combinatorics of zig-zag path, by certain degeneration process

Very similar idea discussed as “Lagrangian skeletons” in [Shende-Treumann-Williams-Zaslow] ('15)

	x_0	x_1	x_2	x_3	θ_x	θ_y	x	y	x_8	x_9
D5	—	—	—	—	—	—				
NS5	—	—	—	—		Σ				

The brane realization could also be a starting point for exploring the relation with “4d Chern-Simons” approach to integrable models studied in [Costello] (‘12), [Costello-Witten-Y] (‘17, ‘18).

This requires further study, see e.g. [Vafa-Y] (to appear), [Costello-Yagi] (forthcoming); cf. [Ashwinkumar-Tan-Zhao] (‘18)

Summarizing....

integrable model

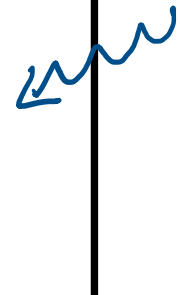
YBE

QFT (“categorification”)

integrable model

Yang-Baxter duality

YBE



QFT (“categorification”)

integrable model

Yang-Baxter duality

Z_1

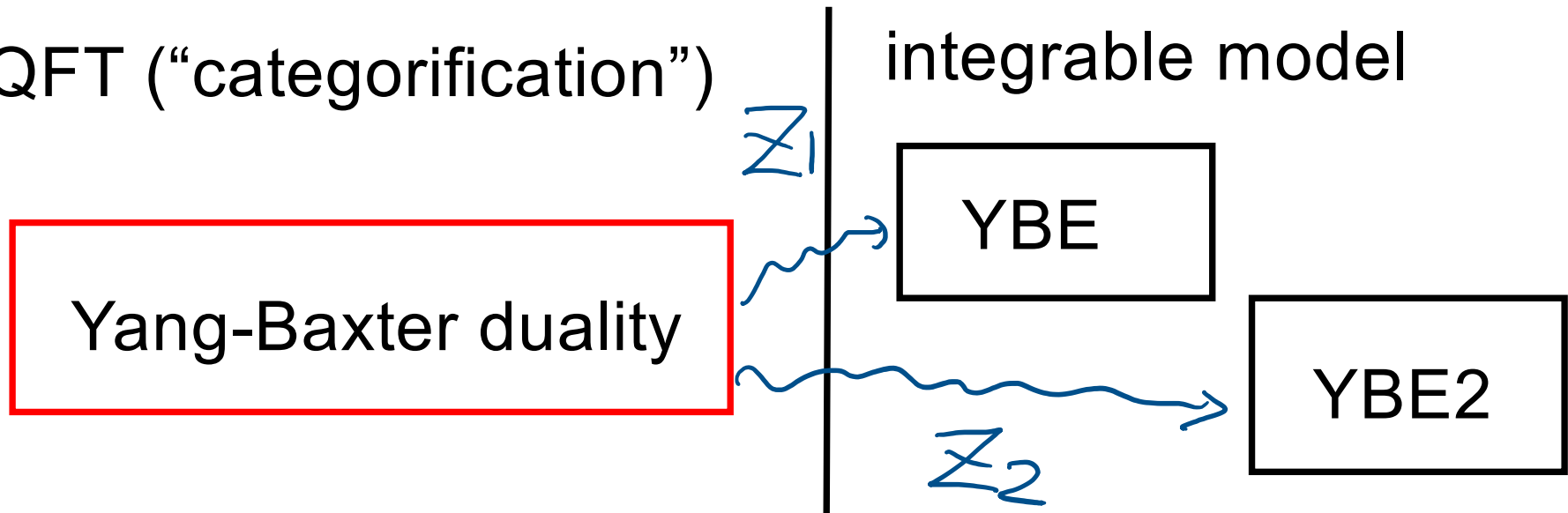
YBE

YBE2

Z_2

partition function = functor

See my Japanese book ('15)



QFT (“categorification”)

integrable model

Yang-Baxter duality

YBE

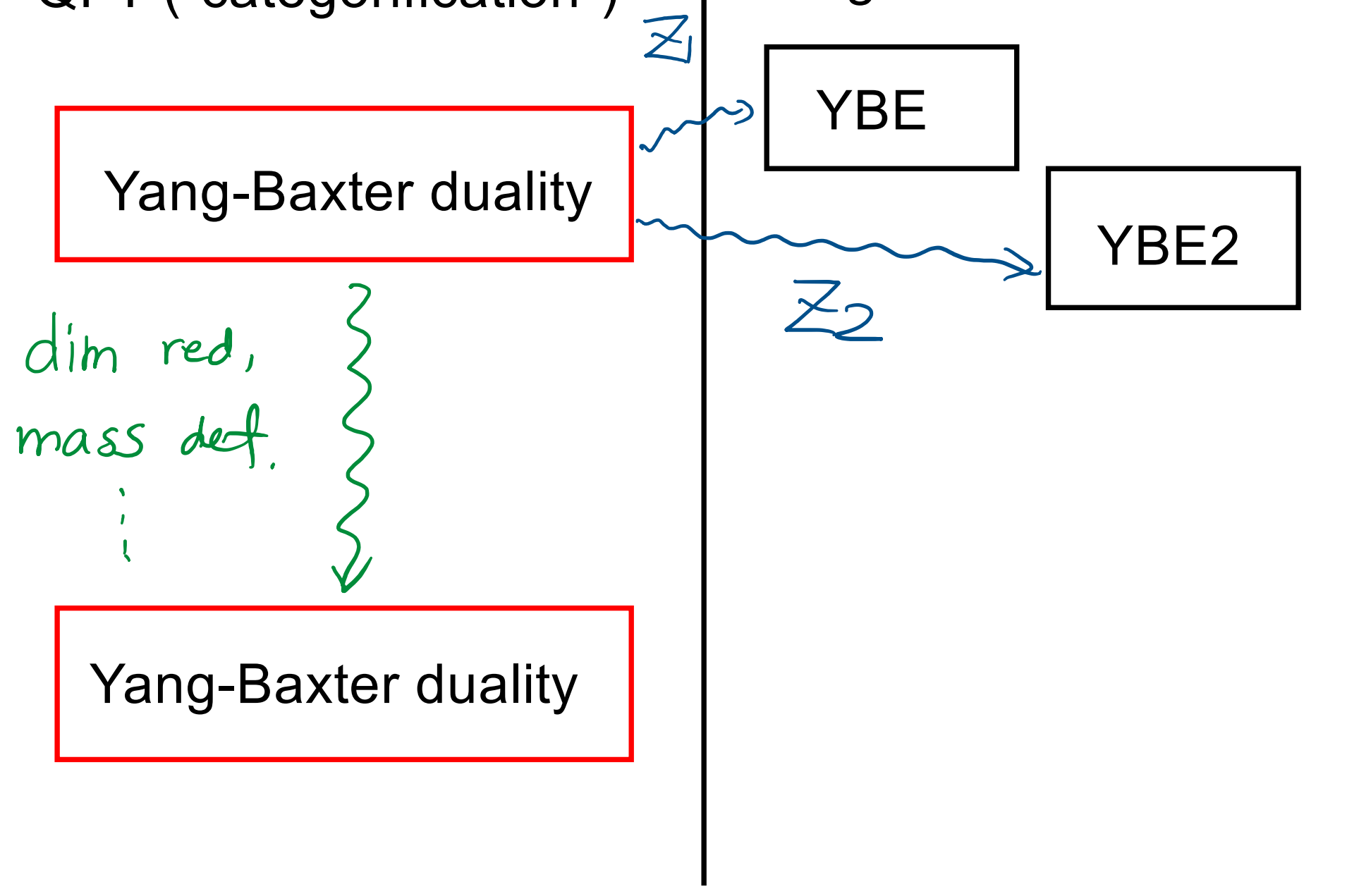
YBE2

*dim red,
mass def.
⋮*

Yang-Baxter duality

\mathbb{Z}

\mathbb{Z}_2



QFT (“categorification”)

integrable model

Yang-Baxter duality

YBE

YBE2



Yang-Baxter duality

YBE3

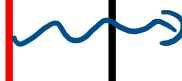
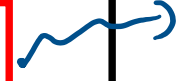
YBE4

Z_1

Z_2

Z_1

Z_2'



QFT (“categorification”)

integrable model

Yang-Baxter duality

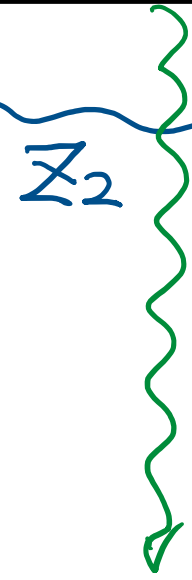
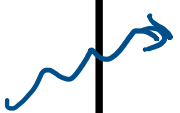
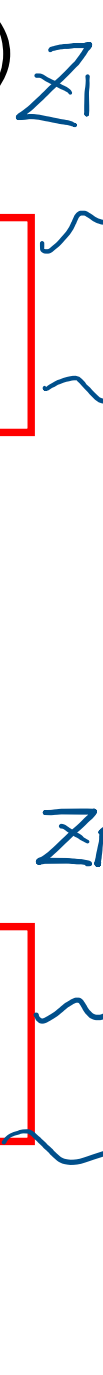
YBE

YBE2

Yang-Baxter duality

YBE3

YBE4



Z_1

Z_2

Z_1'

Z_2'

New Integrable Models

We obtain many **new** solutions of the R-matrix from partition functions

$$4d \quad N=1 \quad S^1 \times S^3/\mathbb{Z}_r, S^2 \times T^2, \dots$$

$$3d \quad N=2 \quad S^1 \times S^2, S^3/\mathbb{Z}_r, \dots$$

$$2d \quad N=(2,2) \quad S^2, T^2, \dots$$

[Y] [Terashima-Y] ('12), [Y] ('13),
[Yagi] ('15), [Yan-Y] ('15), [Y] ('16),...

We obtain many **new** solutions of the R-matrix from partition functions

4d $N=1$

(lens) elliptic

$$S^1 \times S^3 / \mathbb{Z}_r, S^2 \times T^2, \dots$$

(lens) elliptic gamma: $\Gamma(x; p, q)$

3d $N=2$

trigonometric

$$S^1 \times S^2, S^3 / \mathbb{Z}_r, \dots$$

q -dilog: $(x; q)_\infty, S_b(x)$

2d $N=(2,2)$

rational

$$S^2,$$

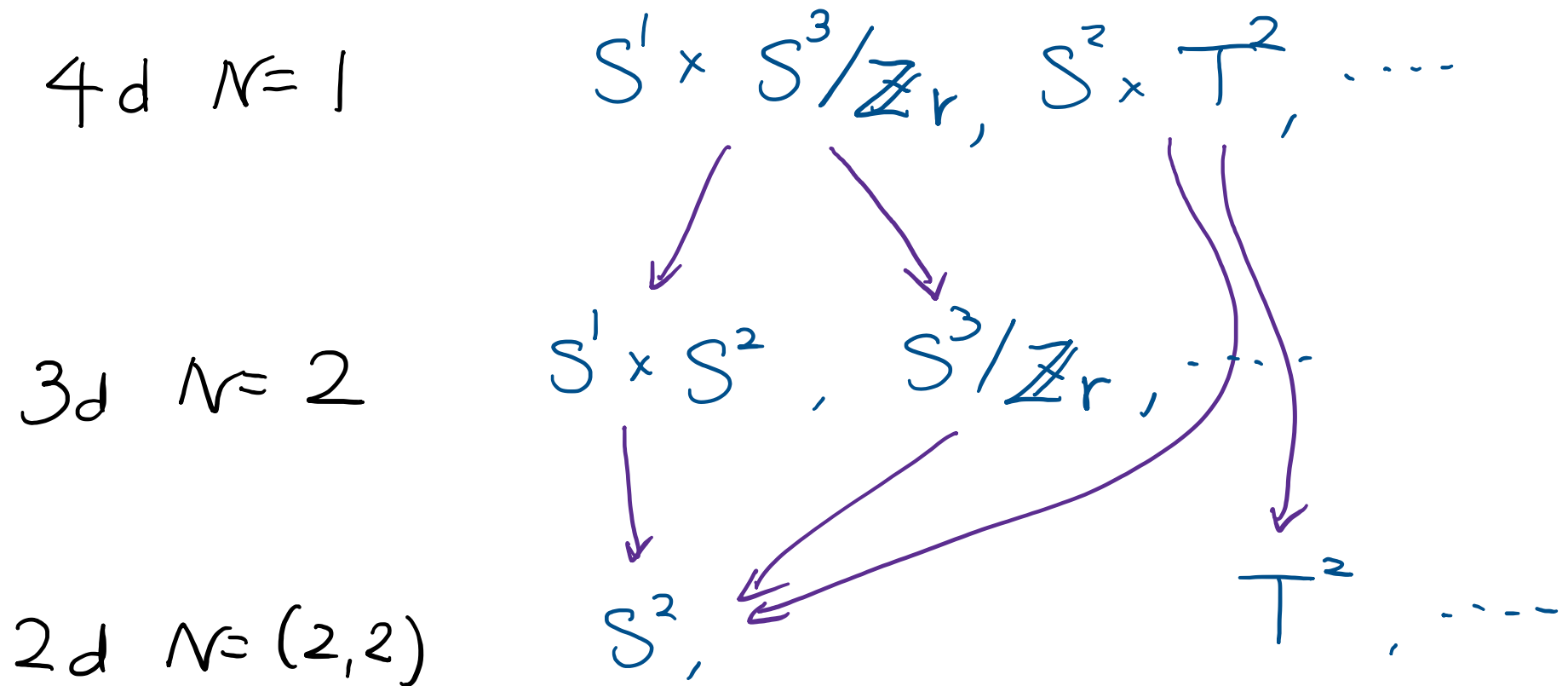
$\Gamma(x)$

$$T^2, \dots$$

$\theta(x|\tau)$

They do **NOT** fit into [Belavin-Drinfeld] ('82) classification

We obtain many **new** solutions of the R-matrix from partition functions



dimensional reduction

[Gadde-Yan] [Dolan-Spiridonov-Vartanov]
 [Imamura] ('11) [Y] [Benini-Cremonesi] ('13),

We obtain many **new** solutions of the R-matrix from partition functions

$$4d \quad N=1 \quad S^1 \times S^3/\mathbb{Z}_r, S^2 \times T^2, \dots$$

$$3d \quad N=2 \quad S^1 \times S^2, S^3/\mathbb{Z}_r, \dots$$

$$2d \quad N=(2,2) \quad \underbrace{S^2}, T^2, \dots$$

mixture of cluster algebra & YBE
"cluster-enriched YBE"

[Y] ('16), based on [Benini-Park-Zhao] ('14)

We obtain many **new** solutions of the R-matrix from partition functions

$$4d \quad N=1 \quad S^1 \times S^3/\mathbb{Z}_r, \quad S^2 \times T^2, \dots$$

$$3d \quad N=2 \quad S^1 \times S^2, \quad S^3/\mathbb{Z}_r, \dots$$

$$2d \quad N=(2,2) \quad S^2, \quad \underbrace{T^2}_{\text{wavy}}, \dots$$

Jeffrey-Kirwan residue

[Yan-Y] ('15), cf.

[Benini-Eager-Hori-Tachikawa] ('13)

“super-master solution” [Y] ('13)

(for $r=1$ “master solution” of

[Bazhanov-Sergeev] ('10),

and contains all known solutions of STR
with positive Boltzmann weight)

4d $N=1$

$S^1 \times S^3 / \mathbb{Z}_r, S^2 \times T^2, \dots$

3d $N=2$

$S^1 \times S^2, S^3 / \mathbb{Z}_r, \dots$

2d $N=(2,2)$

S^2, T^2, \dots

“super-master solution” from 4d N=1 on $S^1 \times S^3 / \mathbb{Z}_r$
with gauge group $SU(N)$

[Y] ('13), based on [Benini-Nishioka-Y] ('11)

Spins take values in discrete/continuous
variables

$$\left(\mathbb{R} \times \mathbb{Z}_r \right)^{N-1}$$

Elliptic parameter p, q arises from complex
structure of

$$S^1 \times S^3 / \mathbb{Z}_r$$

[Kodaira] ('66)

[Closset-Dumitrescu-Festuccia-Komargodski] ('13)

While integrability is a consequence of gauge theory duality, integrability was recently directly **proven mathematically** by [Kels-Yamazaki] ('17), by generalizing earlier works [Spiridonov] ('01) ($r=1$, $N=2$), [Rains] ('03) ($r=1$, $N>2$), [Kels] ('15) ($r>1$, $N=2$),...

While integrability is a consequence of gauge theory duality, integrability was recently directly **proven mathematically** by [Kels-Yamazaki] ('17), by generalizing earlier works [Spiridonov] ('01) ($r=1$, $N=2$), [Rains] ('03) ($r=1$, $N>2$), [Kels] ('15) ($r>1$, $N=2$),...

Intertwiner of (very likely **new**) quantum-group type structure?

$$\mathcal{U}_{\mathfrak{g}; r}(\mathfrak{sl}_N) \dots$$

Hint: for $r=1$, $N=2$ this comes from Sklyanin

algebra [Sklyanin] ('83)

[Cherednik] ('85)

$$\mathcal{U}_{\mathfrak{g}}(\mathfrak{sl}_N)$$

Particularly interesting limit: **root of unity limit**

(Lens) elliptic gamma function diverges:

[Bazhanov-Sergeev] ('10) [Kels-Y] ('17)

$$\Phi(z; p, q) = \prod_{j, k=0}^{\infty} \frac{1 - e^{2iz} p^{2j+1} q^{2k+1}}{1 - e^{-2iz} p^{2j+1} q^{2k+1}}$$

$$\epsilon \rightarrow 0 \left(p = e^{i\pi\tau}, \quad q = e^{-\frac{\epsilon}{2N^2}} q, \quad q^{2N} = 1 \right)$$

$$\Phi(z; p, q) = \exp\left(\frac{i}{\epsilon} 2N \int_0^z du \ln \overline{\vartheta}_3(Nu/N\tau)\right)$$

\times (subleading finite piece)

Particularly interesting limit: **root of unity limit**

This requires saddle point analysis

[Bazhanov-Sergeev] ('10) [Kels-Y] ('17)

Schematically,

$$Z \rightarrow \sum \int d\sigma e^{\frac{1}{\epsilon} W^{(0)} + W^{(1)} + \mathcal{O}(\epsilon)}$$

saddle point in $\epsilon \rightarrow 0$

$$\frac{\partial W^{(0)}}{\partial \sigma} = 0$$

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Saddle point equation (for $N=2$): **discrete classical integrable equation (Q4)** of [Adler-Bobenko-Suris] ('02)

In their classification, (Q4) is the most general, and everything else is its degeneration

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Saddle point equation (for $N=2$): **discrete classical integrable equation (Q4)** of [Adler-Bobenko-Suris] ('02)

In their classification, (Q4) is the most general, and everything else is its degeneration

The saddle point equation is also the Bethe Ansatz equation for the dimensionally-reduced theory, in Gauge/Bethe correspondence [Nekrasov-Shatashvili] ('02), see also [Kels-Y] ('17) for related comments

We obtain YBE by evaluating the subleading corrections at a (leading) saddle point

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Several discrete integrable models reproduced, including **chiral Potts model** [Bazhanov-Sergeev] ('10) [Kels-Y] ('17)

Chiral Potts models [von Gehlen-Rittenberg] ('85) [Au-Yang-McCoy-Perk-Tan-Yang] ('87) [Baxter-Perk-Au-Yang] ('88)

has higher-genus spectral curve, and do not have “rapidity-difference property”

$$R(z_1, z_2) \neq R(z_1 - z_2)$$

GAUGE THEORIES, VERTEX MODELS, AND QUANTUM GROUPS

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There are several obvious areas for further investigation. In terms of statistical mechanics, one compelling question is to understand the origin of the spectral parameter (and the elliptic modulus) in IRF and vertex models; this is essential for explaining the origin of integrability. Another question, which may or may not be related, is to understand the spin models formulated only rather recently [24] in which the spectral parameter is not an abelian variable (as in previous construc-

chiral Potts

Summary

Why integrable models exist? Perspective from QFT?

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**Because of
Gauge Theory Duality**

Why integrable models exist? Perspective from QFT?

**Because of
Gauge Theory Duality**

**Because of
Locality, Unitarity,...**

Origin of spectral parameter: R-charge

**Origin of spectral parameter:
R-charge**

**New integrable models,
and new mathematics
and physics**