

# Deep Learning and AdS/CFT

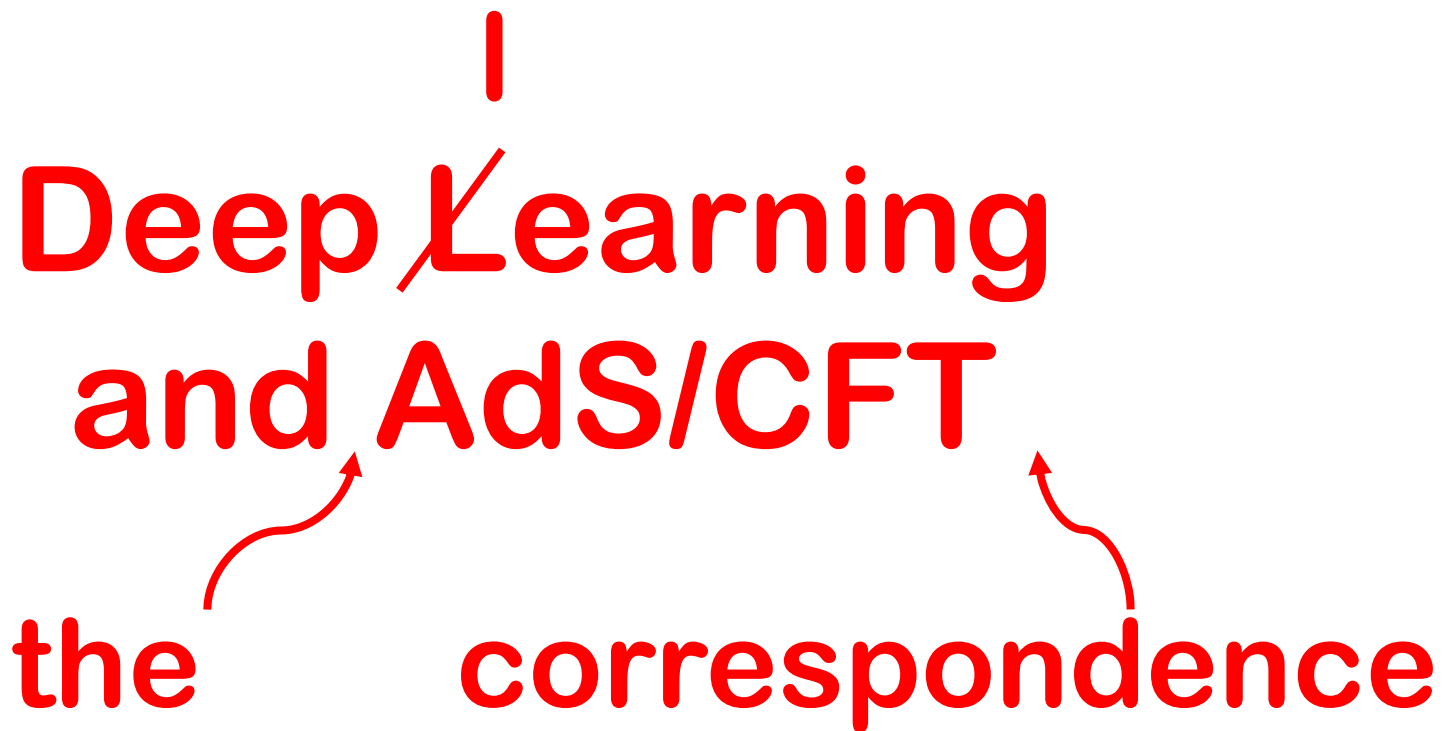
Sotaro Sugishita  
(Osaka U.  $\rightarrow$  U. of Kentucky)

with Koji Hashimoto (Osaka U.),  
Akinori Tanaka (RIKEN),  
Akio Tomiya (CCNU $\rightarrow$ RIKEN)

Based on arXiv:1802.08313 (PRD 98, 046019)  
& 1809.?????

Title was changed by PRD.

I  
~~Deep Learning~~  
and AdS/CFT  
the correspondence

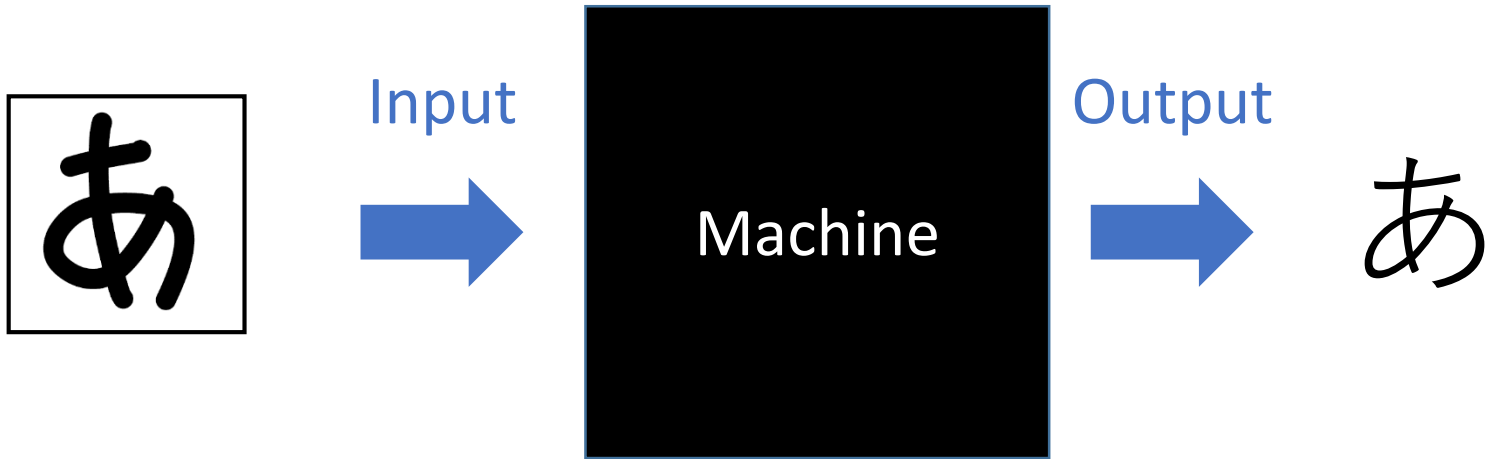


# Outline

- Introduction
- Deep learning
- AdS/CFT
- Deep learning and AdS/CFT
- Summary

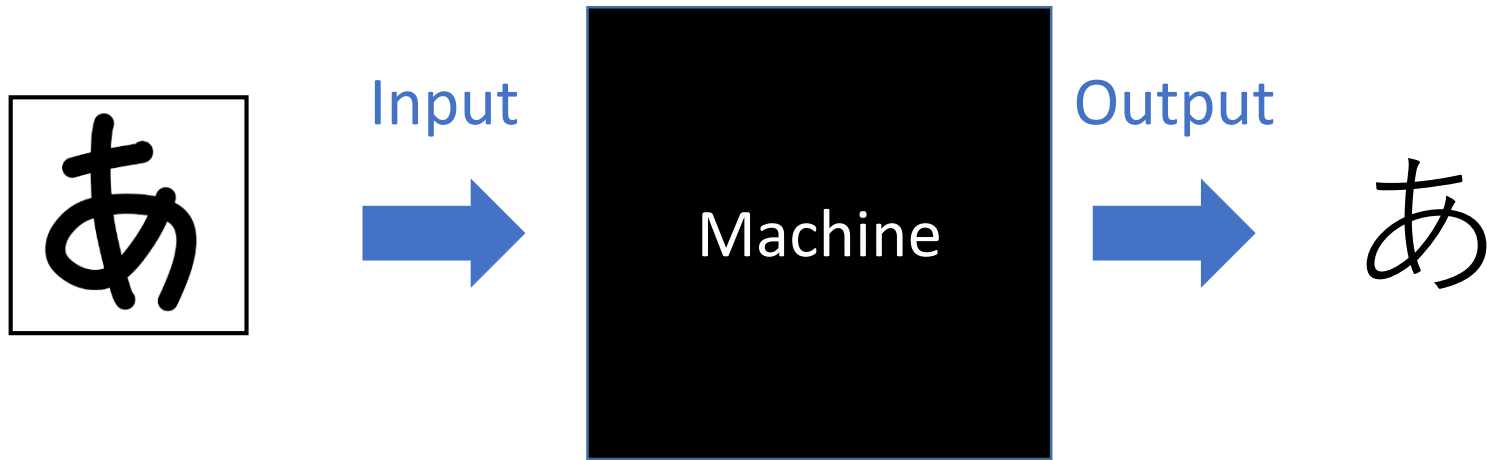
# What is deep learning?

Deep learning is part of machine learning.



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In the machine,  $y = f_N \circ f_{N-1} \cdots \circ f_1(x)$   $x \in \mathcal{D}^{\text{input}}$

$f_i$  : non-linear transformation w/ tunable parameters

- “Learning” is tuning of the parameters.
- “Deep” means large  $N$ .

# Related to coarse-graining?

$x$



$$y = f_N \circ f_{N-1} \cdots \circ f_1(x)$$

$x^{(1)} =$

$x^{(2)} =$

$x^{(3)} =$

$x^{(4)} =$



あ

- Output captures some essential characteristics of input.

# Related to RG?

It reminds us of the renormalization group.

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Or theoretical physicists are tuned so.



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There are papers discussing the relation between machine learning and RG.

[Beny (2013), Mehta & Schwab (2014),  
Koch-Janusz & Ringel (2017), Iso, Shiba & Yokoo (2018), ...]

- > Osaka CTSR - RIKEN iTHES/iTHEMS - Kavli IPMU
- > Joint symposium

# Deep Learning and physics

- > Venue: Nambu hall, Osaka university
- > Date: June 5 (Mon), 2017, 13:00-18:00
- > Invited speakers :
  - > S. Amari (RIKEN)
  - > S. Ikeda (ISM / Kavli IPMU)
  - > Y. Kawahara (Osaka U. / RIKEN)
  - > M. Taki (RIKEN)
  - > A. Tanaka (RIKEN)
  - > T. Ohtsuki (Sophia U.)
  - > N. Suzuki (Kavli IPMU) ■



- > Organizers:
  - > K. Hashimoto (Osaka U.)
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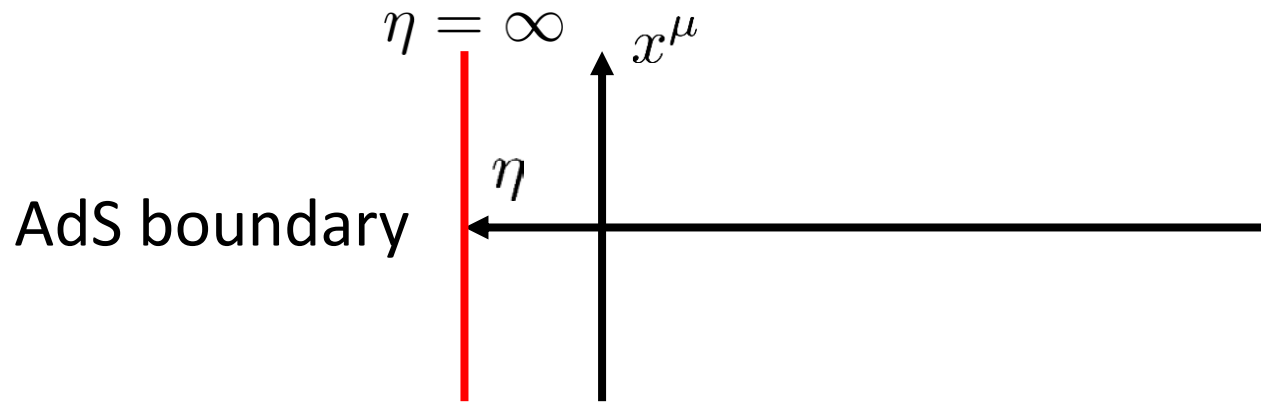
Inspired by  
Dr. Amari's talk



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# AdS/CFT and RG

AdS space  $ds^2 = d\eta^2 + e^{2\eta}(dx_\mu dx^\mu)$

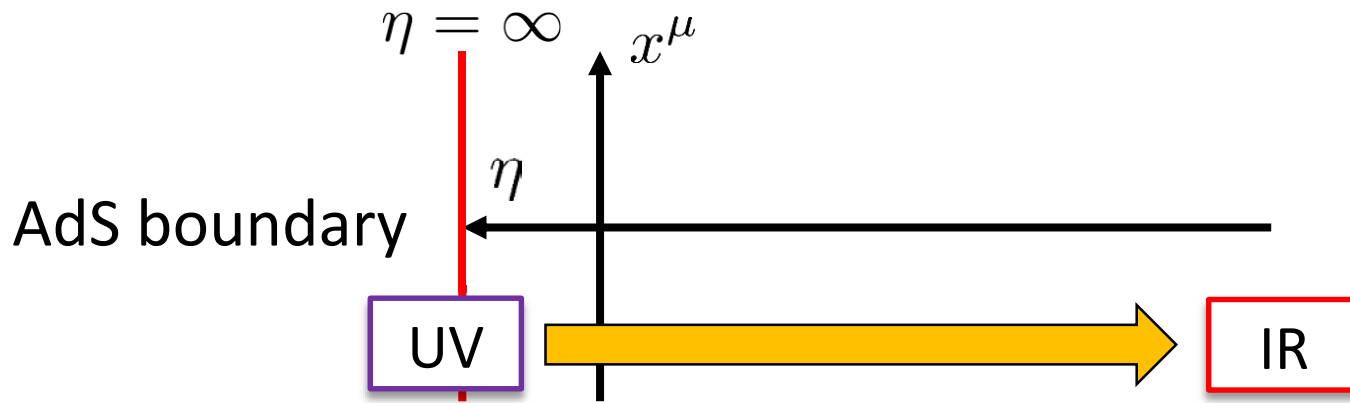


scale transformation =  $\eta$ -translation

$$x^\mu \rightarrow e^\lambda x^\mu$$

# AdS/CFT and RG

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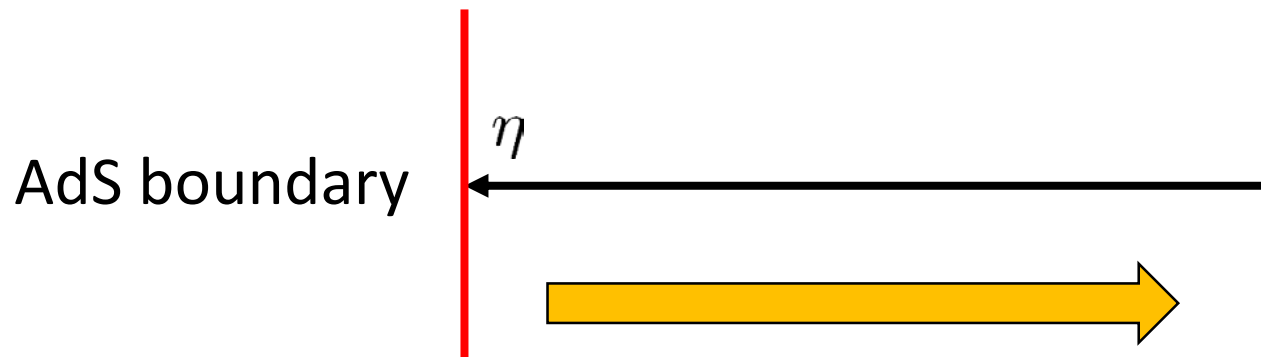
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holographic RG

# AdS space as neural network

Can we regard the evolution in the bulk direction as the propagation in a deep neural network?



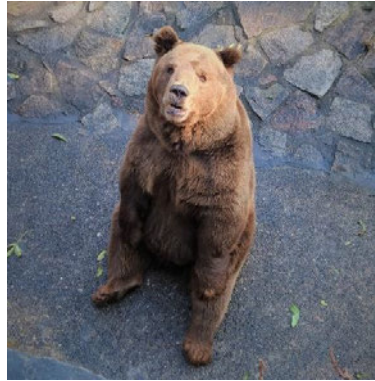
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# Machine Learning


- classification

Hey, is this a bear?



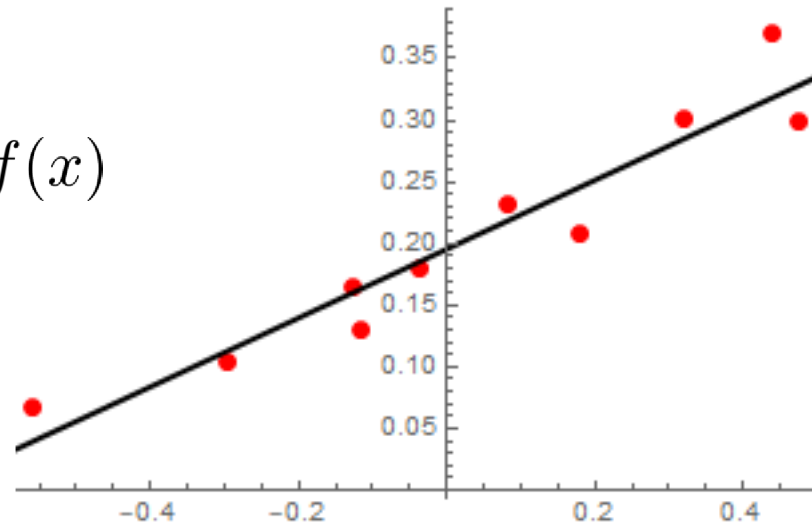
Yes.

- regression

data  $\{(x^{(i)}, y^{(i)})\}$    $y = f(x)$

$$f(x) = ax + b$$

Learn  $(a, b)$ .

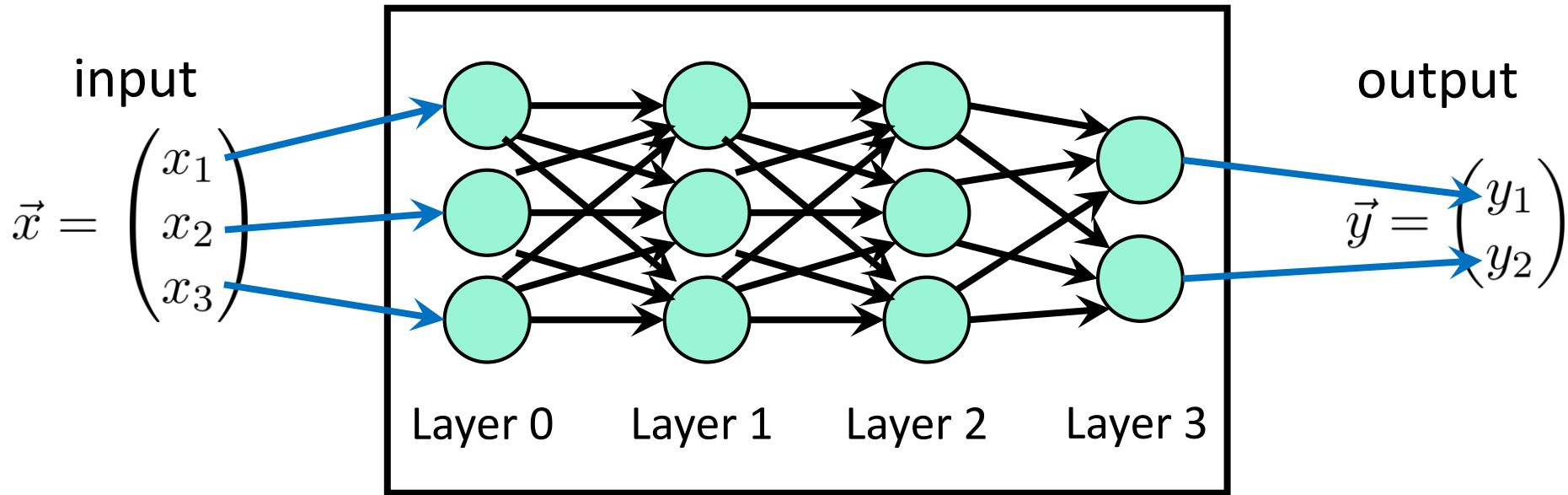




# Neural network

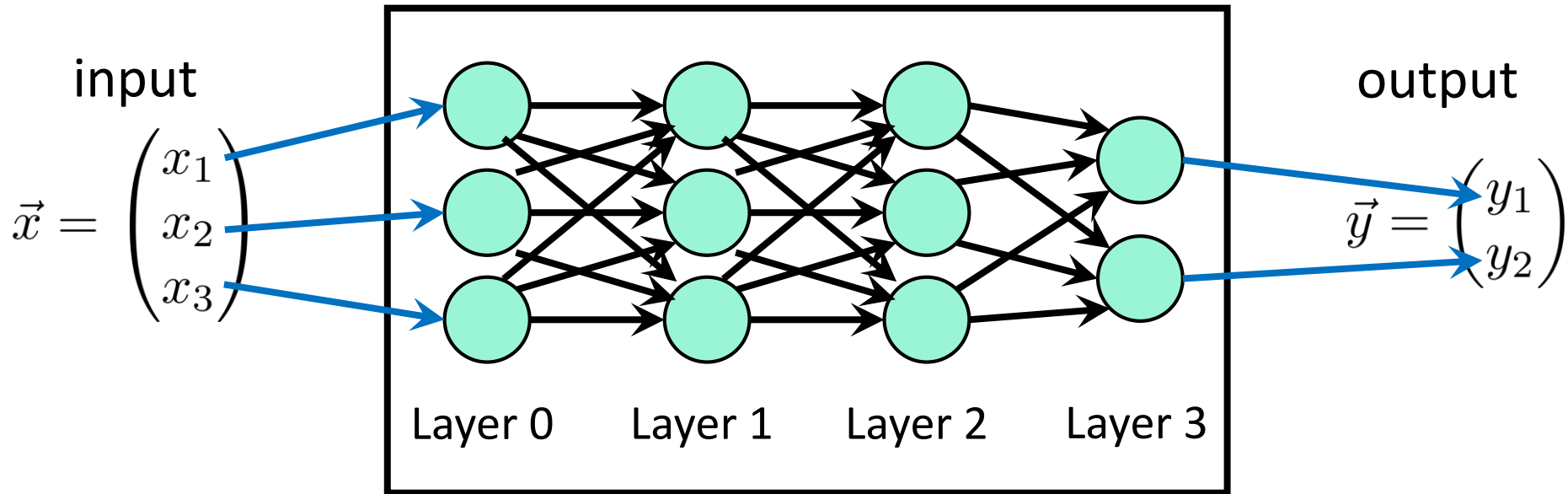


# Neural network



$$\vec{x} = \vec{x}^{(0)} \longrightarrow \vec{x}^{(1)} \longrightarrow \vec{x}^{(2)} \longrightarrow \vec{x}^{(3)} = \vec{y}$$

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#(Layers) is large  $\longrightarrow$  Deep Learning

# Neural network

$$\vec{x} = \vec{x}^{(0)} \longrightarrow \vec{x}^{(1)} \longrightarrow \vec{x}^{(2)} \longrightarrow \vec{x}^{(3)} = \vec{y}$$

- Proceed to next layer by linear trsf and non-linear trsf.

$$\vec{x}^{(a)} \longrightarrow \vec{x}^{(a+1)} = \underbrace{\varphi^{(a)}}_{\text{non-linear trsf}} \left( \underbrace{W^{(a)} \vec{x}^{(a)} + \vec{b}^{(a)}}_{\text{linear trsf}} \right)$$

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- parameters of linear transformation is tunable.

$W^{(a)}$  : weight,  $\vec{b}^{(a)}$  : bias ← updated in the learning process

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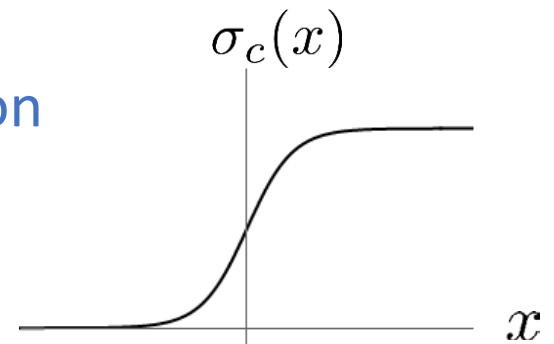
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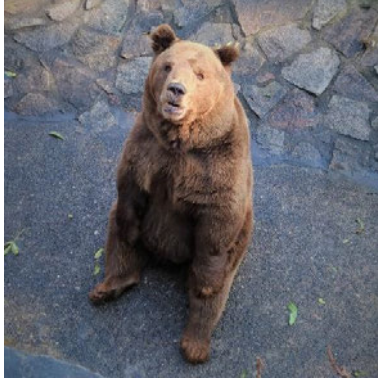
- non-linear transformation  $\varphi^{(a)}(\vec{x})$   
is not changed. activation function

e.g., sigmoid function  $\sigma_c(x) = \frac{1}{1 + e^{-cx}}$

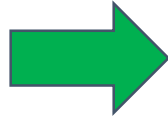


# Supervised learning

- Teach answers to the machine.



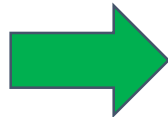
This is a bear.



The  
bear  
machine



This is not a bear.



The  
bear  
machine

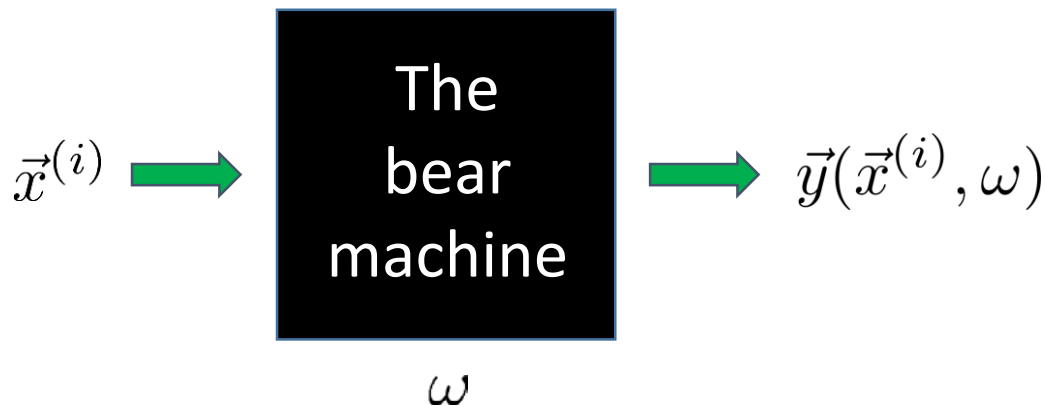
# Supervised learning

- Prepare a data set  $\{(\vec{x}^{(i)}, \vec{y}^{(i)})\}$

$$\left( \vec{x}^{(1)} = \text{[bear image]}, \vec{y}^{(1)} = \text{yes} \right), \dots$$

$$\dots \left( \vec{x}^{(10)} = \text{[cat image]}, \vec{y}^{(10)} = \text{no} \right), \dots$$

- Feed input data  $\vec{x}^{(i)}$  to the machine.





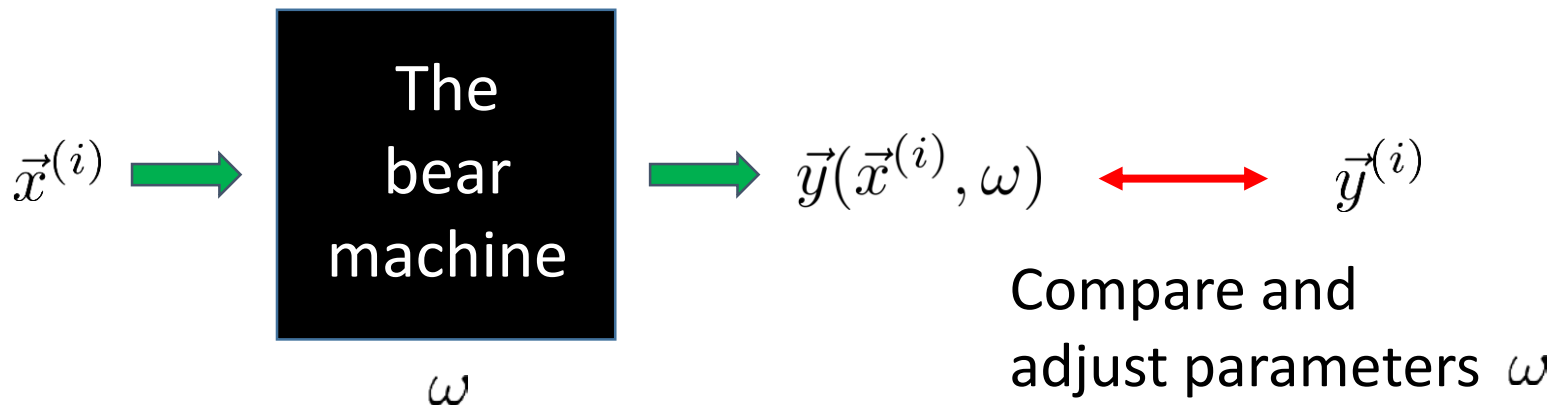
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# Supervised learning

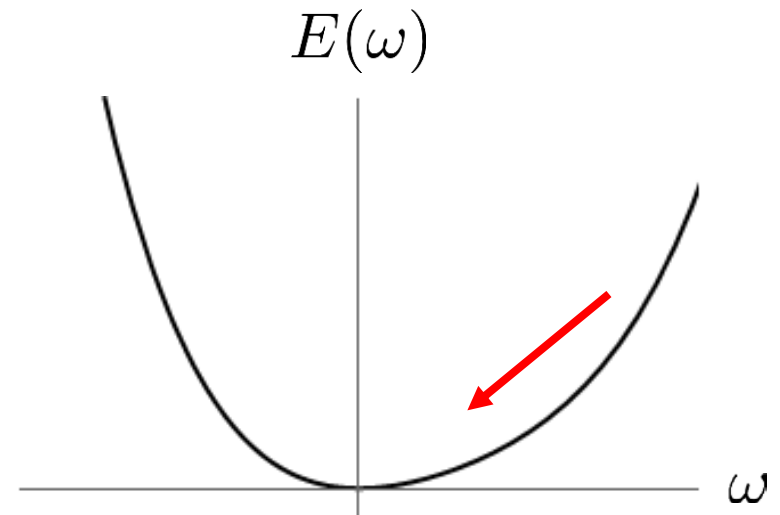
- Adjust parameters  $\{W^{(a)}, \vec{b}^{(a)}\}$  so that a loss function (error function, cost function) decreases.

$$\underline{E(\omega) = d(\vec{y}(\vec{x}^{(i)}, \omega), \vec{y}^{(i)})} \quad \leftarrow \text{optimize it.}$$

- mean squared error
- L1 norm
- cross entropy
- ...

- gradient descent

$$\omega \rightarrow \omega - \epsilon \partial_{\omega} E(\omega)$$



# Regularization

$$E(\omega) \rightarrow E(\omega) + E_{\text{reg}}$$

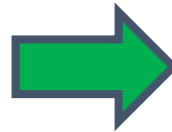
e.g.

- to avoid the overfitting
- to get the expected properties

# Unsupervised learning

For example, [Google Brain (2012)]

10 million images



The concept of cats



The concept of faces



# Machine learning for physics

- Quantum many body system

[Carleo & Troyer (2016), Fujita, Nakagawa, Sugiura & Oshikawa (2017),...]

- Detection of phase transition in statistical systems

[Wang (2016), Tanaka & Tomiya (2016), Ohtsuki<sup>2</sup> (2016),...]

- String landscape

[He (2017), Ruehle (2017), Carifio, Halverson, Krioukov & Nelson (2017),...]

- LHC, Monte Carlo, cosmology, neutron star, ...,

**AdS/CFT**

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# AdS/CFT correspondence

[Maldacena (1997)]

Quantum Gravity on AdS



CFT on boundary of AdS



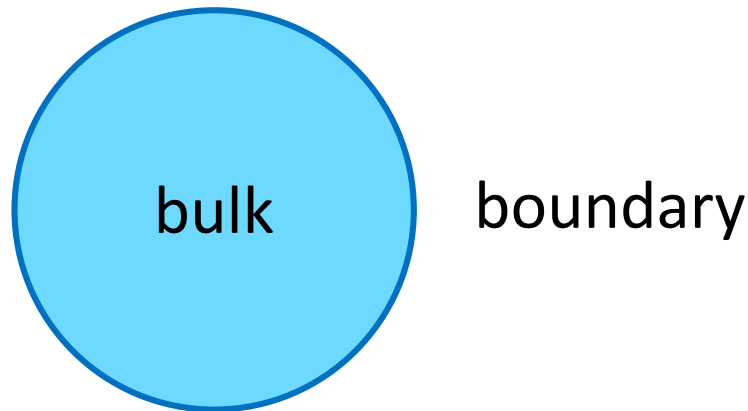
bulk

boundary

Many works about this conjecture.

# Emergence of geometry

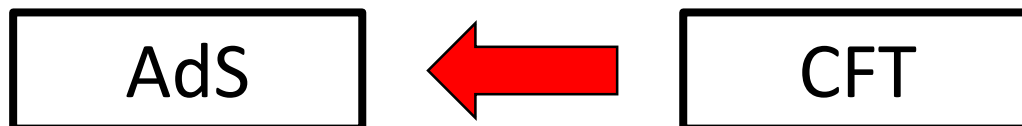
- AdS/CFT states that the bulk direction emerges from the dynamics of boundary QFT.



- **Holography**

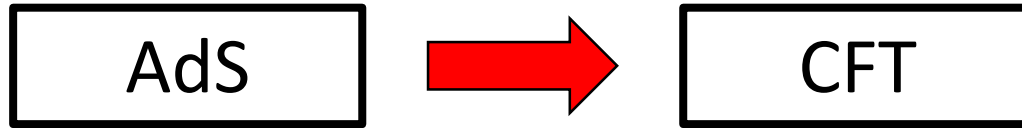
Gravity can be defined in terms of low-dimension theories.

A definition of quantum gravity

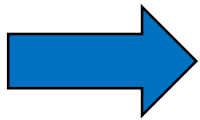




# Holographic approach



In some parameter regions, a QFT can be described by a classical Einstein gravity with matter fields.



We can analyze the QFT with strong couplings by using classical gravity.

easy

hard

- holographic QCD,...

# Note

- CFTs which have gravity dual descriptions are very specific.  
e.g. 4d  $\mathcal{N} = 4$  SYM  $\longleftrightarrow$  string theory on  $\text{AdS}_5 \times S^5$

In order to have a “good gravity description”, CFT should satisfy some criteria: [\[Heemskerk, Penedones, Polchinski, Sully \(2009\), El-Showk & Papadodimas \(2011\)\]](#)  
e.g.

- large DOF (large  $N$ , large  $c$ ,...)
- gapped spectrum (strong coupling)

We assume QFTs we will consider have semiclassical gravity descriptions.

# GKP-Witten

[Gubser, Klebanov, Polyakov (1998), Witten (1998)]

$$\langle e^{\int d^d x J(x) \mathcal{O}(x)} \rangle_{\text{CFT}} \simeq e^{-S_{cl}^{grav}[J(x)]}$$

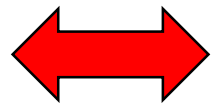
- Correlation functions in CFT can be computed from the classical solution of the dual field in gravitational theory.

 boundary condition is fixed by  $J(x)$

# Dictionary in AdS/CFT

scalar operator  $\mathcal{O}$  in  $\text{CFT}_d$  with dim  $\Delta$

(2-pt function in the g.s.  $\langle \mathcal{O}(x)\mathcal{O}(0) \rangle \propto |x|^{-2\Delta}$  )



scalar field  $\phi(x)$  with mass  $m$   $[m^2 = \Delta(\Delta - d)]$   
on  $(d + 1)$ -AdS

# Free scalar on AdS

$$S = \int d^{d+1}x \sqrt{-g} \frac{1}{2} (-g^{MN} \partial_M \phi \partial_N \phi - m^2 \phi^2)$$
$$ds^2 = d\eta^2 + e^{2\eta} (dx_\mu dx^\mu) \quad [m^2 = \Delta(\Delta - d)]$$

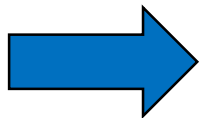
AdS boundary  $\eta \sim \infty$

- Asymptotic behavior of the classical solution near  $\eta \sim \infty$

$$\phi_{\text{cl}}(\eta, x) \sim e^{-(d-\Delta)\eta} (J(x) + \dots) + e^{-\Delta\eta} (B(x) + \dots)$$

$$B(x) = \frac{\langle \mathcal{O}(x) \rangle_J}{2\Delta - d} \quad \text{1-pt func w/ source in CFT}$$

[Klebanov & Witten (1999)]



$$e^{-S[\phi_{\text{cl}}]} \sim e^{-\int d^d x J \langle \mathcal{O} \rangle}$$


# Asymptotically AdS

- Background does not need to be the exact AdS space.

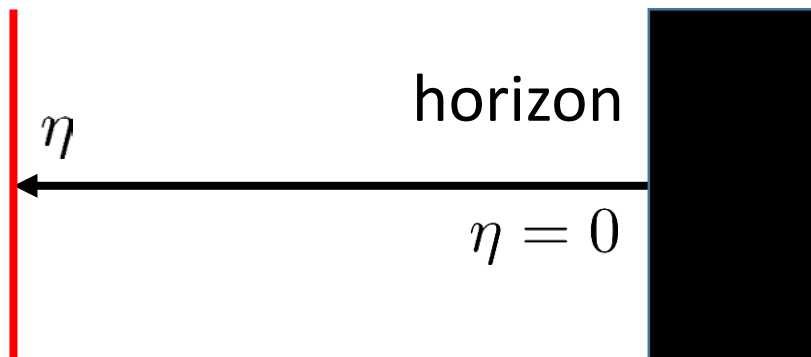
$$ds^2 = d\eta^2 + e^{2\eta}(dx_\mu dx^\mu)$$

Asympto AdS is OK.  $g_{MN} \sim g_{MN}^{\text{AdS}}$  around  $\eta \sim \infty$

It corresponds to an excited state in CFT.

- AdS Schwarzschild  finite temperature

$$ds^2 = d\eta^2 + C \cosh^{\frac{4}{d}} \left( \frac{d\eta}{2} \right) \left[ -\frac{\sinh^2 \left( \frac{d\eta}{2} \right)}{\cosh \left( \frac{d\eta}{2} \right)} dt^2 + dx^i dx^i \right]$$

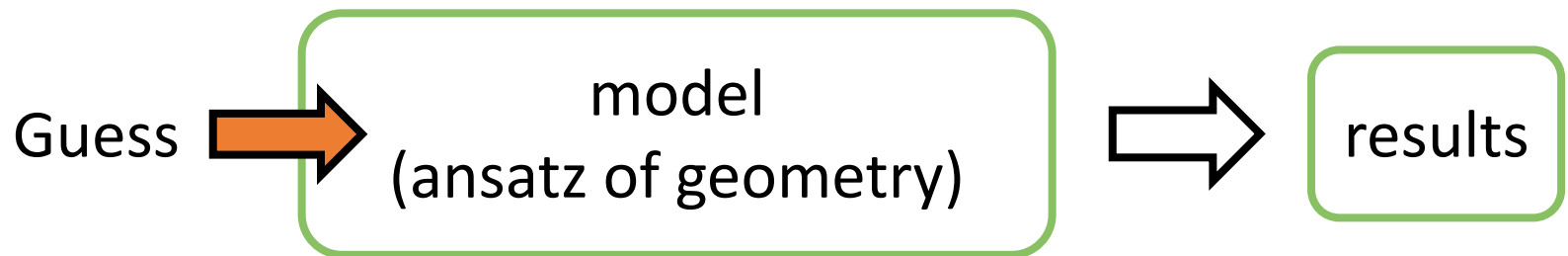


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# Holographic modeling

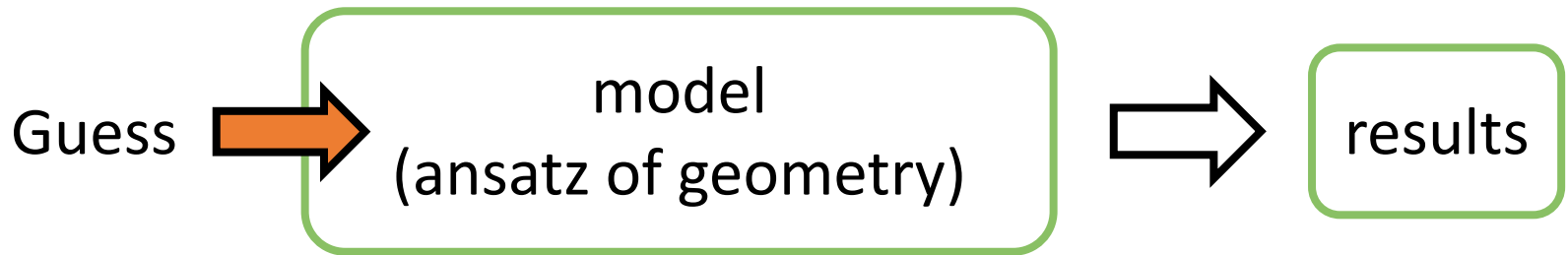
- Two approaches
    - Top-down — embed into string theory
    - Bottom-up — prepare a phenomenological model
  - conventional holographic QCD models in the bottom up approach
    - Hard wall, Soft wall,...
- [Erlich, Katz, Son & Stephanov (2005), Da Rold & Pomarol (2005),...]





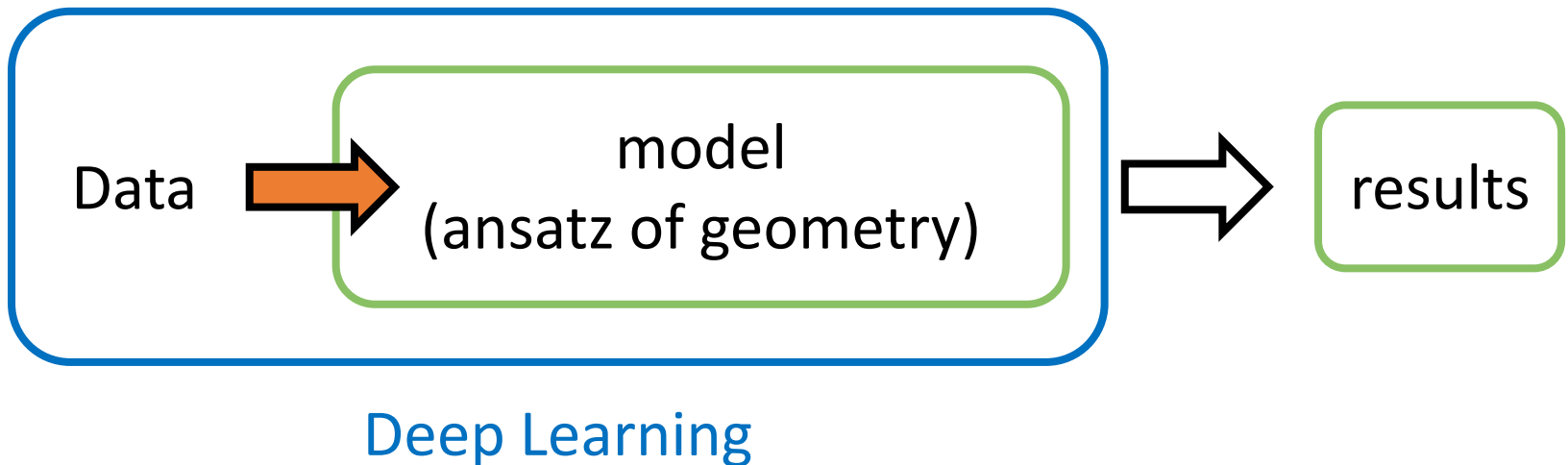
# DL holographic modeling

- Conventional approach



# DL holographic modeling

- Our approach  
[Hashimoto, SS, Tanaka, Tomiya (1802.08313)]



# Setup

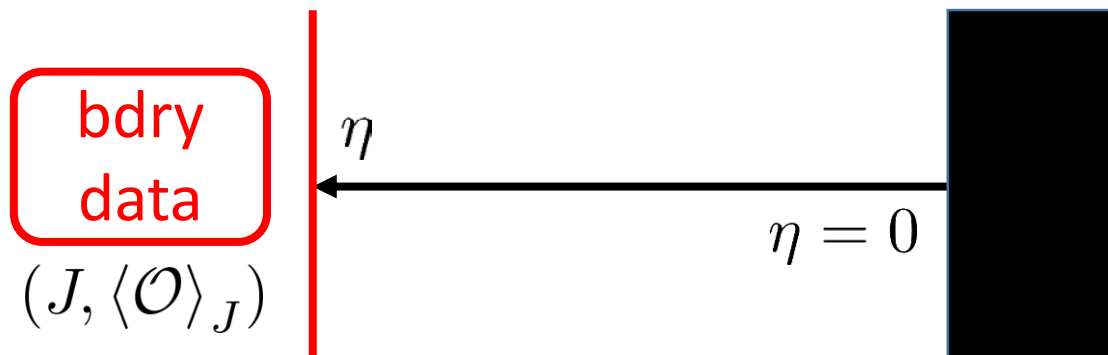
We consider general backgrounds (*not* sol. of Einstein eq.).

$$ds^2 = d\eta^2 - f(\eta)dt^2 + g(\eta)dx^i dx^i$$

- bulk scalar dual to boundary op.  $\mathcal{O}$

$$S = \int d^{d+1}x \sqrt{fg^{d-1}} \frac{1}{2} (-g^{MN} \partial_M \phi \partial_N \phi - m^2 \phi^2 - \frac{\lambda}{2} \phi^4)$$

general metric with horizon



# Learn the metric

bdry  
data

$(J, \langle \mathcal{O} \rangle_J)$

AdS bdry

$\phi(\eta \sim \infty)$

horizon

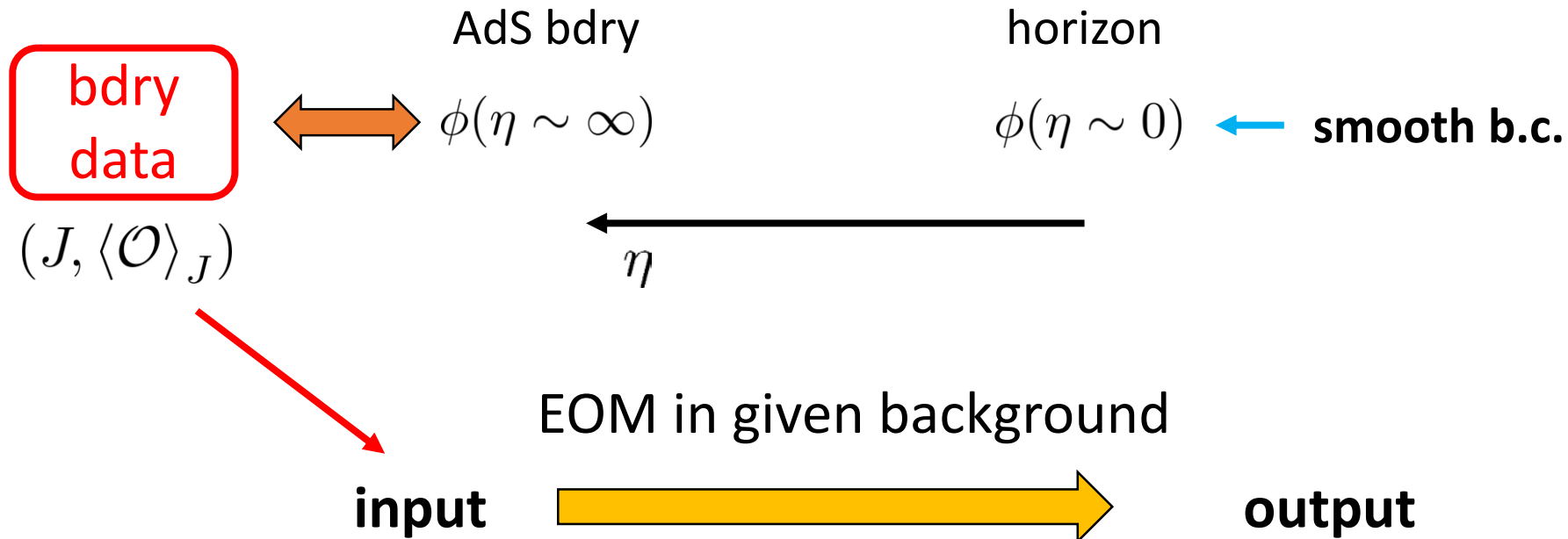
$\phi(\eta \sim 0)$

← smooth b.c.

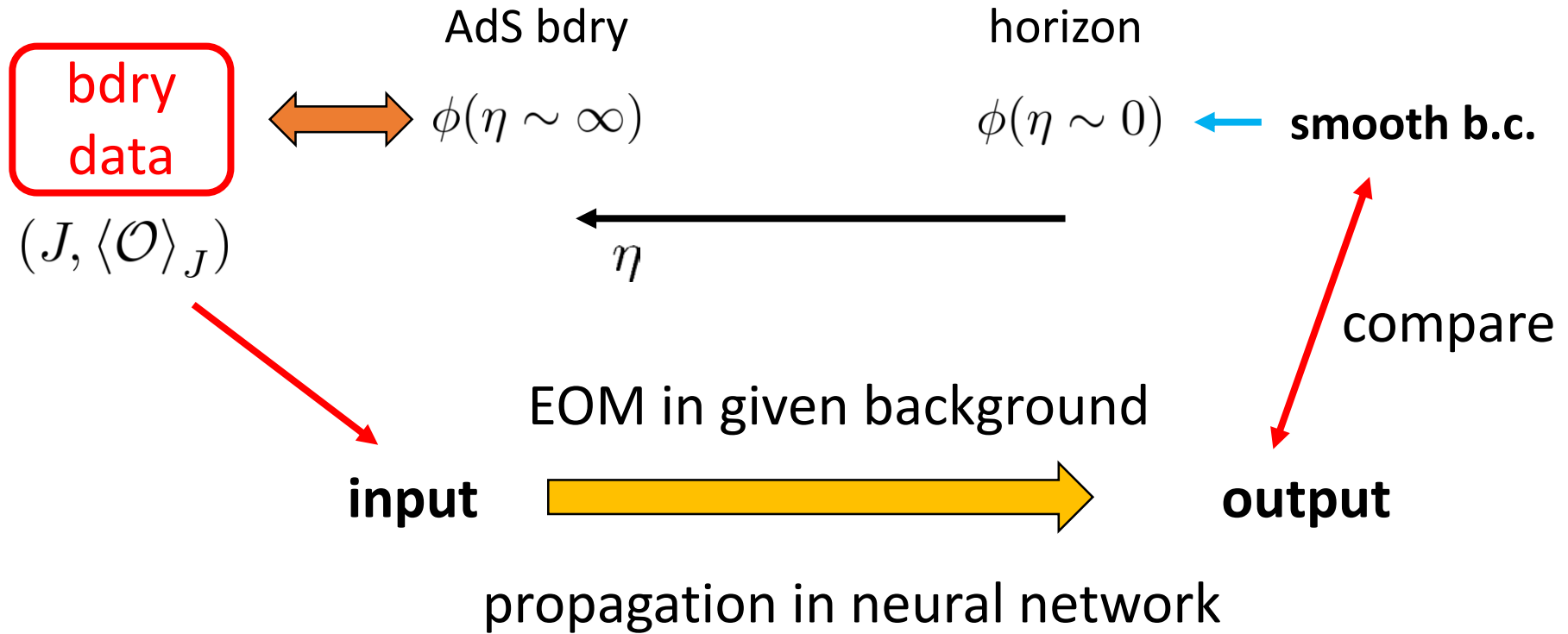
←  
 $\eta$

$$\phi(\eta \sim \infty) \sim e^{-(d-\Delta)\eta} J + e^{-\Delta\eta} \frac{\langle \mathcal{O} \rangle_J}{2\Delta - d}$$

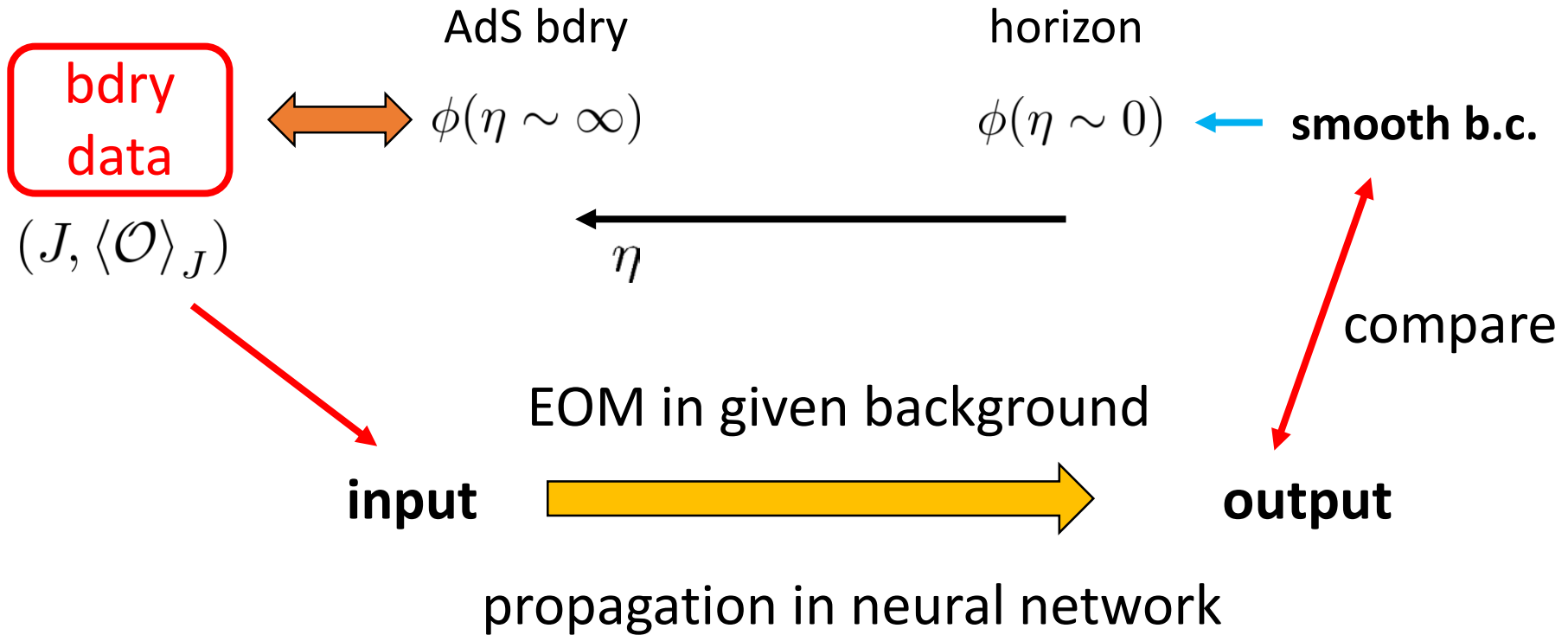
# Learn the metric



# Learn the metric



# Learn the metric



- In the appropriate metric, output should satisfy the correct boundary condition.

background metric = parameters to be updated

# Neural network rep. of EOM

- Suppose the field is homogeneous  $\phi(\eta, \overline{t}, \overline{x}^i)$

$$\partial_\eta \pi + h(\eta)\pi - m^2\phi - \lambda\phi^3 = 0, \quad \pi = \partial_\eta \phi$$

$$h(\eta) = \partial_\eta \log \sqrt{f(\eta)g(\eta)^{d-1}} \leftarrow \text{to be learned}$$



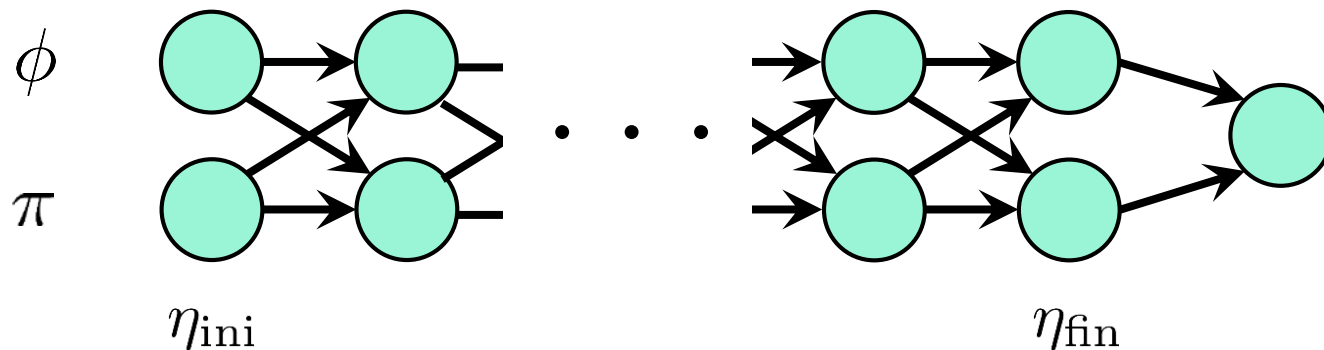
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- Discretize  $\eta$  ( $\eta_{\text{ini}} > \eta > \eta_{\text{fin}}$ )

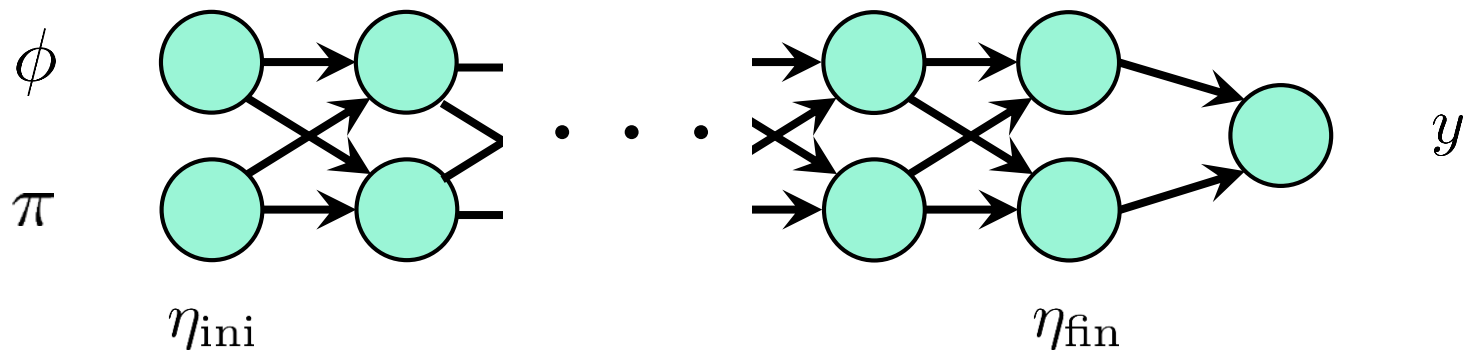


The metric function  $h(\eta^{(n)})$  plays the role of weights!

# Boundary cond. at the horizon

$$\partial_\eta \pi + h(\eta)\pi - m^2\phi - \lambda\phi^3 = 0, \quad \pi = \partial_\eta \phi$$

Near the horizon  $\eta \sim 0$ ,  $h(\eta) \sim 1/\eta$



# Boundary cond. at the horizon

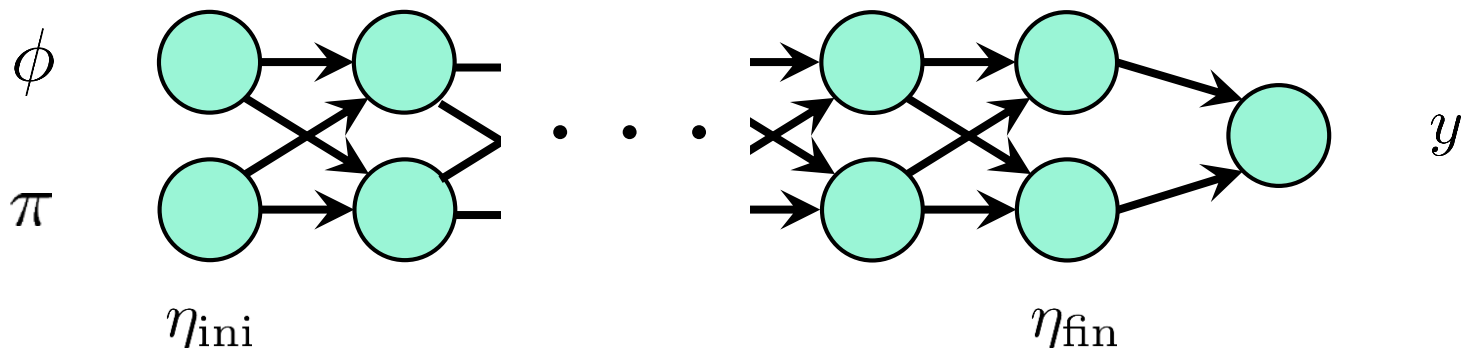
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Near the horizon  $\eta \sim 0$ ,  $h(\eta) \sim 1/\eta$

Suppose the field is finite.

$$\eta h(\eta)\pi = \eta(-\partial_\eta \pi + m^2\phi + \lambda\phi^3) \rightarrow 0 \quad (\eta \rightarrow 0)$$

$$\pi|_{\eta=\eta_{\text{fin}}} = 0$$



# Boundary cond. at the horizon

$$\partial_\eta \pi + h(\eta)\pi - m^2\phi - \lambda\phi^3 = 0, \quad \pi = \partial_\eta \phi$$

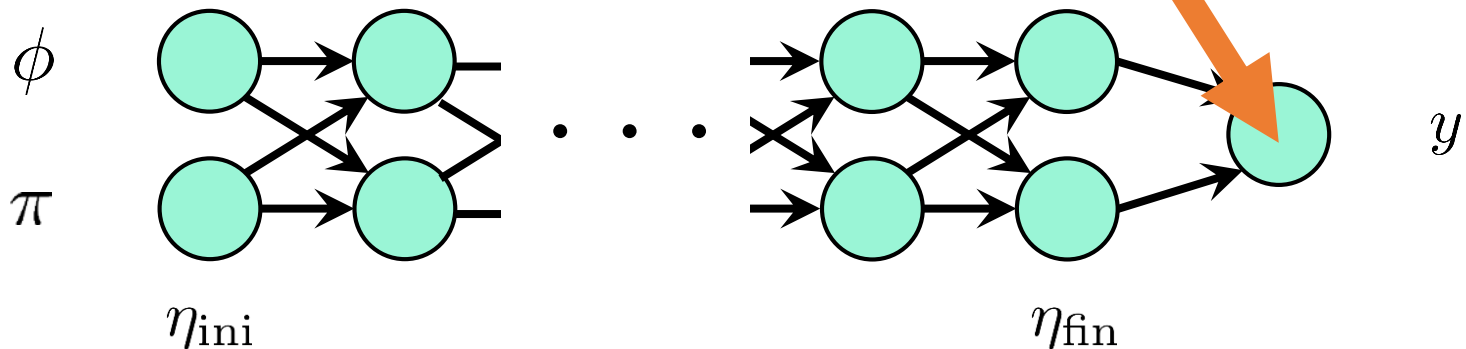
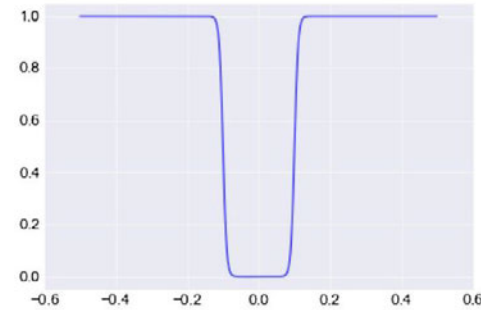
Near the horizon  $\eta \sim 0$ ,  $h(\eta) \sim 1/\eta$

Suppose the field is finite.

$$\eta h(\eta)\pi = \eta(-\partial_\eta \pi + m^2\phi + \lambda\phi^3) \rightarrow 0 \quad (\eta \rightarrow 0)$$

$$\pi|_{\eta=\eta_{\text{fin}}} = 0$$

$$y = \text{tanaka}(\pi|_{\eta=\eta_{\text{fin}}})$$



# Data for learning

- Positive data

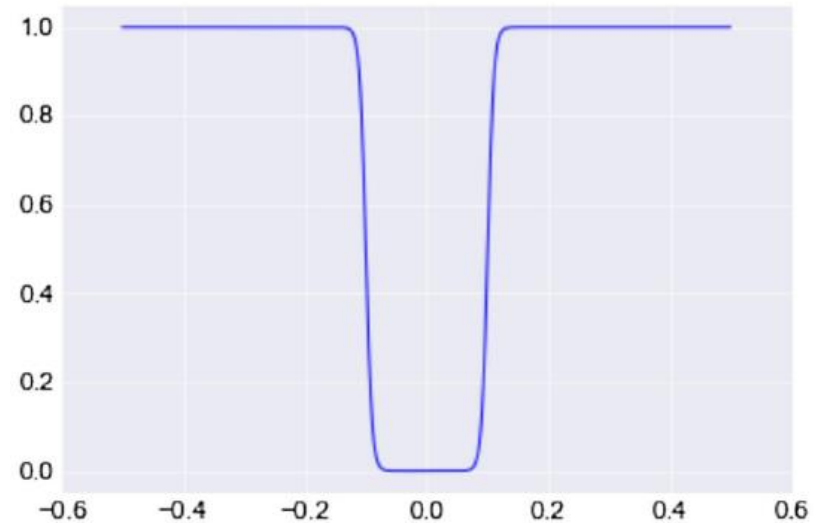
$$\{(\underline{J}, \langle \mathcal{O} \rangle_J), y = 0\}$$

correct response

- Negative data

$$\{(\underline{J}, \langle \mathcal{O} \rangle_J), y = 1\}$$

wrong response



If the metric function is tuned appropriately,

$$\pi|_{\eta=\eta_{\text{fin}}} \simeq 0 \quad \longrightarrow \quad y^{\text{output}} \simeq 0 \quad \text{for positive data}$$

$$\pi|_{\eta=\eta_{\text{fin}}} \not\simeq 0 \quad \longrightarrow \quad y^{\text{output}} \simeq 1 \quad \text{for negative data}$$

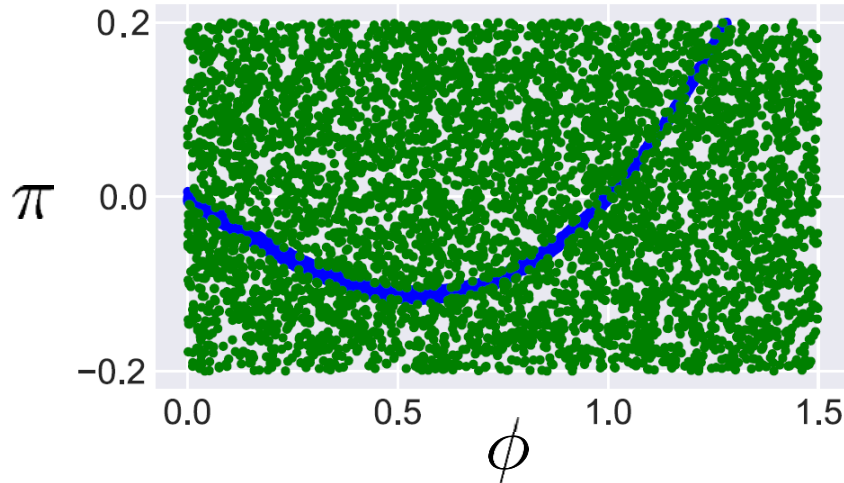
# Test learning

Can the machine reproduce the black hole metric?

- 4d AdS Schwarzschild

$$h(\eta) = 3 \coth(3\eta)$$

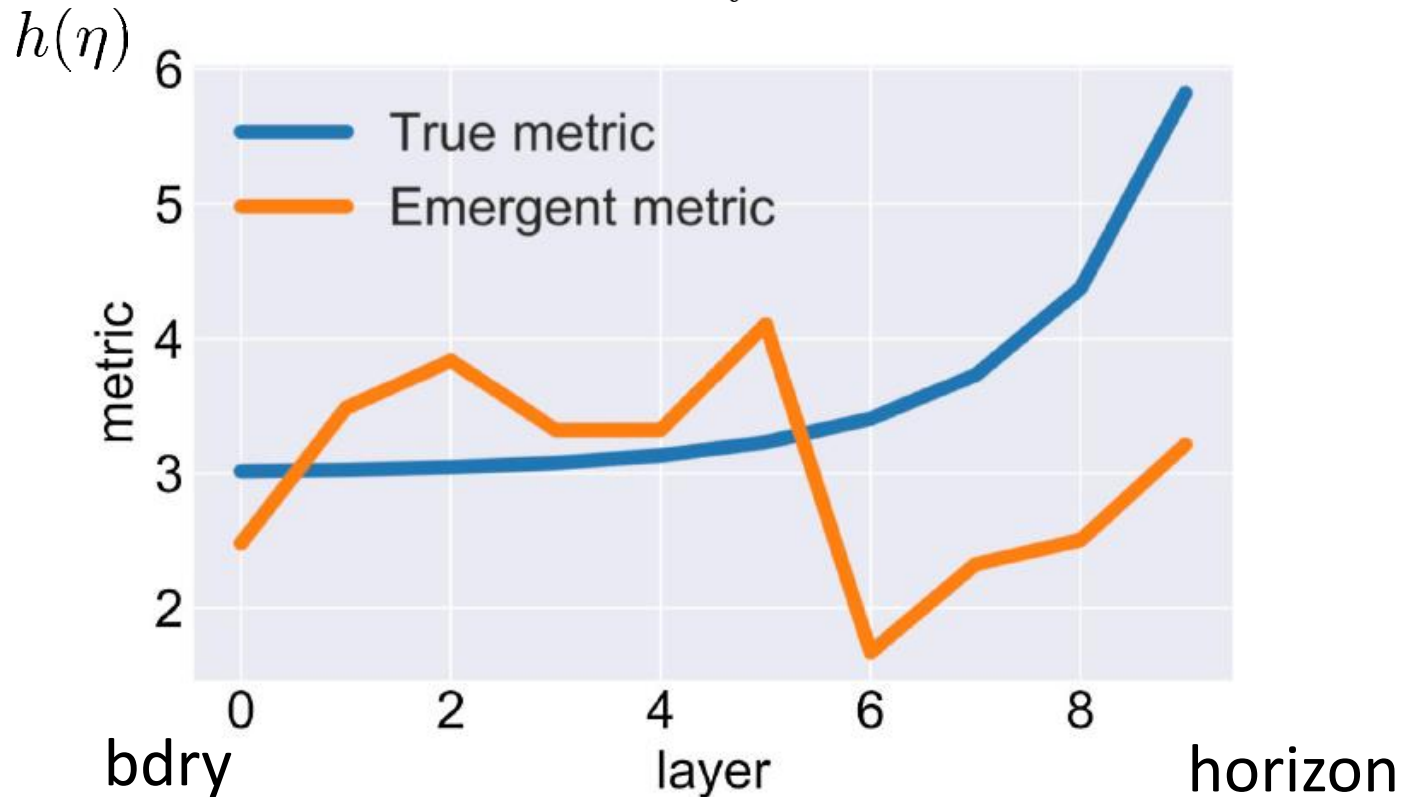
- Generate **positive** and **negative** data randomly



- Learn  $h(\eta)$  from the data.  $h^{\text{learn}}(\eta) \stackrel{?}{=} 3 \coth(3\eta)$

# Test learning

loss function  $E = \sum_i |y(x^{(i)}) - y^{(i)}|$



loss function  $< 0.0002$

# Test learning

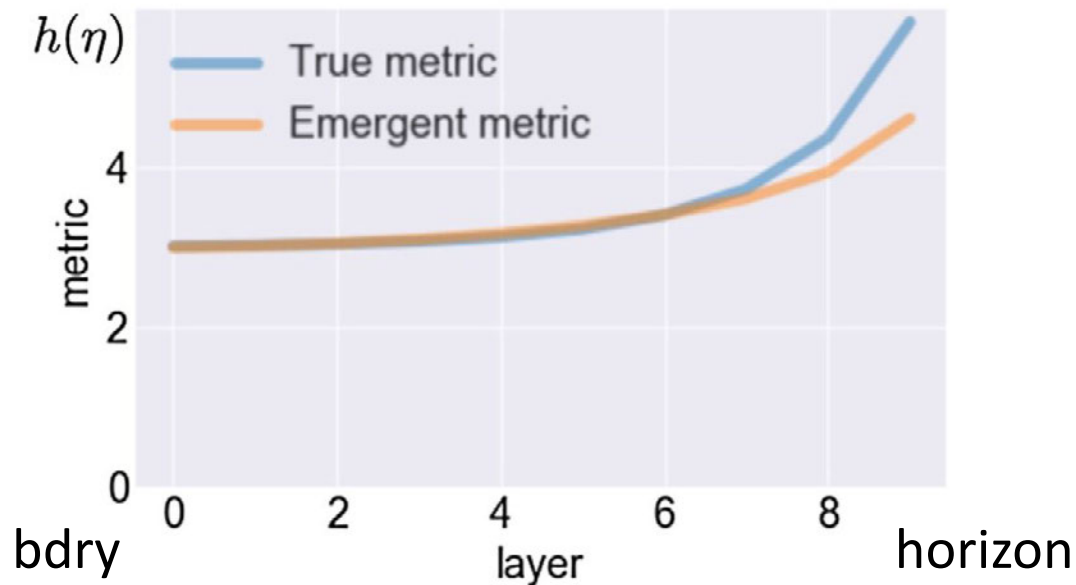
- Introduce a regularization

$$E \rightarrow E + c_{\text{reg}} \sum (\eta^{(n)})^4 \left[ h(\eta^{(n+1)}) - h(\eta^{(n)}) \right]^2$$

---

$$\propto \int d\eta (\eta^2 h'(\eta))^2 \quad (c_{\text{reg}} = 10^{-3})$$

Smooth function is preferred.





# Deep learning and holographic QCD

[Hashimoto, SS, Tanaka, Tomiya (in preparation)]

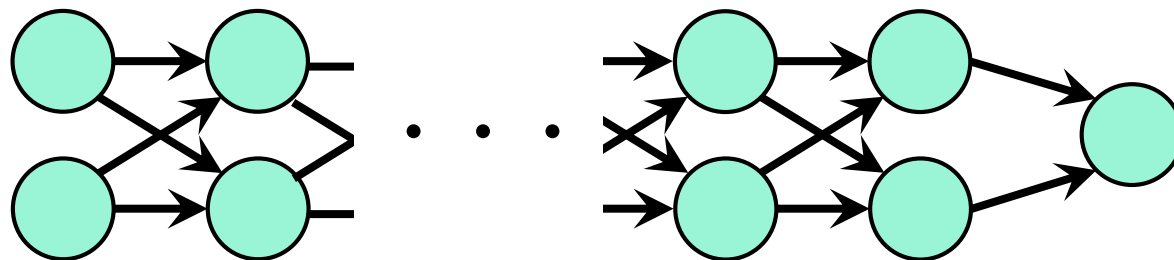
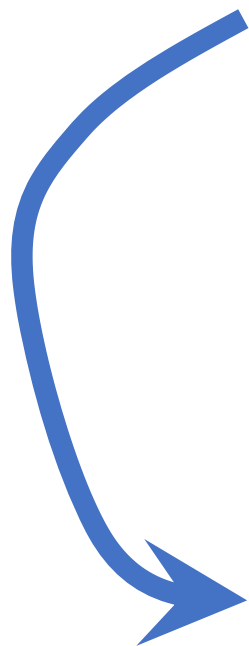
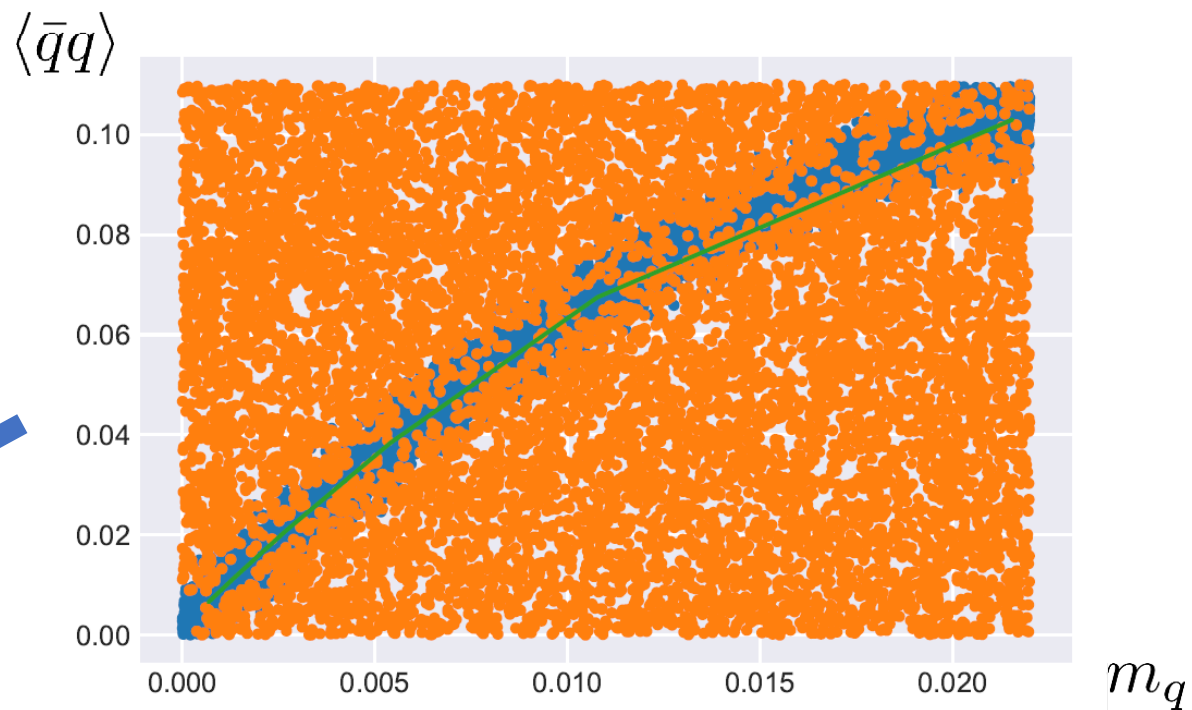
- Use the result of lattice QCD.
  - **quark mass vs chiral condensate** [Unger (2010)]

$m_q$ [GeV]	$\langle \bar{\psi}\psi \rangle$ [(GeV) <sup>3</sup> ]
0.00067	0.0063
0.0013	0.012
0.0027	0.021
0.0054	0.038
0.011	0.068
0.022	0.10

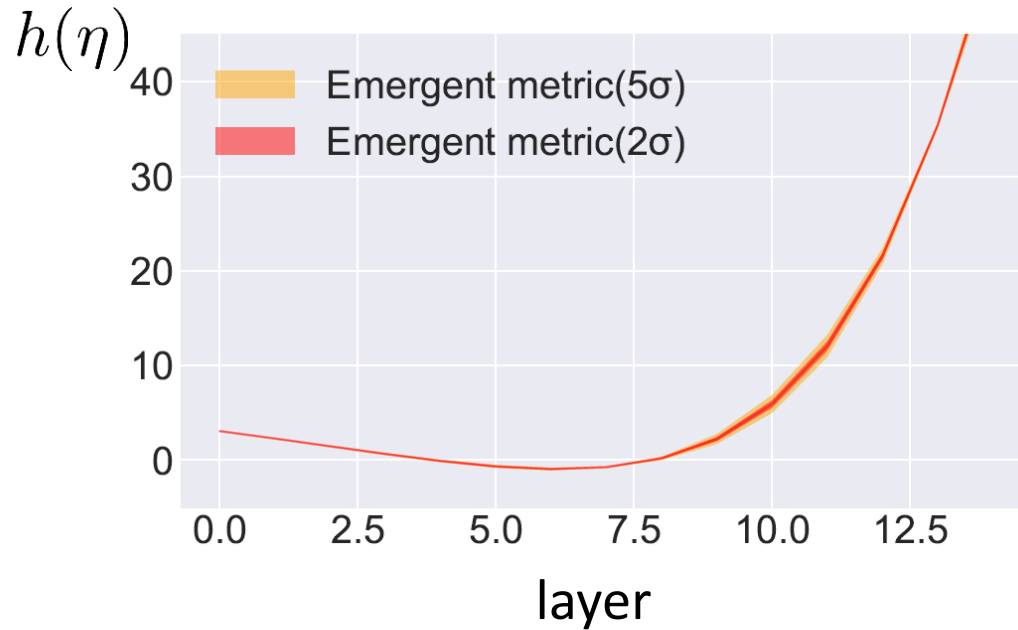
$T \sim 0.2$  [GeV]

- Learn the metric, and compute other quantities

# Data for learning



# Learned metric



$L_{\text{AdS}}$  is also learned.  $\longrightarrow L_{\text{AdS}} \sim 4.2[\text{GeV}^{-1}]$

In this approach,  
only a combination of metric compos. can be obtained.

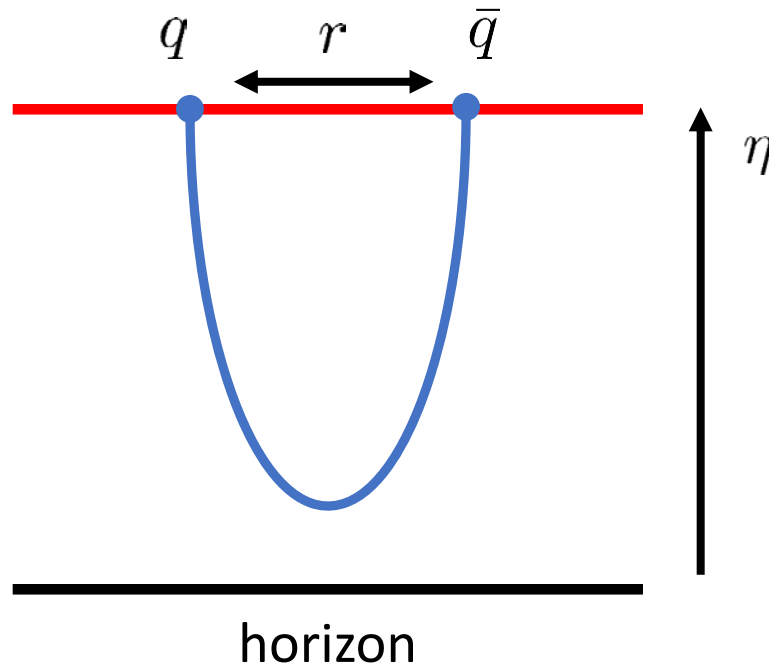
$$h(\eta) = \partial_{\eta} \log \sqrt{f(\eta)g(\eta)^{d-1}}$$

# Holographic $Q\bar{Q}$ potential

$$ds^2 = d\eta^2 - f(\eta)dt^2 + g(\eta)dx^i dx^i$$

If  $f(\eta)$  and  $g(\eta)$  are obtained, we can compute quark-antiquark potential holographically.

Evaluate a classical string action. [Maldacena (1998), Rey & Yee (1998)]

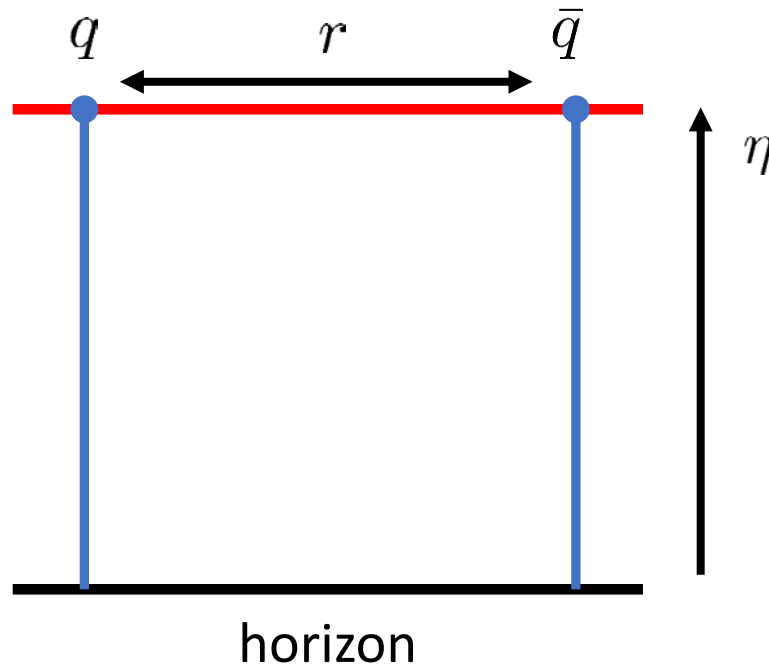


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# Compute $Q\bar{Q}$ potential

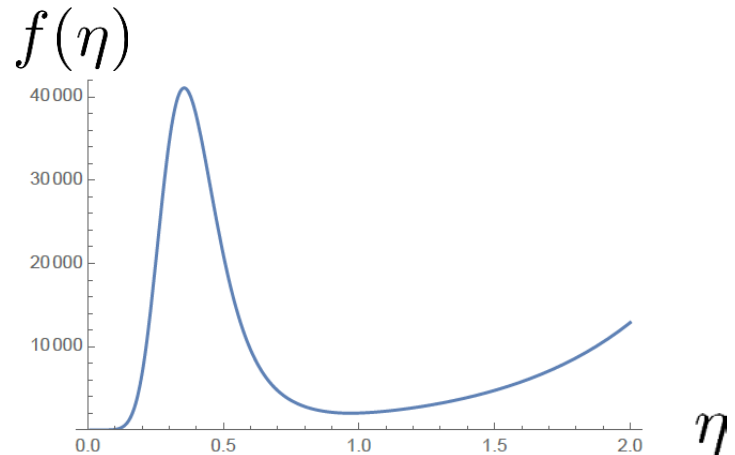
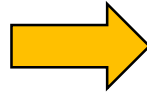
$h(\eta) = \partial_\eta \log \sqrt{f(\eta)g(\eta)^3}$  has been obtained.

$f(\eta)$  can be determined if  $g(\eta)$  is fixed.

- Ansatz

$$g(\eta) = \alpha e^{2\eta}$$

(pure AdS)



# Compute $Q\bar{Q}$ potential

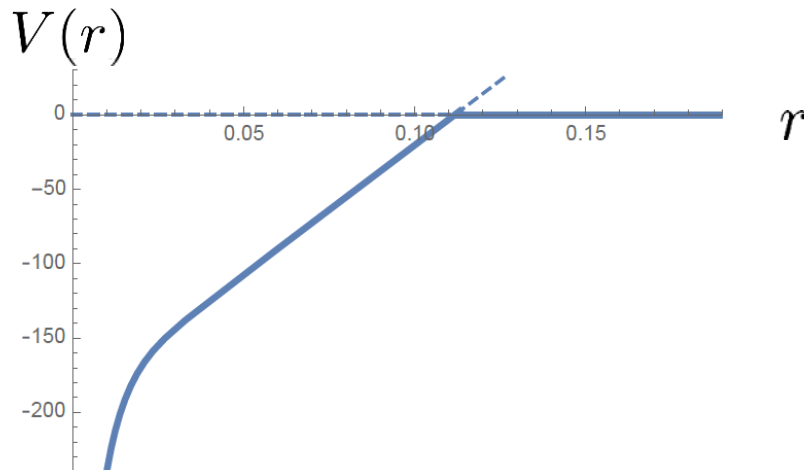
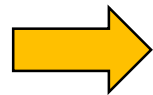
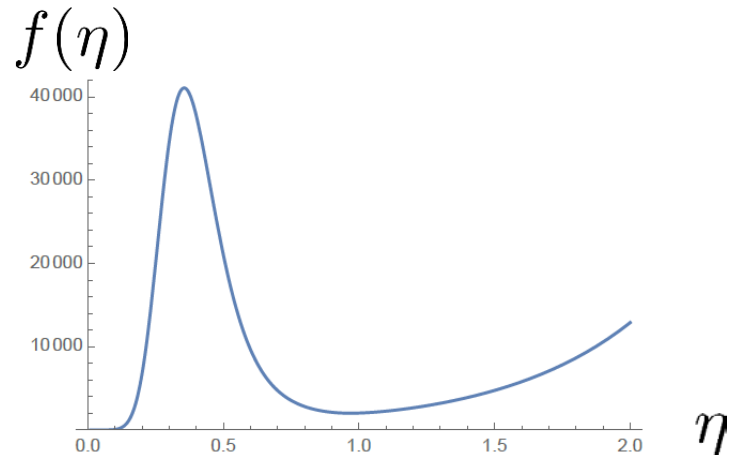
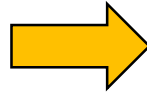
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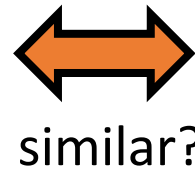
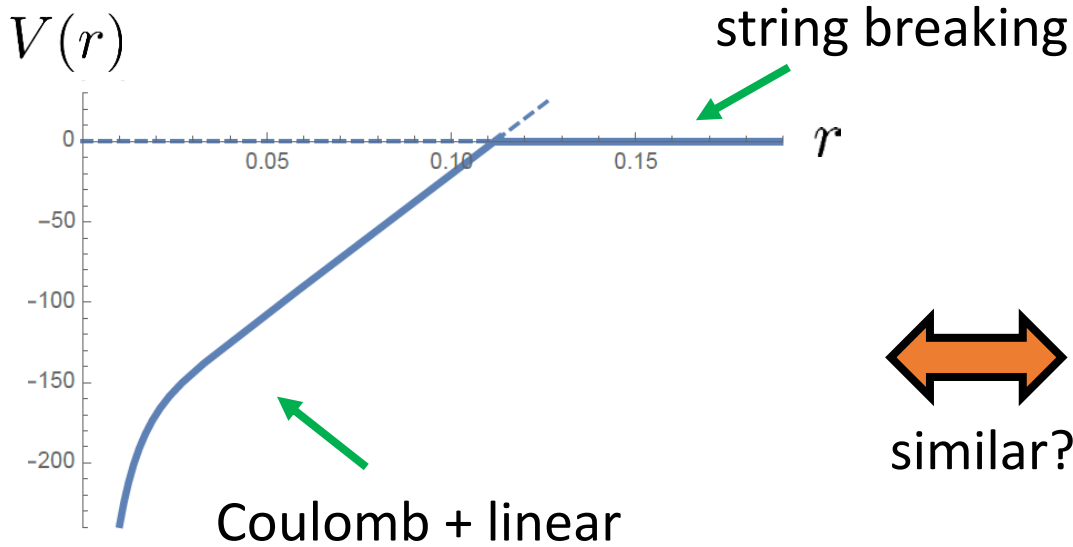
(pure AdS)



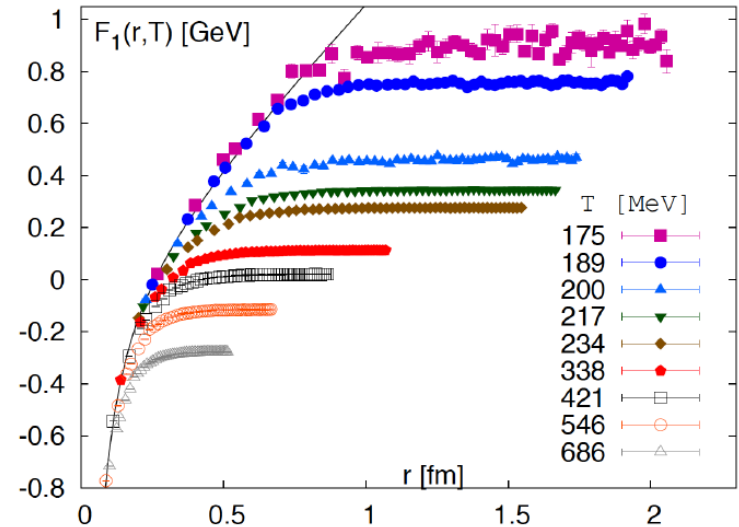
(another ansatz gives a similar shape)

$$g(\eta) = \alpha \cosh(2\eta)$$

# Obtained $Q\bar{Q}$ potential



similar?

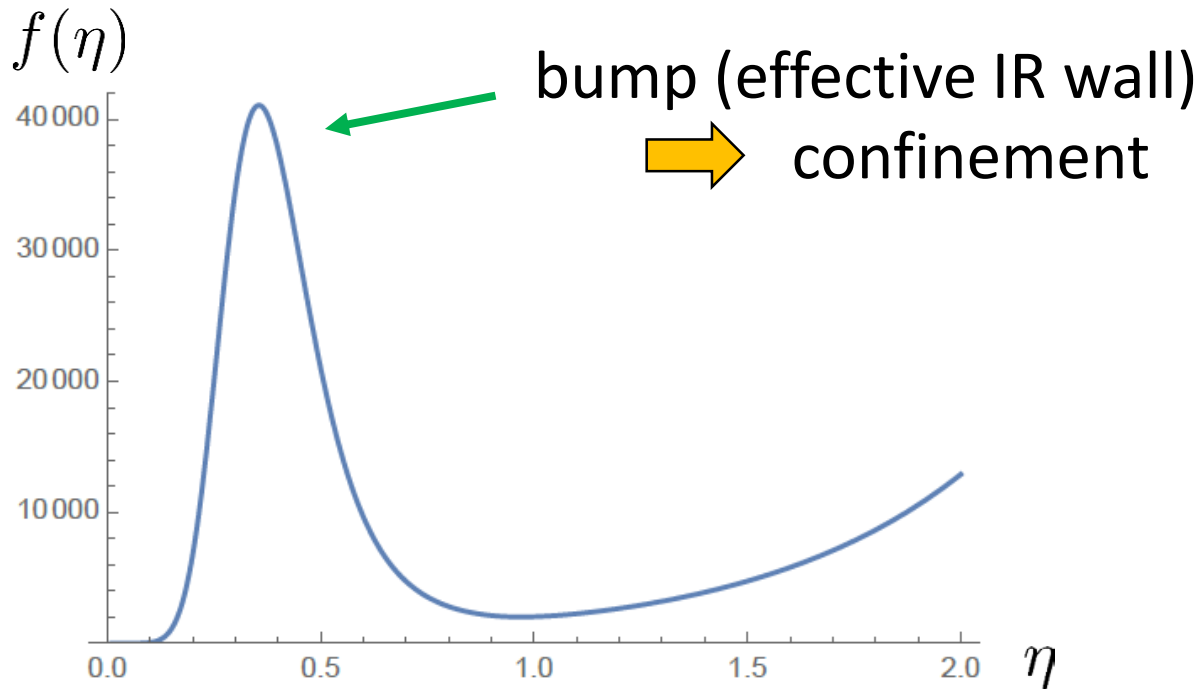


lattice result

Fig from [\[Petreczky \(2010\)\]](#)

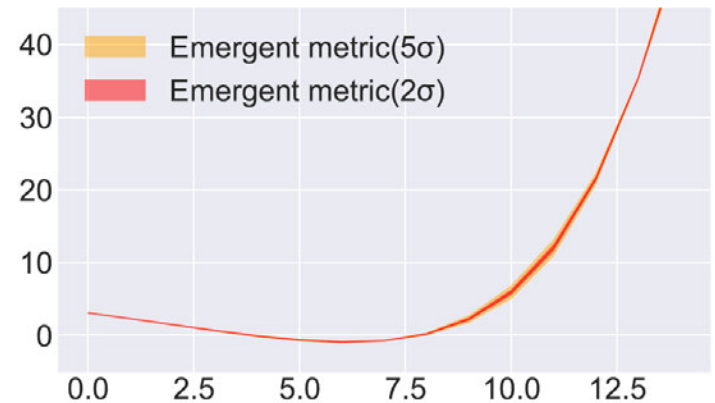
The first holographic model which shows both of confinement and string breaking (as far as we know)





horizon  
 → string breaking

a region  $h(\eta) < 0$   
 → bump



# Outline

- ✓ Introduction
- ✓ Deep learning
- ✓ AdS/CFT
- ✓ Deep learning and AdS/CFT
- Summary

# Summary

- Presented a deep neural network representation of the propagation to the bulk direction.
  - metric is weight and updated in the process of learning. **Spacetime is a neural network?**
- Applied it to a holographic QCD model.
  - obtained a  $Q\bar{Q}$  potential.
  - there are many points which should be improved.
- Machine learning techniques may be also useful in other regions in physics.