

Via u-Plane Integrals

Gregory Moore Rutgers University

Mostly review. Includes new work with Iurii Nidaiev & Jan Manschot

StringMath2018, Tohoku Univ. June 20, 2018



#### 2 Cambrian: Witten Reads Donaldson

- 3 Carboniferous: SW Theory & u-plane Derivation Of Witten's Conjecture.
- 4 Holocene: Three New Apps
- 5 Holomorphic Anomaly & Continuous Metric Dep.



## Donaldson Invariants Of 4-folds

*X*: Smooth, compact, oriented,  $\partial X = \emptyset$ ,  $(\pi_1(X) = 0)$ 

 $P \rightarrow X$ : Principal SO(3) bundle.

X has metric  $g_{\mu\nu}$ . Consider moduli space of instantons:

$$\mathcal{M} \coloneqq \{A: F + *F = 0\} \mod \mathcal{G}$$

Donaldson defines cohomology classes in  $\mathcal{M}$ associated to points and surfaces in  $X : \mu(p) \& \mu(S)$ 

$$\mathscr{D}_D(p^\ell S^r) \coloneqq \int_{\mathcal{M}} \mu(p)^\ell \, \mu(S)^r$$

Independent of metric!  $\Rightarrow$  smooth invariants of X.

Combined with Freedman theorem: Spectacular!

#### Witten's Interpretation: Topologically Twisted SYM On X

Consider  $\mathcal{N} = 2$  SYM theory on X for gauge group G

Witten's ``topological twisting'': Couple to special external gauge fields for certain global symmetries.

Result: Fermion fields and susy operators are differential forms; The twisted theory is defined on non-spin manifolds.

And there is a scalar susy operator with  $Q^2 = 0$ 

Formally: Correlation functions of operators in *Q*-coho. localize to integrals over the moduli spaces of G-ASD connections (or generalizations thereof).

Witten's proposal: For G = SU(2) correlation functions of the special operators are the Donaldson polynomials.

## Local Observables $\wp \in Inv(\mathfrak{g}) \Rightarrow U = \wp(\phi) \quad \phi \in \Omega^0(adP \otimes \mathbb{C})$ **Descent formalism** $H_*(X,\mathbb{C})$ $\rightarrow$ H<sup>\*</sup>(Fieldspace; Q) Localization identity $H^*(\mathcal{M})$ $g = \mathfrak{su}(2)$ $U(p) = Tr_2\left(\frac{\phi^2}{8\pi^2}\right)$ $U(S) \sim \int_C Tr(\phi F + \psi^2)$

Donaldson-Witten Partition  
Function  

$$Z_{DW}(p,S) = \langle e^{U(p)+U(S)} \rangle_{\Lambda_0}$$

$$\int_X Tr F^2 = 8 \pi^2 k$$

$$= \sum_{k \ge 0} \Lambda_0^{4k} \int_{\mathcal{M}_k} e^{\mu(p)+\mu(S)}$$

Strategy: Evaluate in LEET  $\Rightarrow$  Witten (1994) introduces the Seiberg-Witten invariants.

Major success in Physical Mathematics.



#### What About Other N=2 Theories?

Natural Question: Given the successful application of  $\mathcal{N} = 2$  SYM for SU(2) to the theory of 4-manifold invariants, are there interesting applications of OTHER  $\mathcal{N} = 2$  field theories?

Topological twisting just depends on  $SU(2)_R$ symmetry and makes sense for any  $\mathcal{N} = 2$  theory.

$$Z^{\mathcal{T}} \coloneqq \langle e^{U(p) + U(S)} \rangle_{\mathcal{T}}$$

Also an interesting exercise in QFT to compute correlation functions of nontrivial theories in 4d.

## SU(2) With Matter On X

 $\mathcal{R} = 2^{\bigoplus N_{fl}}$  Mass parameters  $m_f \in \mathbb{C}, f = 1, ..., N_{fl}$ 

 $M \in \Gamma(S^+ \otimes E^{\bigoplus N_{fl}}) \implies w_2(P) = w_2(X)$ 

 $\mathcal{N} = 2^* : \mathcal{R} = (adj_{\mathbb{C}} \bigoplus adj_{\mathbb{C}}^*) \qquad m \in \mathbb{C}$ 

 $M\in \Gamma(S^+\otimes \mathcal{L}^{\frac{1}{2}}\otimes adP) \Longrightarrow c_1(\mathcal{L})\equiv w_2(X)$ 

[Labastida-Marino '98]

### **UV** Interpretation



But now  $\mathcal{M}_k$ : is the moduli space of:  $F^+ = \mathcal{D}(M, \overline{M}) \quad \gamma \cdot D \ M = 0$ 

#### ``Generalized monopole equations"

[Labastida-Marino; Losev-Shatashvili-Nekrasov]

U(1) case: Seiberg-Witten equations.



#### 2 Cambrian: Witten Reads Donaldson



Carboniferous: SW Theory & u-plane Derivation Of Witten's Conjecture.

Δ	Holocene <sup>.</sup>	Three	New Anns

#### 5 Holomorphic Anomaly & Continuous Metric Dep.



#### Coulomb Branch Vacua On $\mathbb{R}^4$

- $SU(2) \rightarrow U(1)$  by vev ofOrder parameter:adjoint Higgs field  $\phi$ : $u = \langle U(p) \rangle \in \mathbb{C}$
- Coulomb branch:  $\mathcal{B} = \mathfrak{t} \otimes \mathbb{C}/W \cong \mathbb{C}$   $adP \to L^2 \bigoplus \mathcal{O} \bigoplus L^{-2}$
- Photon: Connection A on L U(1) VM:  $(a, A, \chi, \psi, \eta)$ a: complex scalar field on  $\mathbb{R}^4$ :

Compute couplings in U(1) LEET. Then compute path integral with this action. Then integrate over vacua.

## Seiberg-Witten Theory: 1/2

For G=SU(2) SYM coupled to matter the LEET can be deduced from a holomorphic family of elliptic curves with differential:

*E<sub>u</sub>*: 
$$y^2 = 4x^3 - g_2x - g_3$$
  $\frac{d\lambda}{du} = \frac{dx}{y}$   $u \in \mathbb{C}$   
*g*<sub>2</sub>, *g*<sub>3</sub> are polynomials in *u*, masses,  
 $\Lambda$ , modular functions of  $\tau_0$ 

$$\Delta \coloneqq 4(g_2^3 - 27 g_3^2) : \text{polynomial in } u$$

 $\Delta(u_j) = 0$ : Discriminant locus



**Examples From SW '94**  $N_{fl} = 0$ :  $y^2 = (x - u)(x - \Lambda^2)(x + \Lambda^2)$  $\mathcal{N} = 2^* : y^2 = \prod (x - \alpha_i) \quad \alpha_i = u \, e_i(\tau_0) + \frac{m^2}{4} e_i(\tau_0)^2$ i=1 $N_{fl} = 4$ :  $y^2 = W_1 W_2 W_3 + \eta^{12} \sum_{i=1}^{n} R_4^i W_i + \eta^{24} R_6$  $W_i = x - u e_i(\tau_0) - R_2 e_i(\tau_0)^2$ 

 $\Delta$ : 6<sup>th</sup> order polynomial in *u* with ~ 3 × 10<sup>3</sup> terms

$$\mathfrak{m} = \begin{pmatrix} 0 & m_1 & & & \\ -m_1 & 0 & & & \\ & 0 & m_2 & & \\ & -m_2 & 0 & & \\ & & 0 & m_3 & \\ & & -m_3 & 0 & \\ & & & 0 & m_4 \\ & & & -m_4 \end{pmatrix} \in \mathfrak{spin}(8)$$

 $\begin{aligned} R_2 &\sim Tr_{8_i} \mathfrak{m}^2 & R_4^i \sim Tr_{8_i} \mathfrak{m}^4 & R_6 \sim Tr_{8_i} \mathfrak{m}^6 \\ SL(2,\mathbb{Z}) &\to Aut(\mathfrak{spin}(8)) \cong S_3 \end{aligned}$ 

#### Local System Of Charges

Electro-mag. charge lattice:  $\Gamma_u = H_1(E_u; \mathbb{Z})$ 

has nontrivial monodromy around discriminant locus:  $\Delta(u_i) = 0$ 

LEET: Requires choosing a duality frame:  $\Gamma_u \cong \mathbb{Z}\gamma_e \bigoplus \mathbb{Z}\gamma_m \Rightarrow \tau(u)$ 

$$S \sim \int_X \bar{\tau} F_+^2 + \tau F_-^2 + \cdots$$



LEET breaks down at  $u = u_j$  where  $Im(\tau) \rightarrow 0$ 

Seiberg-Witten Theory: 2/2 LEET breaks down because there are new massless fields associated to BPS states

$$u = u_j$$

Charge 1 HM:  $(M = q \oplus \tilde{q}^*, \cdots)$ 

LEET in  $\mathcal{U}_j$ : +

 $U(1)_j VM: (a, A, \chi, \psi, \eta)_j$ 

Evaluate  $Z_{DW}$  Using LEET  $Z_{DW}(p,S) = \langle e^{U(p) + U(S)} \rangle_{\wedge}$  $= \sum_{k} \Lambda^{k} \int_{\mathcal{M}_{k}} e^{\mu(p) + \mu(S)} \mathcal{E}$  $Z_{DW} = Z_u + \sum_{j} Z_j^{SW}$  $u_i$ 



### *u*-Plane Integral $Z_u$

Can be computed explicitly from QFT of LEET

Vanishes if  $b_2^+ > 1$ . When  $b_2^+ = 1$ :  $Z_u = \int du \, d\bar{u} \, \mathcal{H} \, \Psi$ 

 $\mathcal{H}$  is **holomorphic** and **metric-independent** 

Ψ: Sum over line bundles for the U(1) photon.(Remnant of sum over SU(2) gauge bundles.)

$$\Psi \sim \sum_{\lambda = c_1(L)} e^{-i \pi \overline{\tau}(\overline{u})\lambda_+^2 - i \pi \tau(u)\lambda_-^2}$$

**NOT holomorphic** and *metric-DEPENDENT* 

#### Comments On $Z_u$

 $Z_u$  is a very subtle integral.

# It requires careful regularization and definition.

It is also related to integrals from number theory such as  $\bigcirc \Theta$  –lifts" and mock modular forms. It is very nearly an integral of a total derivative...

But first let's finish writing down the full answer for the partition function.

Contributions From  $\mathcal{U}_i$ Path integral for  $U(1)_i$  VM + HM: LEET: Need unknown couplings:  $C(u)^{\lambda^2} P(u)^{\sigma} E(u)^{\chi}$ 

 $\sum SW(\lambda)e^{2\pi i\,\lambda\cdot\lambda_0}\,R_{\lambda}(p,S)$  $\lambda \in \frac{1}{2} w_2(X) + H^2(X,\mathbb{Z})$  $R_{\lambda}(p,S) = Res\left[\left(\frac{da_{j}}{\frac{1+\frac{d(\lambda)}{2}}{a_{z}}}\right) e^{2pu+S^{2}T(u)+i\left(\frac{du}{da_{j}}\right)S\cdot\lambda} C(u)^{\lambda^{2}}P(u)^{\sigma}E(u)^{\chi}\right]$ 

Special coordinate:  $a_i \quad u = u_i + \kappa_i a_i + \mathcal{O}(a_i^2)$  $d(\lambda) = \frac{(2\lambda)^2 - c^2}{2}$ 

$$=\frac{(2\pi)^{2}}{4}$$
  $c^{2} = 2\chi + 3\sigma$ 

#### Deriving C,P,E From Wall-Crossing

$$\frac{d}{dg_{\mu\nu}}Z_u = \int Tot \, deriv =$$

 $Z_u$  piecewise constant: Discontinuous jumps across walls:

$$\Delta_j Z_u + \Delta Z_j^{SW} = 0 \Rightarrow C(u), P(u), E(u)$$

Then for  $b_2^+ > 1$ ,  $Z_u \neq 0$ , but we know the couplings to compute  $Z_{SW}$ !

 $Z_u$  is the tail that wags the dog.

#### Witten Conjecture

⇒ a formula for all X with  $b_2^+ > 0$ . For  $b_2^+(X) > 1$  we derive ``Witten's conjecture'':

$$Z_{DW}(p,S) = 2^{c^{2}-\chi_{h}} \left( e^{\frac{1}{2}S^{2}+2p} \sum_{\lambda} SW(\lambda)e^{2\pi i \lambda \cdot \lambda_{0}}e^{S\cdot\lambda} + i^{\chi_{h}}e^{-\frac{1}{2}S^{2}-2p} \sum_{\lambda} SW(\lambda)e^{2\pi i \lambda \cdot \lambda_{0}}e^{-iS\cdot\lambda} \right)$$

$$\chi_{h} = \frac{\chi + \sigma}{4}$$
Example:  $X = K3$ :
$$c^{2} = 2\chi + 3 \sigma$$

$$Z = \Lambda_{0}^{6} \sinh\left(\frac{(\Lambda_{0}S)^{2}}{2} + 2\Lambda_{0}^{2}p\right)$$

### SWST

SWST = <u>Seiberg-Witten</u> Simple Type

 $SW(\lambda) \neq 0$  ONLY when moduli space of solutions to Seiberg-Witten is zero-dimensional.

All known X with  $b_2^+ > 1$  are of SWST

Generalization To 
$$N_{fl} > 0$$
  
 $b_2^+ > 1$   $Z(p; S; m_f) = \sum_{j=1}^{2+N_{fl}} Z(p, S; m_f; u_j)$   
 $Z(p, S; m_f; u_j) = \sum_{\lambda} SW(\lambda) e^{2\pi i \lambda \cdot \lambda_0} R_j(p, S)$   
 $X$  is SWST  $\Rightarrow$ 

 $R_j(p,S)$  is computable explicitly as a function of  $p, S, m_f, \Lambda(\tau_0)$  from first order degeneration of the SW curve.

 $Z(p, S; m_f; \Lambda) = \alpha^{\chi} \beta^{\sigma} \sum_{j=1}^{2+N_{fl}} \mu_j^{\chi_h} \nu_j^{\chi_h+\sigma} e^{2p u_j + S^2 T_j} F_j(S)$ 

 $\mu_{j} = g_{2}(u_{j})^{3} / \prod_{t \neq j} (u_{j} - u_{t}) \quad \nu_{j} \sim (g_{2}(u_{j}))^{\frac{1}{4}}$ 

 $F_j(S) = \sum_{j} SW(\lambda) e^{i \pi \lambda \cdot c_1(\mathfrak{s})} \cos(\nu_j \lambda \cdot S)$  $y^2 = 4x^3 - g_2(u, m_j)x - g_3(u, m_j)$ 



4

- 2 Cambrian: Witten Reads Donaldson
- 3 Carboniferous: SW Theory & u-plane Derivation Of Witten's Conjecture.

Holocene: Three New Apps

5 Holomorphic Anomaly & Continuous Metric Dep.



## <sup>C</sup>Application 1: S-Duality Of $N_{fl} = 4$

 $Z(p, S; \tau_0)$  is expected to have modular properties:

$$\alpha^{\chi}\beta^{\sigma}\sum_{j=1}^{6}\mu_{j}^{\chi_{h}}\nu_{j}^{\chi_{h}+\sigma}e^{2pu_{j}+S^{2}T_{j}}F_{j}(S)$$
$$\mu_{j} = g_{2}(u_{j})^{3} / \prod_{t\neq j}(u_{j}-u_{t}) \quad \nu_{j} \sim (g_{2}(u_{j}))^{\frac{1}{4}}$$

Sum over *j* gives <u>symmetric</u> <u>rational</u> function of  $u_j \Rightarrow Z$  will be modular:

 $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2,\mathbb{Z})$ 

 $Z\left(\frac{p+c(c\tau_0+d)S^2}{(c\tau_0+d)^2},\frac{S}{(c\tau_0+d)^2};\frac{a\tau_0+b}{c\tau_0+d};\gamma\cdot\mathsf{m}\right)$ 

### $= (c\tau_0 + d)^w Z(p, S; \tau_0; m)$

$$w = \frac{2\chi + 3\sigma}{2}$$

#### Application 2: Collision Of Mutually Local Singularities Interesting things when mass parameters $m_j$ take values so that $u_j$ collide.



Application 2: Collision Of Mutually Local Singularities Interesting things when mass parameters  $m_j$ take values so that  $u_j$  collide.





$$W^{eff} \sim \sum_{f} \delta m_{f} \ \tilde{Q}^{f} Q_{f}$$

 $\Rightarrow$  Z should be  $SU(N_{fl})$  –equivariant integral over moduli space of U(1) –multimonopole equations:

$$F^+ = \sum_f \bar{M}^f M_f \qquad \gamma \cdot DM_f = 0$$

In the limit  $\delta m_j \rightarrow 0$  the dominant term in Z is:

$$J(\tilde{S})\sum_{f}\prod_{t\neq f} \left(\delta m_f - \delta m_t\right)^{-\chi_h} e^{\delta m_f \tilde{p}}$$

$$J(\tilde{S}) = e^{\tilde{S}^2/2} \sum_{\lambda} e^{i \pi \lambda \cdot c_1(\mathfrak{s})} SW(\lambda) \cos(\lambda \cdot \tilde{S})$$

This agrees with computations of Dedushenko, Gukov and Putrov of the equivariant integrals on U(1)-multimonopole space.

#### **Application 3: AD3 Partition Function**

Consider  $N_{fl} = 1$ . At a critical point  $m = m_*$  two singularities  $u_{\pm}$  collide at  $u = u_*$  and the SW curve becomes a cusp:  $y^2 = x^3$  [Argyres,Plesser,Seiberg,Witten]

Two mutually nonlocal BPS states have vanishing mass:

$$\oint_{\gamma_1} \lambda \to 0 \qquad \oint_{\gamma_2} \lambda \to 0 \qquad \gamma_1 \cdot \gamma_2 \neq 0$$

Physically: No local Lagrangian for the LEET : Signals a nontrivial superconformal field theory appears in the IR in the limit  $m \rightarrow m_*$ 

AD3 From 
$$SU(2) N_{fl} = 1$$



SW curve in the scaling region:  $y^2 = x^3 - 3 \Lambda_{AD}^2 x + u_{AD}$  $\Lambda_{AD}^2 \sim (m - m_*)$ 

Call it the ``AD3-Family over the  $u_{AD}$  –plane"

## AD3 Partition Function - 1

No obvious UV definition of the invariant.

The  $SU(2) N_{fl} = 1$  u-plane integral has a nontrivial contribution from the scaling region  $u_{\pm} \rightarrow u_{*}$ 

$$\lim_{m \to m_*} Z_u - \int du \, d\bar{u} \lim_{m \to m_*} Measure(u, \bar{u}; m) \neq 0$$

Limit and integration commute except in an infinitesimal region around  $u_*$ 

Attribute the discrepancy to the contribution of the AD3 theory

### AD3 Partition Function - 2

1. Limit  $m \rightarrow m_*$  exists. (No noncompact Higgs branch.)

- 2. The partition function is a sum over all Q-invariant field configurations.
- 3. Scaling region near  $u_*$  governed by AD3 theory.

 $\lim_{m \to m_*} Z^{SU(2),N_{fl}=1}$  ``contains'' the AD3 partition function

Extract it from the scaling region. Our result:

$$Z^{AD3} = \lim_{\Lambda_{AD} \to 0} \left( Z_u^{AD3 - family} + Z_{SW}^{AD3 - family} \right)$$

Claim: This is the AD3 TFT on X for  $b_2^+ > 0$ .

#### AD3 Partition Function: Evidence 1/2

Existence of limit is highly nontrivial. It follows from ``superconformal simple type sum rules" :

Theorem [Marino, Moore, Peradze, 1998] If the superconformal simple type sum rules hold:

a.) 
$$\chi_h - c^2 - 3 \le 0$$
  
b.)  $\sum_{\lambda} SW(\lambda) e^{2\pi i \lambda \cdot \lambda_0} \lambda^k = 0$   $0 \le k \le \chi_h - c^2 - 4$ 

#### Then the limit $m \rightarrow m_*$ exists

It is now a rigorous theorem that SWST  $\Rightarrow$  SCST

Feehan & Leness

AD3 Partition Function: Evidence 2/2

For  $b_2^+ > 0$  recover the expected selection rule:

$$\langle U(p)^{\ell}U(S)^{r}\rangle \neq 0 \text{ only for } \frac{6\ell+r}{5} = \frac{\chi_{h}-c^{2}}{5}$$

Consistent with background charge for AD3 computed by Shapere-Tachikawa.

Explicitly, if *X* of *SWST* and  $b_2^+ > 1$ :

### Explicitly, if *X* of *SWST* and $b_2^+ > 1$ :

 $Z^{AD3} = \frac{1}{\mathfrak{B}!} K_1 K_2^{\chi} K_3^{\sigma} \sum_{\lambda} e^{2\pi i \lambda \cdot w_2} SW(\lambda) \cdot$  $\cdot \{ (\lambda \cdot S)^{\mathfrak{B}-2} (24(\lambda \cdot S)^2 + \mathfrak{B}(\mathfrak{B}-1)S^2) \}$  $\mathfrak{B} = \chi_h - c^2 = -\frac{7\,\chi + 11\,\sigma}{4}$ 

Surprise! p drops out: U(p) is a ``null vector"

#### Should We Be Surprised?

Already for  $N_{fl} = 0$  and SWST it is also true that  $U(p)^2 - \Lambda_0^4$  is a null vector.

Without a good physics reason why these should be null vectors one suspects that there are (standard) 4-manifolds not of SWST.



- 2 Cambrian: Witten Reads Donaldson
- 3 Carboniferous: SW Theory & u-plane Derivation Of Witten's Conjecture.
- 4 Holocene: Three New Apps





$$Z_{u} \text{ Wants To Be A Total Derivative}$$

$$Z_{u} = \int du \, d \, \bar{u} \, \mathcal{H}(u) \, \Psi$$

$$\Psi = \sum_{\lambda} \frac{d}{d\bar{u}} \left( \mathcal{E}(r_{\lambda}^{\omega}, b_{\lambda}) \right) e^{-i \, \pi \tau \lambda^{2} - i \, S \cdot \frac{du}{da} + 2 \, \pi i \, (\lambda - \lambda_{0}) \cdot w_{2}}$$

$$\mathcal{E}(r, b) = \int_{b}^{r} e^{-2\pi t^{2}} dt \quad r_{\lambda}^{\omega} = \sqrt{y} \lambda_{+} - \frac{i}{4\pi \sqrt{y}} S_{+} \frac{du}{da}$$

Lower limit  $b_{\lambda}$  must be independent of  $\overline{u}$  but can depend on  $\lambda, \omega, u$ .

[Korpas & Manschot; Moore & Nidaiev]

$$Z_u = \int d\mathbf{u} \, \mathrm{d} \, \bar{\mathbf{u}} \frac{d}{d\bar{u}} \left( \mathcal{H}(u) \Theta^{\omega} \right)$$

Not obvious: No obvious choice for  $b_{\lambda}$  with both convergence and modular invariance.

BUT: The difference for two metrics CAN be evaluated by residues!

$$Z_{u}^{\omega} - Z_{u}^{\omega_{0}} = \int du \, d\bar{u} \, \frac{d}{d\bar{u}} \left(\mathcal{H}\widehat{\Theta}^{\omega,\omega_{0}}\right)$$
$$\widehat{\Theta}^{\omega,\omega_{0}} = \sum_{\lambda} \left(\mathcal{E}(r_{\lambda}^{\omega}) - \mathcal{E}(r_{\lambda}^{\omega_{0}})\right) e^{-i\pi\tau(u)\lambda^{2}+\cdots}$$
$$r_{\lambda}^{\omega} = \sqrt{y}\lambda_{+} - \frac{i}{4\pi\sqrt{y}}S_{+} \, \frac{du}{da}$$

Modular completion of indefinite theta function of Vigneras, Zwegers, Zagier

# Holomorphic Anomaly & Metric Dependence

For theories with a manifold of superconformal couplings,  $\tau(u) \rightarrow \tau_0$  when  $u \rightarrow \infty$ 

$$\widehat{\Theta}^{\omega,\omega_0}(\tau(u),\dots)\to \widehat{\Theta}^{\omega,\omega_0}(\tau_0,\dots)$$

Contour integral at  $\infty \Rightarrow For \quad b_2^+ = 1$ , many ``topological'' correlators:

#### **Nonholomorphic** in $\tau_0$

#### Depend *continuously* on metric

[Moore & Witten, 1997 -- albeit sotto voce ]

### Special Case Of $\mathbb{CP}^2$

No walls:  $\omega \in H^2(X, \mathbb{R}) \cong \mathbb{R}$ , so we only see holomorphic anomaly.

 $\Rightarrow$  **Path integral** derivation of the holomorphic anomaly.

## Vafa-Witten Partition Functions

- VW twist of N=4 SYM formally computes the ``Euler character" of instanton moduli space.
- (Not really a topological invariant. True mathematical meaning unclear, but see recent work of Tanaka & Thomas; Gholampour, Sheshmani, & Yau.)
- Physics suggests the partition function is <u>both</u> modular (S-duality) <u>and</u> holomorphic.
  - Surprise! Computations of Klyachko and Yoshioka for  $X = \mathbb{CP}^2$  show that the holomorphic generating function is only mock modular.
  - But a nonholomorphic modular completion exists.

This has never been properly derived from a path integral argument.

- But we just derived completely analogous results for the  $N_{fl} = 4$  theory
- from a path integral, suggesting VW will also have continuous metric dependence.
- Indeed, continuous metric dependence in VW theory for SU(r > 1) has been predicted in papers of Jan Manschot using rather different methods.
- We hope that a similar path integral derivation can be found for the  $\mathcal{N} = 4$  Vafa-Witten twisted theory.



- 2 Cambrian: Witten Reads Donaldson
- 3 Carboniferous: SW Theory & u-plane Derivation Of Witten's Conjecture.
- 4 Holocene: Three New Apps
- 5 Holomorphic Anomaly & Continuous Metric Dep.



#### **Future Directions**

There is an interesting generalization to invariants for families of four-manifolds.

Couple to ``topologically twisted supergravity"

#### $Z \in H^*(BDiff(X))$

Generalization to all theories in class S: Many aspects are clear – this is under study.

#### u-plane for class S: General Remarks

UV interpretation is not clear in general. These theories might give new 4-manifold invariants.

The u-plane is an integral over the base  $\mathcal{B}$  of a Hitchin fibration with a theta function associated to the Hitchin torus. It will have the form

$$Z_u = \int_{\mathcal{B}} du \, d\bar{u} \, \mathcal{H} \, \Psi$$

 $\mathcal{H}$  is **holomorphic** and **metric-independent** 

<u>Ψ: NOT holomorphic</u> and <u>metric- DEPENDENT</u> <u>``theta function"</u>

#### **Class S: General Remarks**

$$\mathcal{H} = \alpha^{\chi} \beta^{\sigma} \det \left(\frac{da^{i}}{du_{j}}\right)^{1-\frac{\chi}{2}} \Delta_{phys}^{\frac{\sigma}{8}}$$

 $\Delta_{phys}$  a holomorphic function on  $\mathcal{B}$  with firstorder zeros at the loci of massless BPS hypers

 $\alpha,\beta$  will be automorphic forms on Teichmuller space of the UV curve *C* 

 $\alpha$ ,  $\beta$  are related to correlation functions for fields in the (0,2) QFT gotten from reducing 6d (0,2)

#### **Class S: General Remarks**

$$\begin{split} \Psi &\sim \sum_{\lambda} e^{i \pi \lambda \cdot \xi} e^{-i \pi \overline{\tau} (\lambda_{+}, \lambda_{+}) - i \pi \tau (\lambda_{-} \cdot \lambda_{-}) + \cdots} \\ \lambda &\in \lambda_{0} + \Gamma \otimes H^{2}(X; \mathbb{Z}) \\ \xi &\in \Gamma \otimes H^{2}(X; \mathbb{R}) \end{split} \qquad \begin{array}{l} \Gamma &\subset H^{1}(\Sigma; \mathbb{Z}) \\ \text{Lagrangian} \\ \text{sublattice} \end{array}$$

If  $\xi = \rho \otimes w_2(X) \mod 2$  then WC from interior of  $\mathcal{B}$  will be cancelled by SW invariants

⇒ No new four-manifold invariants...



Ψ comes from a ``partition function" of a level 1 SD 3-form on  $M_6 = \Sigma \times X$ 

Quantization: Choose a QRIF  $\Omega$  on  $H^3(M_6; \mathbb{Z})$ 

Natural choice: [Witten 96,99; Belov-Moore 2004]

 $\Omega(x) = \exp(i\pi WCS(\theta \cup x; S^1 \times M_6))$ 

Choice of weak-coupling duality frame + natural choice of *spin<sup>c</sup>* structure gives

 $\xi = \rho \otimes w_2(X)$ 

## Case Of SU(2) $\mathcal{N} = 2^*$

- Using the tail-wagging-dog argument, analogous formulae were worked out for  $\mathcal{N} = 2^*$ , by Moore-Witten and Labastida-Lozano in 1998, but <u>*Only*</u> in the case when *X* is spin.
- **L&L** checked S-duality for the case  $b_2^+ > 1$ 
  - The generalization to *X* which is NOT spin is nontrivial: The standard expression from Moore-Witten and Labastida-Lozano is NOT single-valued on the u-plane.

This is not surprising: The presence of external  $U(1)_{baryon}$  gauge field  $F_{baryon} \sim c_1(\mathfrak{s})$  means there should be new interactions:

$$e^{\kappa_1(u)c_1(\mathfrak{s})^2 + \kappa_2(u)\lambda \cdot c_1(\mathfrak{s})}$$
 Shapere & Tachikawa

# Holomorphy, 1-loop singularities, single-valuedness forces:

$$(u-u_1)^{-\frac{c_1(\mathfrak{s})^2}{8}}e^{-i\frac{\partial a_D}{\partial m}\lambda\cdot c_1(\mathfrak{s})}$$

## Surprise!!

It doesn't work! Correct version appears to be non-holomorphic.

With Jan Manschot we have an alternative which is currently being checked.

Does the u-plane integral make sense for **ANY** family of Seiberg-Witten curves ?

# どうもありがとうございます