The R-xion: Peccei-Quinn Symmetry from a Gauged Discrete *R* Symmetry



Kai Schmitz

Kavli Institute for the Physics and Mathematics of the Universe (WPI) Todai Institutes for Advanced Study, University of Tokyo, Kashiwa, Japan

Based on arXiv:1308.1227 [hep-ph] (To appear in Phys. Rev. D). In collaboration with Keisuke Harigaya, Masahiro Ibe and Tsutomu T. Yanagida.

Tohoku Forum for Creativity, Sendai, Japan | October 22, 2013



- 1 The Strong CP Problem and the Peccei-Quinn Solution
- 2 Minimal Extension of the MSSM with a Peccei-Quinn Symmetry
- 3 Phenomenological Constraints and Observational Prospects
- 4 Conclusions

1 The Strong CP Problem and the Peccei-Quinn Solution

- 2 Minimal Extension of the MSSM with a Peccei-Quinn Symmetry
- 3 Phenomenological Constraints and Observational Prospects
- 4 Conclusions

The Strong CP Problem



CP violation in strong interactions!?

Axial QCD anomaly induces

$$\mathscr{L}_{\mathrm{QCD}}^{\mathrm{eff}} \supset \bar{\theta} \frac{\alpha_s}{8\pi} \mathrm{Tr} \big[\mathcal{G}_{\mu\nu} \widetilde{\mathcal{G}}^{\mu\nu} \big]$$

with QCD vacuum angle $\bar{\theta} = \theta + \arg\{\det M_q\}$.

CP violation! E.g. neutron electric dipole moment:

$$d_n \simeq 5 \times 10^{-16} \,\overline{\theta} \,\mathrm{e} \,\mathrm{cm} \lesssim 3 \times 10^{-26} \,\mathrm{e} \,\mathrm{cm} \,.$$

Observational constraint: $\bar{\theta} \lesssim 10^{-10}$. Expectation: $\bar{\theta} \sim \mathcal{O}(1)$. \Rightarrow Why so tiny?

The Axion and the Peccei-Quinn Solution

One solution: Promote $\bar{\theta}$ to dynamical real scalar field with VEV at 0. (Peccel & Quint 77; Weinberg 78; Wilczek 78)

- The axion: pseudo-NG boson of a spontaneously broken global U(1)_{PQ}.
- QCD instanton-induced effective potential after the QCD phase transition:

$$V_a = \Lambda_{\rm QCD}^4 \left[1 - \cos \left(\bar{\theta} - a/f_a \right)
ight], \quad \langle a \rangle = \bar{\theta} f_a.$$



No *obvious* reason why axion decay constant f_a should have an intermediate value. But interestingly enough: axion dark matter if f_a is of $\mathcal{O}(10^{12})$ GeV! [Preskill, Wise & Wilczek '83; Abbott & Sikivie '83; Dine & Fischler '83]

$$\Omega_a^0 h^2 \sim 0.5 \left(\frac{\bar{\theta}_i^2}{\pi^2/3}\right) \left(\frac{f_a}{10^{12} \,\mathrm{GeV}}\right)^{7/6}$$

However, just a Reformulation of the Original Question!



[Fig. from J.E. Kim, 1308.0344 [hep-th]]



But: Any global symmetry is believed to be broken by quantum gravity effects!

[Kamionkowski & March-Russell '92; Barr & Seckel '92; Holman et al. '92; Banks & Seiberg '11]

- Why is $\bar{ heta}$ so small? ightarrow Why is the global PQ symmetry of such high quality?
- Answer: Approximate accidental $U(1)_{PQ}$ due to exact gauge symmetry.

Our idea: Protect PQ symmetry by means of gauged discrete R symmetry, Z_N^R .

The Strong CP Problem and the Peccei-Quinn Solution

2 Minimal Extension of the MSSM with a Peccei-Quinn Symmetry

3 Phenomenological Constraints and Observational Prospects

4 Conclusions

An Anomaly-Free Discrete R Symmetry for the MSSM (I)



Chiral superfield $\Phi = (\phi, \psi) \rightarrow (\exp\left(\frac{2\pi i}{N}r\right)\phi, \exp\left(\frac{2\pi i}{N}(r-1)\right)\psi)$

Strong motivation for Z_N^R in SUSY phenomenology and model building: [Giudice & Masiero '88; Yanagida '97; Dine & Kehayias '10] [Dimopoulos & Georgi '81; Sakai & Yanagida '82; Weinberg '82] [Izawa & Yanagida '97]

- No large μ term $W_{\mu} = \mu H_u H_d$.
- No dangerous proton decay.
- No large $\langle W \rangle$ (i.e. negative Λ).
- Possibly remanant subgroup of continuous stringy U(1)_R symmetry.
- If U(1)_R gauged, remnant Z_N^R gauged and not broken by quantum gravity.

An Anomaly-Free Discrete R Symmetry for the MSSM (II)



Rendering the Z_N^R symmetry anomaly-free:

[Ibanez '93]

- Generation-independent Z_N^R with N = 3, 4, 5, ... that commutes with SU(5).
- $Z_N^R [SU(3)_C]^2$ and $Z_N^R [SU(2)_L]^2$ anomaly coefficients

$$\mathcal{A}_{R}^{(C)} \stackrel{\scriptscriptstyle (N)}{=} \mathcal{A}_{R}^{(L)} \stackrel{\scriptscriptstyle (N)}{=} -6.$$

• Given solely the MSSM particle content, only Z_3^R and Z_6^R anomaly-free.

An Anomaly-Free Discrete R Symmetry for the MSSM (III)



 $N \neq 3, 6 \Rightarrow$ Extra matter sector required. Natural consequende of gauged Z_N^R .

Introduce k pairs of vector-like quark & anti-quark fields:

$$Q_i\sim \mathbf{5}_i\,,\quad ar{Q}_i\sim \mathbf{5}_i^*\,.$$

- *R* charges such that $k(r_Q + r_{\bar{Q}} 2) \stackrel{\text{\tiny{(N)}}}{=} +6$. In most cases, $r_Q + r_{\bar{Q}} \neq 0, 2$.
- Renormalizable superpotential for the extra quark sector:

$$W_Q^{\text{ren}} = 0$$

Global $SU(k)_Q^V \times SU(k)_Q^A \times U(1)_Q^V \times U(1)_Q^A$ flavour symmetry.

Rendering the Extra Quark Flavours Massive

Couple new matter sector to SM singlet P that acquires VEV above the EW scale:

$$W_Q \supset \frac{\lambda_i}{M_{\mathrm{Pl}}^{n-1}} P^n \left(Q \bar{Q} \right)_i, \quad m_{Q_i} = \frac{\lambda_i}{M_{\mathrm{Pl}}^{n-1}} \langle P \rangle^n, \quad n = 1, 2.$$

• nk possible values for r_P , the R charge of P, for each combination of N, n, k.

Add singlets \overline{P} and X with $r_{\overline{P}} = -r_P$ and $r_X = 2$. Restrict to values of r_P s. t.

$$W_P^{\rm ren} = \kappa X \left[\frac{\Lambda^2}{2} - P \bar{P} \right].$$

True vacuum configuration at energies below the mass scale Λ:

$$\langle X \rangle \sim m_{3/2}, \quad \langle P \rangle = \frac{\Lambda}{\sqrt{2}} e^{A/\Lambda}, \quad \langle \bar{P} \rangle = \frac{\Lambda}{\sqrt{2}} e^{-A/\Lambda}.$$

Notice: new chiral multiplet $A = (\frac{1}{\sqrt{2}}(b+ia), \tilde{a})$ contains pseudo-scalar *a*.

Global Abelian Flavour Symmetries

New matter sector:

$$W_Q^{\text{ren}} = 0 \quad \Rightarrow \quad U(1)_Q^V \times U(1)_Q^A.$$

New singlet sector:

$$W_P^{\text{ren}} = \kappa X \left[\frac{\Lambda^2}{2} - P \bar{P} \right] \quad \Rightarrow \quad U(1)_P, \quad q_P = 1, \quad q_{\bar{P}} = -1.$$

Coupling between the new matter and the singlet sector:

$$W_Q \supset \frac{\lambda_i}{M_{\text{Pl}}^{n-1}} P^n \left(Q \bar{Q} \right)_i \quad \Rightarrow \quad U(1)_P \times U(1)_Q^V \times U(1)_Q^A \to U(1)_{\text{PQ}} \times U(1)_Q^V.$$

- Colour anomaly: $A_{PQ} = k q_{Q\bar{Q}} = k (-n)$. Remniscent of KSVZ axion model.
- q_Q and $q_{\bar{Q}}$ eventually fixed by coupling to MSSM (e.g. \bar{Q} **10** H_d or $\bar{P}\bar{Q}$ **10** H_d).

Generation of the MSSM μ Term

 $W_{\mu} = \mu H_u H_d$ forbidden by Z_N^R . Generated during / after *R* symmetry breaking.

- ► N = 4: $K \supset g H_u H_d$. $\Rightarrow R$ breaking $\rightarrow W \supset \frac{g}{M_{\text{Di}}^2} \langle W \rangle H_u H_d = g m_{3/2} H_u H_d$.
- ▶ $N \neq 4$: Couple standard model singlet *S* with $r_s = -2$ to $H_u H_d$.

$$\mathcal{W}_{S}^{\mathrm{ren}} = g_{H} H_{u} H_{d} S + m_{3/2}^{2} S + g_{X} m_{3/2} X S + g_{X^{2}} X^{2} S \quad \left(+m_{S} S^{2}\right) \ \left(+\lambda_{S} S^{3}\right) \,.$$

▶ In the PQ-breaking vacuum: $\langle S \rangle = \mu/g_H \sim m_{3/2}.$

Same low-energy phenomenology as the PQ-NMSSM and the nMSSM: [Jeong, Shoji & Yamaguchi '12] [Panagiotakopoulos & Tamvakis '99; Panagiotakopoulos & Pilaftsis '01]

- ▶ Singlino \tilde{S} receives mass only from mixing with $\tilde{H}^0_{u.d.}$ ⇒ Lightest neutralino.
- Contributions to m_{h⁰} of a few GeV from singlino loops, if H⁰_{u,d} are light.
- ▶ BR $(h^0 \rightarrow \tilde{S}\tilde{S})$ large at small tan β . ⇒ Soon tested at LHC-13 / LHC-14.

Our model: MSSM + extra 5's and 5*'s. + singlets P, \overline{P} , X + singlet S.

- 1 The Strong CP Problem and the Peccei-Quinn Solution
- 2 Minimal Extension of the MSSM with a Peccei-Quinn Symmetry
- 3 Phenomenological Constraints and Observational Prospects
- 4 Conclusions

Bounds on the Number of Extra Matter Multiplets

Require unification of the SM gauge couplings at the perturbative level,

$$g_{\mathrm{GUT}}(m_{Q_i},k) \leq \sqrt{4\pi} \Rightarrow k_{\mathrm{max}} = k_{\mathrm{max}}(f_a,n),$$

▶ and consistency with direct searches for heavy vector-like down-type quarks, [ATLAS, 14.3 fb⁻¹ at $\sqrt{s} = 8 \text{ TeV}$, assuming a dominant coupling to the third generation of SM quarks via the operator \overline{Q}_{10H_d}] $m_{O_i} \propto |\mathcal{A}_{PO}|^n \propto k^n$, $m_{O_i} \geq M_O^{\min} = 590 \text{ GeV} \Rightarrow k_{\min} = k_{\min}(f_a, n)$.

Solve RGEs including the new matter:



 k_{\min} and k_{\max} translate into lower bounds on the axion decay constant f_a :

$$g_{
m GUT}(f_a^{
m min,p},n,k) = \sqrt{4\pi},$$

 $M_Q(f_a^{
m min,m},n,k) = M_Q^{
m min}.$

$$\max\left\{f_a^{\min,p}, f_a^{\min,m}\right\} \le f_a.$$

$$f_a^{\min,i} = f_a^{\min,i}(k,n), \quad i = p,m.$$

Shifts in the QCD Vacuum Angle

Higher-dim. operators explicitly break the $U(1)_{PO}$, Most relevant operators in W:

$$W \supset P^{\rho}S^{s}, \bar{P}^{\bar{\rho}}S^{s}, m^{m}_{3/2}P^{\rho}X^{x}, m^{m}_{3/2}\bar{P}^{\bar{\rho}}X^{x}, \quad r_{P}(p-\bar{p})+2(m+x-s)\stackrel{\scriptscriptstyle (N)}{=}2.$$

Non-standard contributions to the axion potential (from F- and A-terms):

$$\Delta V_{a} = M^{4} \cos\left(\rho \frac{a}{\sqrt{2}\Lambda}\right), \quad M = M\left(N, n, k, f_{a}, m_{3/2}, \langle S \rangle, \langle X \rangle\right)$$

These distortions of V_a induce shifts in the axion VEV, $\langle a \rangle = (\bar{\theta} + \Delta \bar{\theta}) f_a$:

$$\Delta \bar{\theta} \sim \frac{\rho}{|\mathcal{A}_{\rm PQ}|} \frac{M^4}{\Lambda_{\rm QCD}^4} \leq 10^{-10} \Rightarrow M^4 \leq 10^{-10} \frac{|\mathcal{A}_{\rm PQ}|}{\rho} \Lambda_{\rm QCD}^4 \Rightarrow f_a \leq f_a^{\rm max}$$

 $f_a \leq \min\left\{f_a^{\max,S}, f_a^{\max,X}\right\}.$

$$10^9 {
m GeV} \lesssim f_a \lesssim 10^{12} {
m GeV}$$
 .

 (N, n, k, r_P) viable if window of viable f_a .

▶ We scan 1950 combinations of *N*, *n*, *k* and r_P for $m_{3/2} = \langle S \rangle = \langle X \rangle = 1$ TeV.

Phenomenologically Viable Scenarios

- Upper bounds on f_a due to the requirement that $\Delta \bar{\theta} \leq 10^{-10}$.
- Shaded squares: $\Delta \bar{\theta} \leq 10^{-10}$ satisfied, but $g_{\text{GUT}} > \sqrt{4\pi}$.



Large landscape of viable scenarios. \Rightarrow Works for any Z_N^R symmetry!

 $f_a^{\text{max}} \gtrsim 10^{12} \,\text{GeV}$ in some cases. \Rightarrow Axion dark matter possible!

Lower Bounds on the QCD Vacuum Angle

- ▶ Assume dark matter to be made out of axions. \Rightarrow Set $f_a = 10^{12}$ GeV.
- What is the expected $\Delta \overline{\theta}$ in the scenarios that allow for this value of f_a ?



 $\Delta \bar{\theta}$ typically not within experimental reach. But: 10 scenarios with $\Delta \bar{\theta} \gtrsim 10^{-15}$.

Particularly interesting: Z_4^R plus 6 new quark pairs with TeV-scale masses: No *CP* problem, axion DM, no singlet *S*, TeV-scale vector quarks, $\Delta \bar{\theta} \gtrsim 10^{-15}$, ...

Kai Schmitz (Kavli IPMU, U Tokyo)

- The Strong CP Problem and the Peccei-Quinn Solution
- 2 Minimal Extension of the MSSM with a Peccei-Quinn Symmetry
- 3 Phenomenological Constraints and Observational Prospects

4 Conclusions

The PQ Symmetry from a Gauged Discrete R Symmetry

Problem: Anomalous global $U(1)_{PQ}$, required for the axion solution of the strong *CP* problem, expected to be broken by quantum gravity effects.

Idea: Approximate accidental $U(1)_{PO}$ due to exact gauged discrete R symmetry.

- New matter sector in order to render the Z_N^R anomaly-free, $Q_i \& \overline{Q}_i$.
- New singlet sector in order to provide masses to the new matter, $P, \overline{P} \& X$.
- Singlet S to generate the MSSM μ term.

Phenomenological constraints on N, n, k, r_P , f_a based on:

- Lower bound on the mass of heavy down-type quarks, $M_O^{\min} = 590 \,\text{GeV}$.
- SM gauge coupling unification at the perturbative level, $g_{\text{GUT}} \leq \sqrt{4\pi}$.
- Not too large a shift in the QCD vacuum angle, $\bar{\theta} < 10^{-10}$.
- f_a within astrophysically viable window, $10^9 \text{GeV} \lesssim f_a \lesssim 10^{12} \text{GeV}$.

Result: Large landscape of viable solutions. Lower bounds on $\bar{\theta}$ in case of axion DM.

The PQ Symmetry from a Gauged Discrete *R* Symmetry

Problem: Anomalous global $U(1)_{PQ}$, required for the axion solution of the strong *CP* problem, expected to be broken by quantum gravity effects.

Idea: Approximate accidental $U(1)_{PO}$ due to exact gauged discrete R symmetry.

- New matter sector in order to render the Z_N^R anomaly-free, $Q_i \& \overline{Q}_i$.
- New singlet sector in order to provide masses to the new matter, $P, \overline{P} \& X$.
- Singlet S to generate the MSSM μ term.

Phenomenological constraints on N, n, k, r_P, f_a based on:

- Lower bound on the mass of heavy down-type quarks, $M_O^{\min} = 590 \,\text{GeV}$.
- SM gauge coupling unification at the perturbative level, $g_{\text{GUT}} \leq \sqrt{4\pi}$.
- Not too large a shift in the QCD vacuum angle, $\bar{\theta} < 10^{-10}$.
- ▶ f_a within astrophysically viable window, $10^9 \text{GeV} \lesssim f_a \lesssim 10^{12} \text{GeV}$.

Result: Large landscape of viable solutions. Lower bounds on $\bar{\theta}$ in case of axion DM.

Thank you for your attention!