

# A Practical Guide to Global Earthquake Forecasting



Market Street  
San Francisco  
April 14, 1906

YouTube Video

**John B Rundle**

Distinguished Professor, University of California, Davis ([www.ucdavis.edu](http://www.ucdavis.edu))  
Chairman, Open Hazards Group ([www.openhazards.com](http://www.openhazards.com))

# Major Contributors

## Open Hazards Group:

James Holliday (and University of California)

William Graves

Steven Ward (and University of California)

Paul Rundle

Daniel Rundle

## QuakeSim (NASA and Jet Propulsion Laboratory):

Andrea Donnellan

# On Forecasting

- Why forecast? (A vocal minority of our community says we shouldn't or can't)
  - Insurance rates
  - Safety
  - Building codes
- Fact: Every country in the world has an earthquake forecast (it may be an assumption of zero events, but they all have one)
- Premise: Any forecast made by the seismology community is bound to be at least as good as, and probably better than, any forecast made by:
  - Politicians
  - Lawyers
  - Agency bureaucrats

# Forecasting vs. Prediction

Context	Characteristic
Prediction	A statement that can be validated or falsified with 1 observation
Forecast	A statement for which multiple observations are required to determine a confidence level

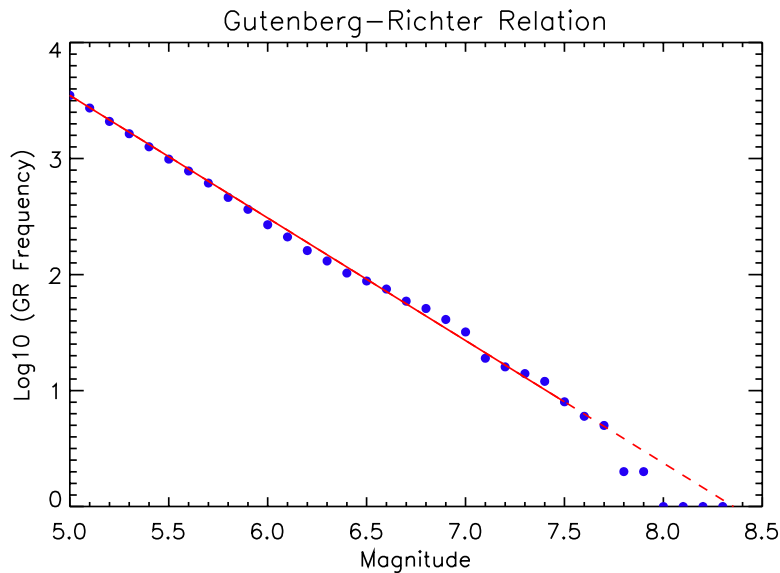
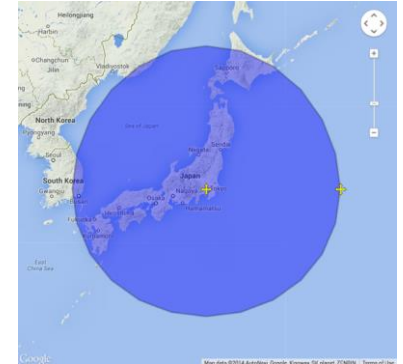
# Challenges in Web-Based Forecasting

Data & Models	Information Delivery	Meaning
Acquiring & validating data	Automation	What is probability?
Model building	Web-based integration	Visual presentation
Efficient algorithms	UI	GIS
Validating/verifying models	Tools	Correlations
Error reporting, correction, model steering	Collaboration/social networks	Expert guidance/blogs

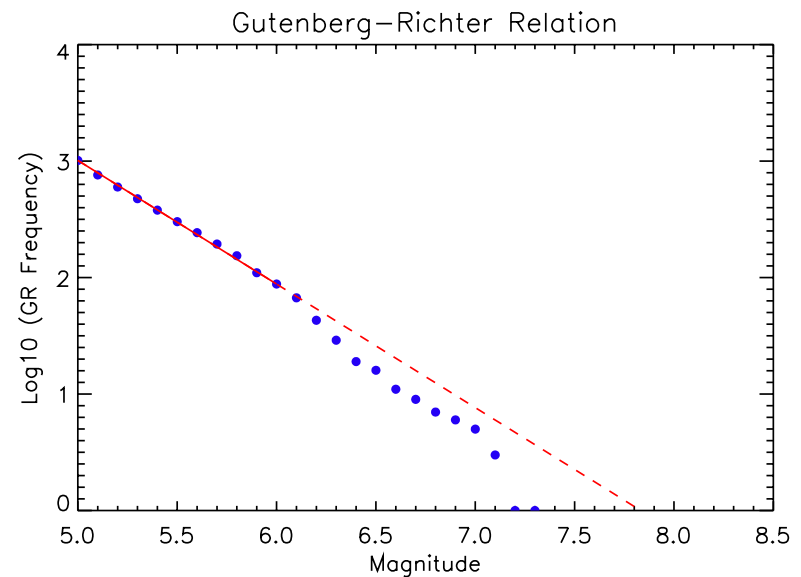
# Filling in the Gutenberg-Richter Relation

Statistics Before and After 3/11/2011  
Radius of 1000 km Around Tokyo

$$b=1.01 \pm 0.01$$



All events prior to M9.1 on 3/11/2011  
("Normal" statistics)



All events after M7.7 on 3/11/2011  
(Deficit of large events)

# A Different Kind of Forecast: Natural Time Weibull Features

JBR et al., Physical Review E, 86, 021106 (2012)

J.R. Holliday et al., in review, PAGEOPH, (2014)

- ✧ A self-consistent global forecast
  - ✧ Displays elastic rebound-type behavior
    - ✧ Gradual increase in probability prior to a large earthquake
    - ✧ Sudden decrease in probability just after a large earthquake
  - ✧ Only about a half dozen parameters (assumptions) in the model whose values are determined from global data
  - ✧ Based on global seismic catalogs
  - ✧ Probabilities are highly time dependent and can change rapidly
  - ✧ Probabilities represent perturbations on the time average probability
  - ✧ Web site displays an ensemble forecast consisting of 20% BASS (ETAS) and 80% NTW forecasts
- “If a model isn’t simple, its probably wrong” – Hiroo Kanamori (ca. 1980)*

# NTW Method

JBR et al., Physical Review E, 86, 021106 (2012)

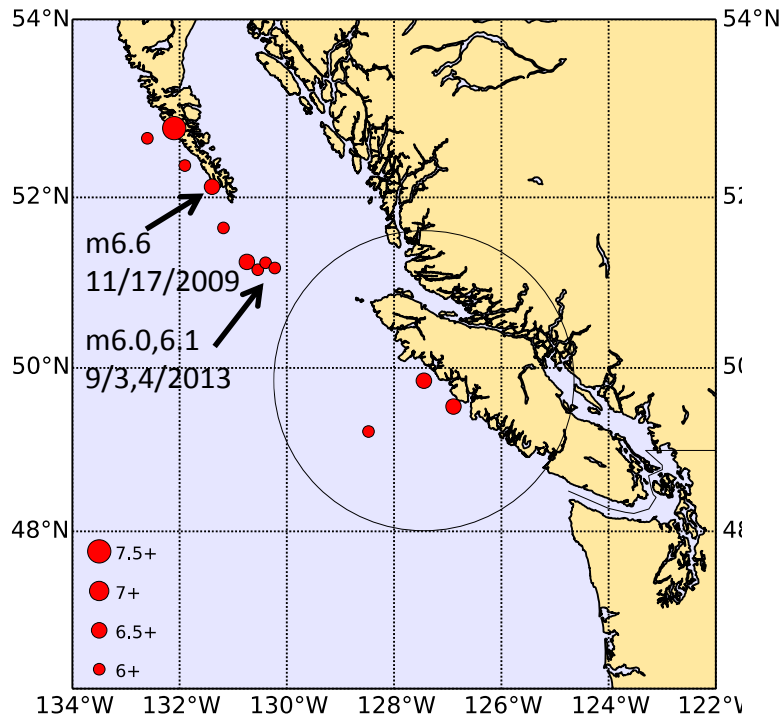
- ❖ Data from ANSS catalog + other real time feeds
- ❖ Based on “filling in” the Gutenberg-Richter magnitude-frequency relation
- ❖ Example: for every  $\sim 1000$   $M > 3$  earthquakes there is 1  $M > 6$  earthquake
- ❖ Weibull statistics are used to convert large-earthquake deficit to a probability
- ❖ Fully automated
- ❖ Backtested and self-consistent
- ❖ Updated in real time (at least nightly)
- ❖ Accounts for statistical correlations of earthquake interactions



# Example: Vancouver Island Earthquakes

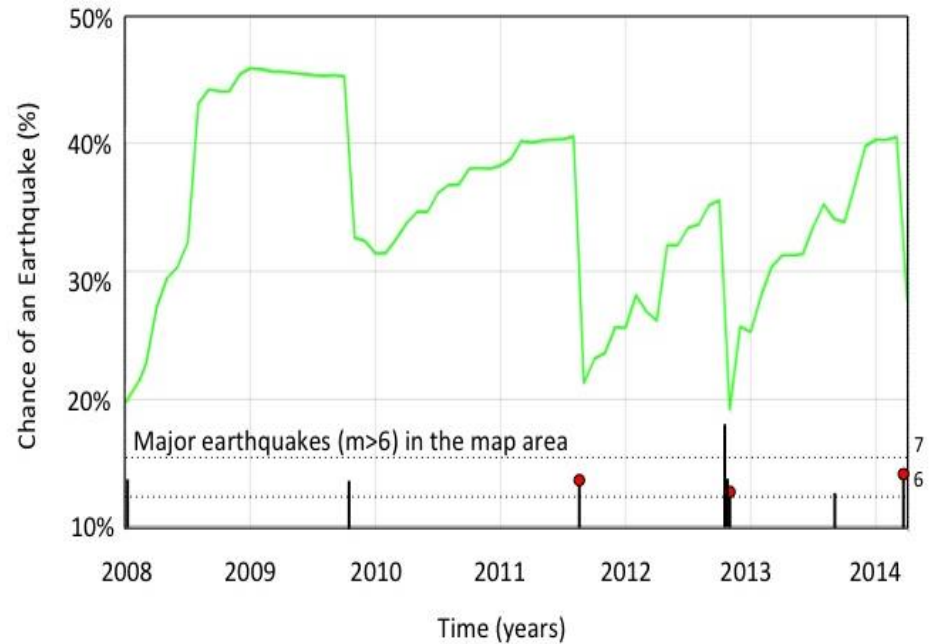
Latest Significant Event was M6.6 on 4/24 /2014

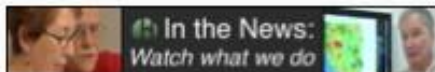
JR Holliday et al, in review (2014)



Chance of M>6 earthquake in circular region of radius 200 km for next 1 year.

Data accessed 4/26/2014




[Personal Earthquake Forecast](#)
[Home Damage Estimator](#)
[Earthquake Viewer](#)
[Hazards Viewer](#)

## WE ARE THE OPENHAZARDS GROUP.

WE ARE A TEAM OF SCIENTISTS AND ENGINEERS DEDICATED TO REDUCING THE IMPACT OF NATURAL DISASTERS.

WE PROVIDE PROFESSIONAL RISK ASSESSMENT AND WEB-BASED TOOLS, SERVING THE PREPARED HOMEOWNER AND THE SIMPLY CURIOUS.

WE ARE THE WORLD LEADERS IN EARTHQUAKE FORECASTING AND HAZARD ANALYSIS.

THE OPENHAZARDS GROUP:  
KEEPING YOU ONE STEP AHEAD OF NATURE



## Prepare

[Custom Reports](#) • [Safety Guides](#)

*Protect your family and your home — **knowledgably.*** You have options when it comes to preparing for an earthquake. What's right for you? We'll help you learn your risk and give you the tools to make informed decisions.

## Explore

[Natural Hazards](#) • [OH Forecasts](#)

*Learn more about natural hazards.* Want to know where the next "big one" might strike? OpenHazards is the world leader in earthquake forecasts. Discover more about earthquakes and the other natural hazards of our planet.

## Community

[Blogs](#) • [Your Photos](#) • [Forums](#)

*Join the discussion.* Read the latest news, watch videos, and get insights from our nationally recognized experts. Then, share your own comments, questions, and uploaded photos with the OpenHazards community.

# Hazards Viewer

**Map**

- Satellite Imagery
- California Faults (UCERF)
- Recent Earthquakes

**Earthquake Forecasts**

Year: 2014 Month: Jun

Select Forecast: [Dropdown]

Probability of experiencing a large earthquake (M>5 in California, M>6.5 Global) over the next year (365 days).

**Earthquake Hazard**

- Circle Selection Tool
- Polygon Selection Tool

View Strain

Forecast Timeseries

**Other Hazards**

- None
- GDACS Alerts
- Wild Fire - Current
- Wild Fire - 1 week
- FEMA Flood Zones
- Radon Hazard

**Ground Shaking**

- Query Location

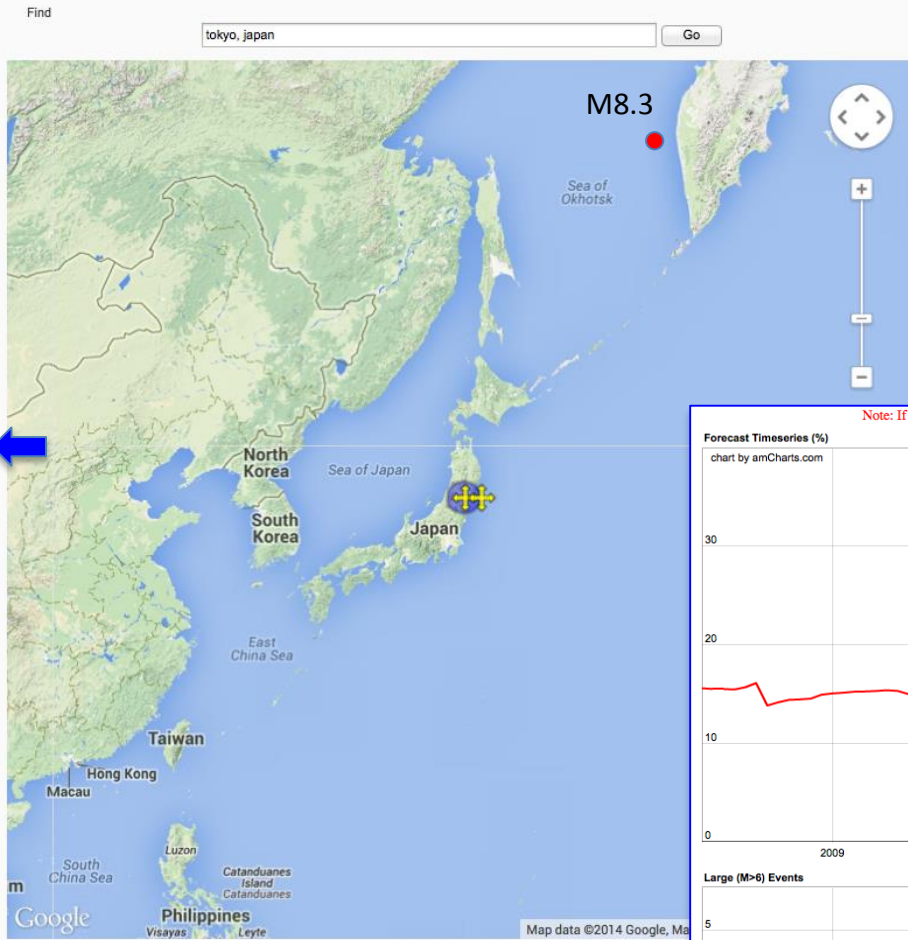
Shaking Intensity

**Locations**

CA Counties: [Dropdown]

**Selected Region**

	1 Mo	1 Yr	3 Yr
M>5	14.0%	99.9%	99.9%
M>6	1.5%	79.2%	99.9%
M>7	0.1%	14.1%	74.9%
M>8	<0.1%	1.2%	10.2%

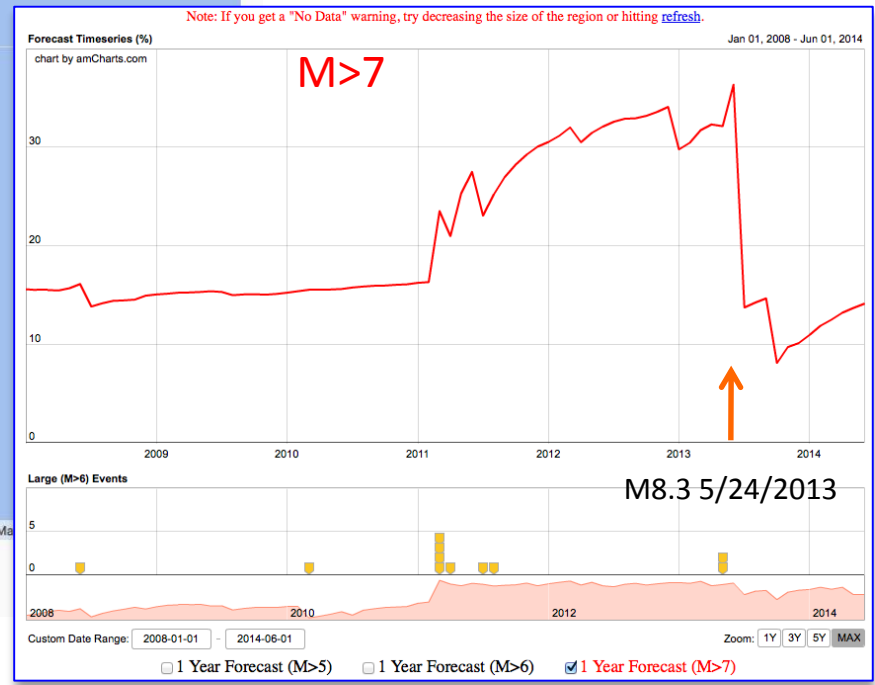


# Probability Time Series

## Sendai, Japan

### 100 km Radius

Accessed 2014/06/25





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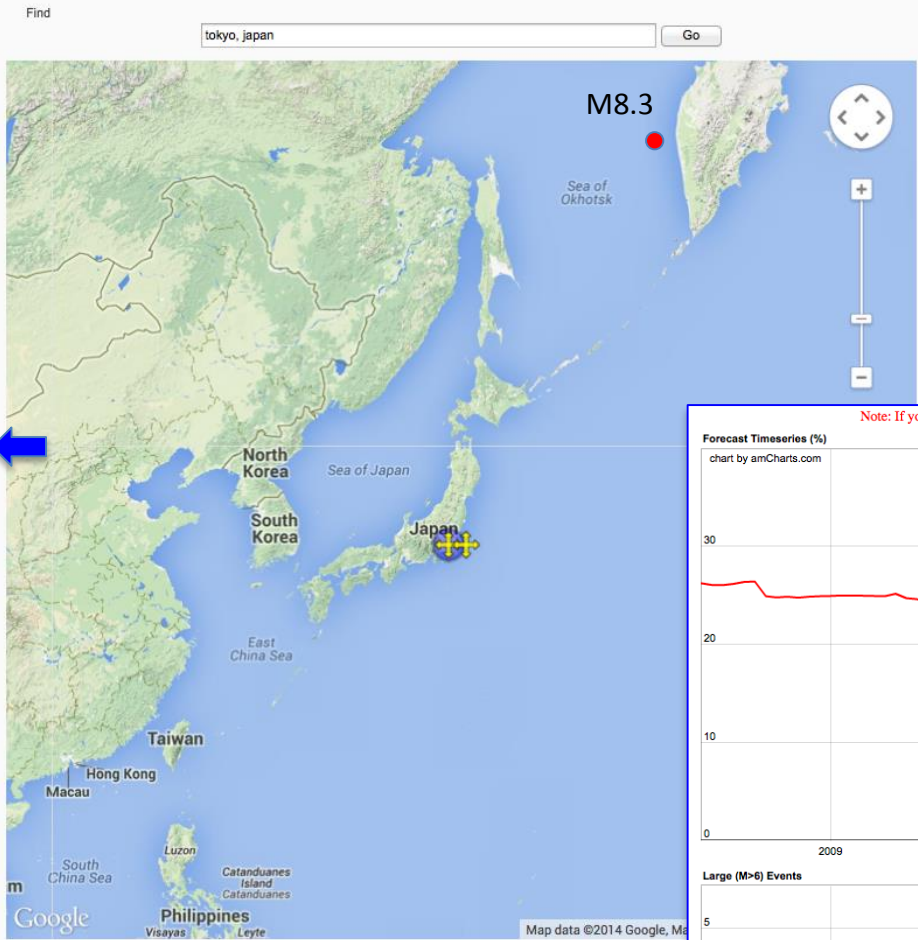
Shaking Intensity

**Locations**

CA Counties: [Dropdown]

**Selected Region**

	1 Mo	1 Yr	3 Yr
M≥5	11.5%	99.9%	99.9%
M≥6	0.8%	35.4%	97.8%
M≥7	0.1%	5.5%	40.7%
M≥8	<0.1%	1.2%	10.2%

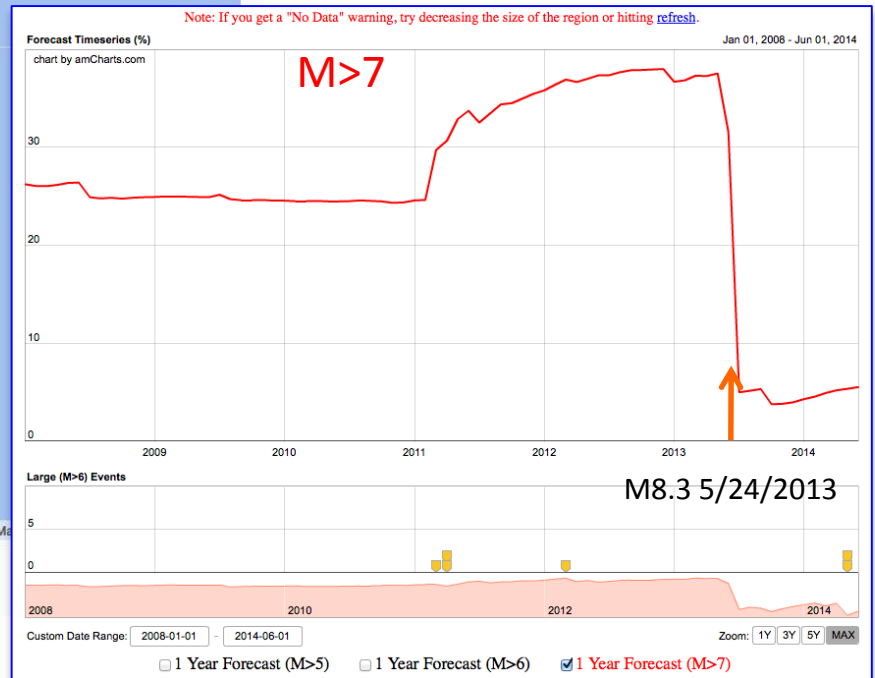


# Probability Time Series

## Tokyo, Japan

### 100 km Radius

Accessed 2014/06/25



# Hazards Viewer

**Map**

Satellite Imagery

California Faults (UCERF)

Recent Earthquakes

---

**Earthquake Forecasts**

Year: **2014** Month: **Jun**

Select Forecast: :

Probability of experiencing a large earthquake (M>5 in California, M>6.5 Global) over the next year (365 days).

---

**Earthquake Hazard**

Circle Selection Tool ←

Polygon Selection Tool

←

---

**Other Hazards**

None

GDACS Alerts

Wild Fire - Current

Wild Fire - 1 week

FEMA Flood Zones

Radon Hazard

---

**Ground Shaking**

Query Location

---

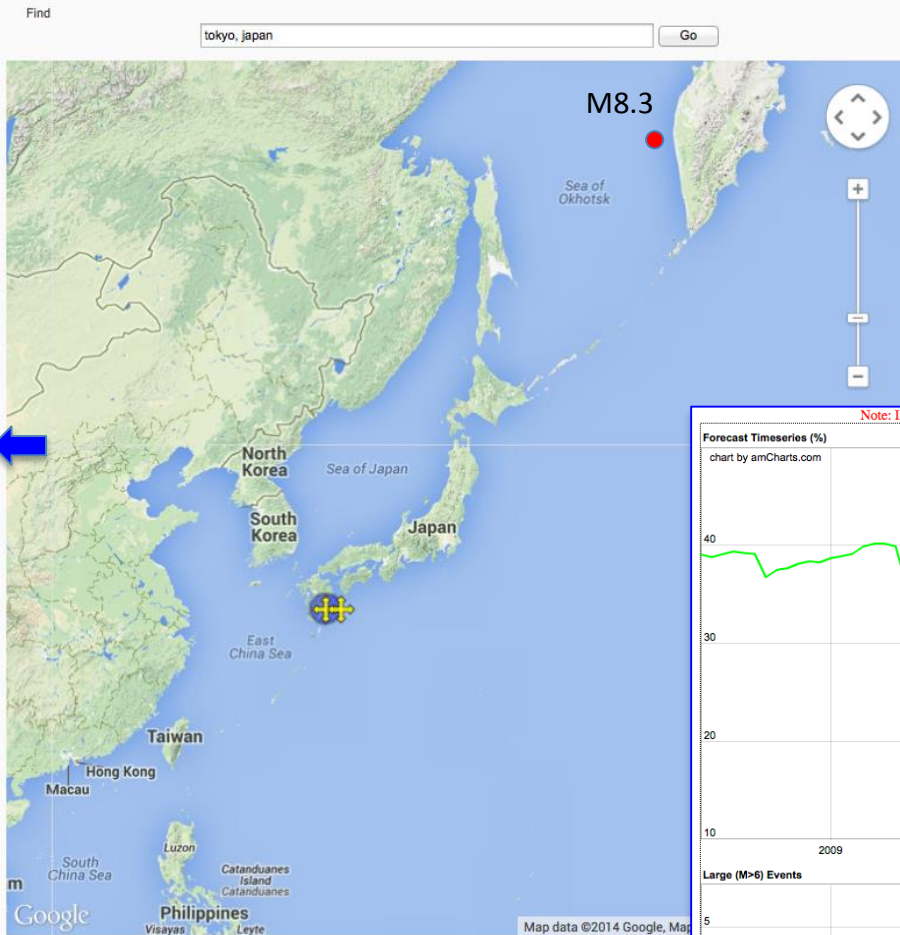
**Locations**

CA Counties: :

---

**Selected Region**

	1 Mo	1 Yr	3 Yr
M≥5	2.3%	77.5%	99.9%
M≥6	0.3%	18.6%	84.5%
M≥7	<0.1%	1.7%	13.7%
M≥8	<0.1%	0.1%	1.1%

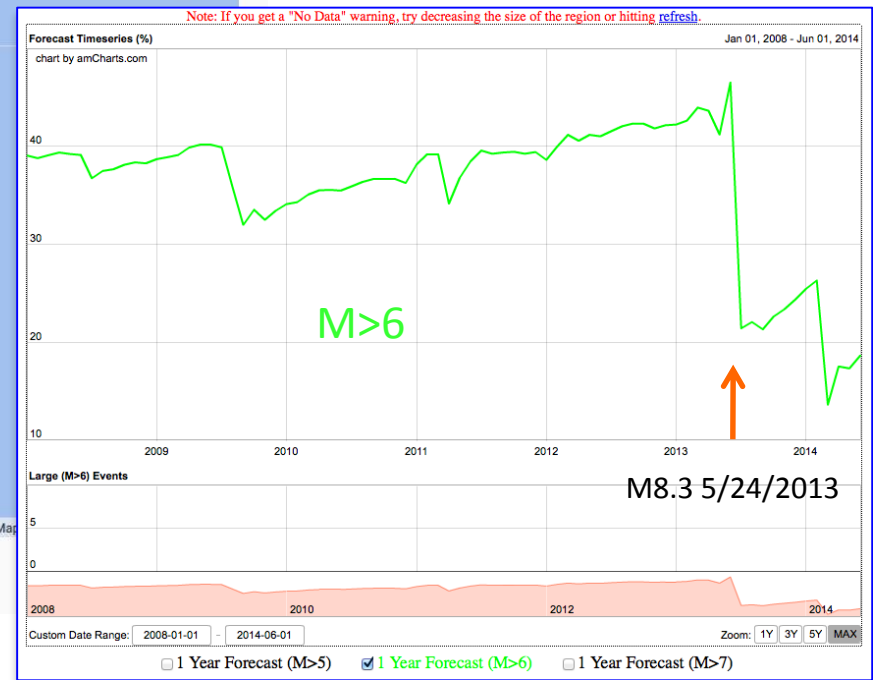


# Probability Time Series

## Miyazaki, Japan

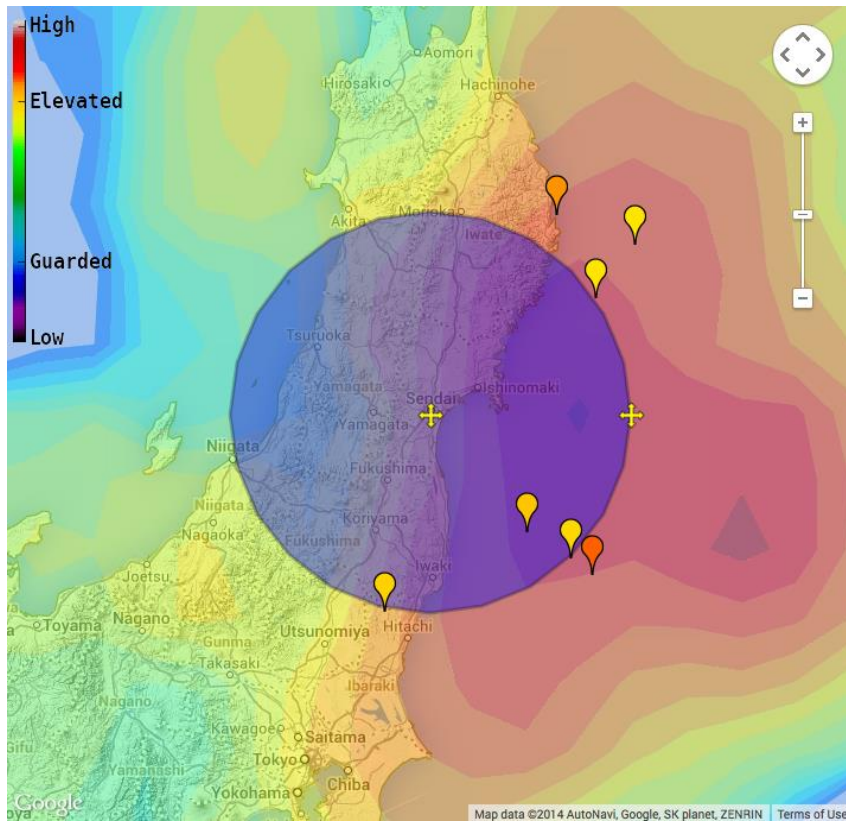
### 100 km Radius

Accessed 2014/06/25

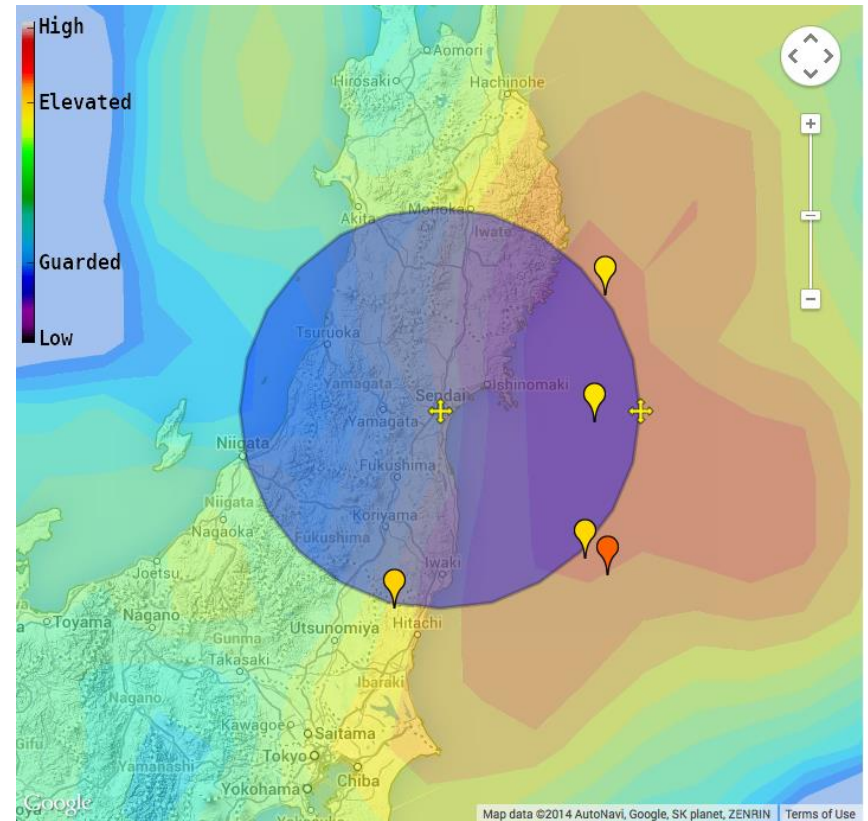


# Namie, Japan: M6.5, 7-12-2014

## Probability Contours, $M \geq 6.5$ , 1 Year



7-12-2014  
Pre-Earthquake



7-13-2014  
Post-Earthquake

# Namie, Japan: M6.5, 7-12-2014

## Table of Probabilities

Selected Region			
	1 Mo	1 Yr	3 Yr
M≥5	34.6%	99.9%	99.9%
M≥6	4.7%	99.4%	99.9%
M≥7	0.5%	41.2%	99.2%
M≥8	<0.1%	4.2%	32.0%

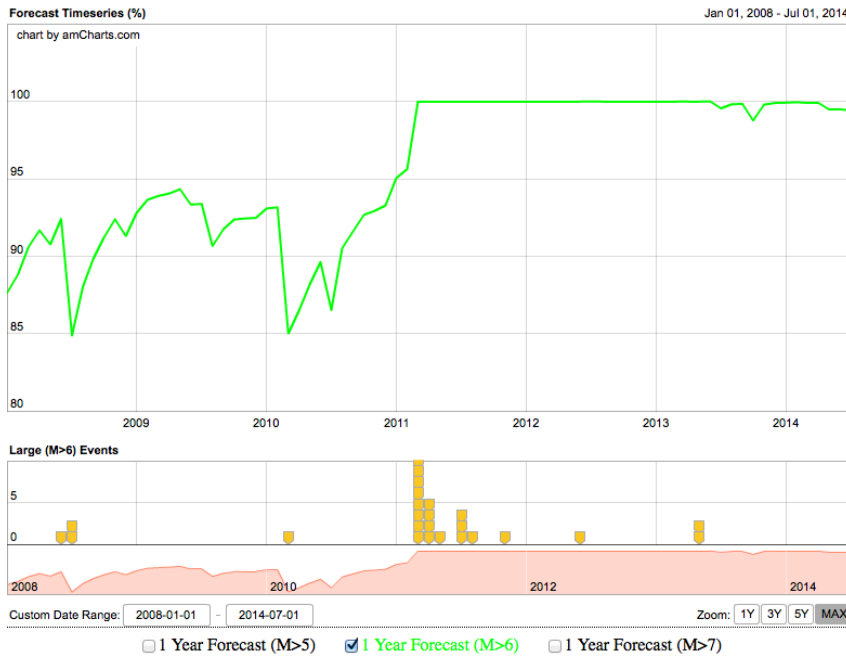
7-12-2014  
Pre-Earthquake

Selected Region			
	1 Mo	1 Yr	3 Yr
M≥5	32.0%	99.9%	99.9%
M≥6	2.6%	88.7%	99.9%
M≥7	0.5%	41.5%	99.3%
M≥8	<0.1%	4.2%	32.0%

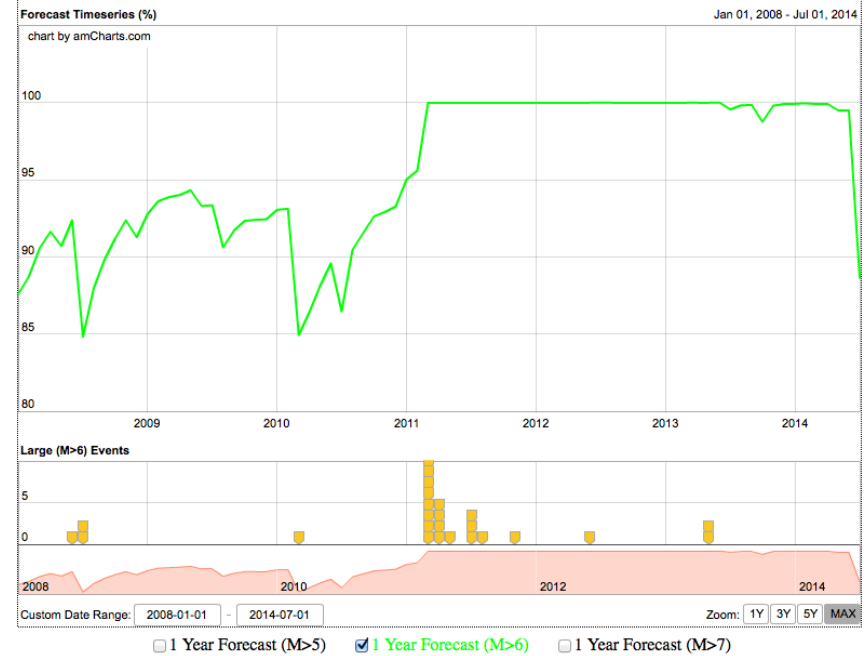
7-13-2014  
Post-Earthquake

# Namie, Japan: M6.5, 7-12-2014

## Probability Timeseries, M>6, 1 Year



7-12-2014  
Pre-Earthquake

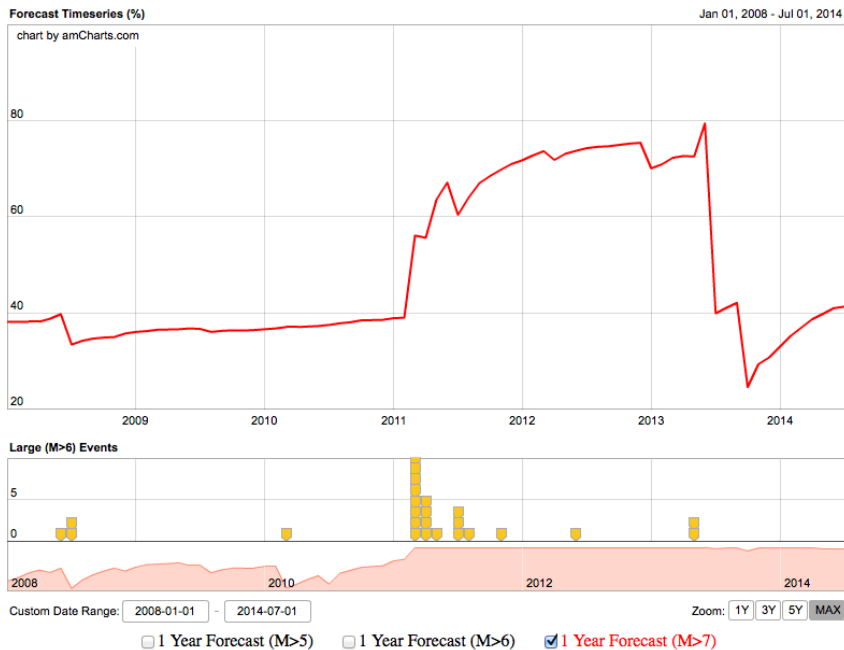


7-13-2014  
Post-Earthquake

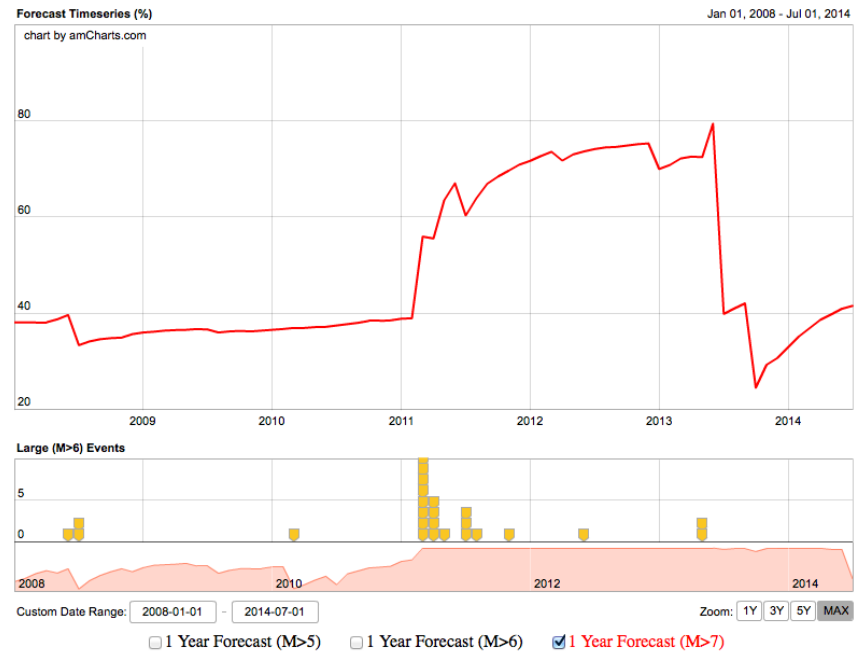


# Namie, Japan: M6.5, 7-12-2014

## Probability Timeseries, M>7, 1 Year



7-12-2014  
Pre-Earthquake



7-13-2014  
Post-Earthquake

# Hazards Viewer

**Map**

Satellite Imagery

California Faults (UCERF)

Recent Earthquakes

---

**Earthquake Forecasts**

Year **2014** Month **Jun**

Select Forecast

Probability of experiencing a large earthquake (M>5 in California, M>6.5 Global) over the next year (365 days).

---

**Earthquake Hazard**

Circle Selection Tool

Polygon Selection Tool

View Strain

Forecast Timeseries

---

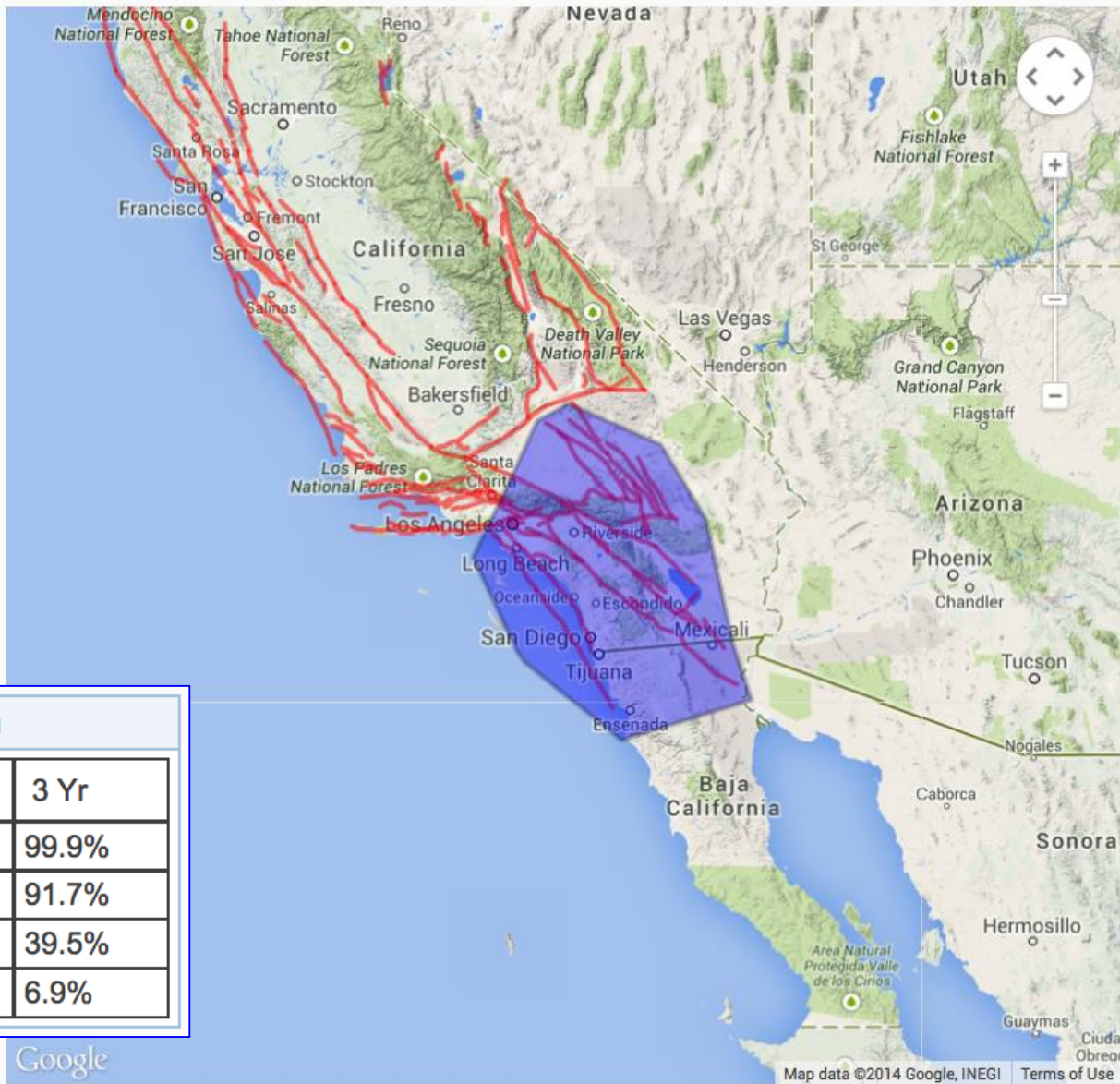
**Other Hazards**

None

GDACS Alerts

Wild Fire - Current

Find

## Selected Region

	1 Mo	1 Yr	3 Yr
M≥5	5.9%	97.9%	99.9%
M≥6	0.6%	24.5%	91.7%
M≥7	0.1%	5.3%	39.5%
M≥8	<0.1%	0.8%	6.9%

Google

Map data ©2014 Google, INEGI Terms of Use

# Personal Earthquake Forecast

Palo Alto, CA

Go



Probability of Earthquake Within 50 Miles of Palo Alto, CA, USA

	1 Month	1 Year	3 Years
M <sub>≥</sub> 5	1.05%	36.81%	98.34%
M <sub>≥</sub> 6	0.14%	5.07%	34.12%
M <sub>≥</sub> 7	<0.05%	1.59%	13.00%
M <sub>≥</sub> 8	<0.05%	0.18%	1.42%

Fri Jul 05 2013 14:19:48 GMT-0700 (PDT)

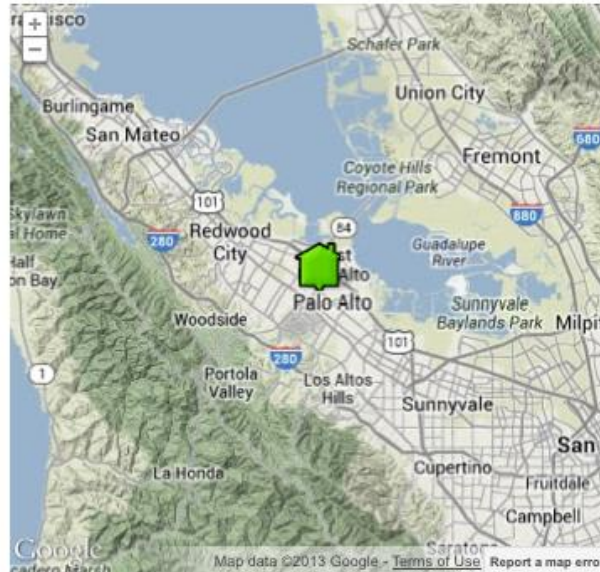
# Home Damage Estimator

Calculate estimated damage to your home due to strong earthquakes in three easy steps.

Damage Factor: 0.0000

## ▼ Step 1: Locate House

Drag the green house marker to your home's location or enter your street address in the search box below.



Address:

▶ Step 2: Describe House

▶ Step 3: Place Sample Earthquake

[Create Report](#)

1. First, locate your house. You can do this by holding down on the green house marker in "Step 1" and dragging it to your home's location. You can also enter your street address in the search box.
2. Next, describe your house. You can do this by filling out the table in "Step 2". Initial guesses at appropriate values are supplied by [Zillow.com](#).
3. Finally, place a sample earthquake to check for possible damage. You can do this by holding down on the red earthquake marker in "Step 3" and dragging it close to your home's location. The closer you place the earthquake, the more damage you'll see. You can also select a magnitude for the sample earthquake.

# Home Damage Estimator

Calculate estimated damage to your home due to strong earthquakes in three easy steps.

Damage Factor: 0.0000

▶ Step 1: Locate House

▼ Step 2: Describe House

Describe your house's structure and value by updating the table below. Initial values have been supplied by [Zillow.com](http://Zillow.com). For more information on a specific entry field, hover your mouse over the field label.

Address	498 Fulton Street, Palo Alto, CA 94301
Built	1973
Num Floors	1
House Size	1,600 sqft
Structural Value	\$605,972
Framing	Wood-Frame
Ground Type	<input checked="" type="radio"/> Hard (rocky) <input type="radio"/> Soft (sandy)



▶ Step 3: Place Sample Earthquake

Create Report

1. First, locate your house. You can do this by holding down on the green house marker in "Step 1" and dragging it to your home's location. You can also enter your street address in the search box.
2. Next, describe your house. You can do this by filling out the table in "Step 2". Initial guesses at appropriate values are supplied by [Zillow.com](http://Zillow.com).
3. Finally, place a sample earthquake to check for possible damage. You can do this by holding down on the red earthquake marker in "Step 3" and dragging it close to your home's location. The closer you place the earthquake, the more damage you'll see. You can also select a magnitude for the sample earthquake.



# Home Damage Estimator

Calculate estimated damage to your home due to strong earthquakes in three easy steps.

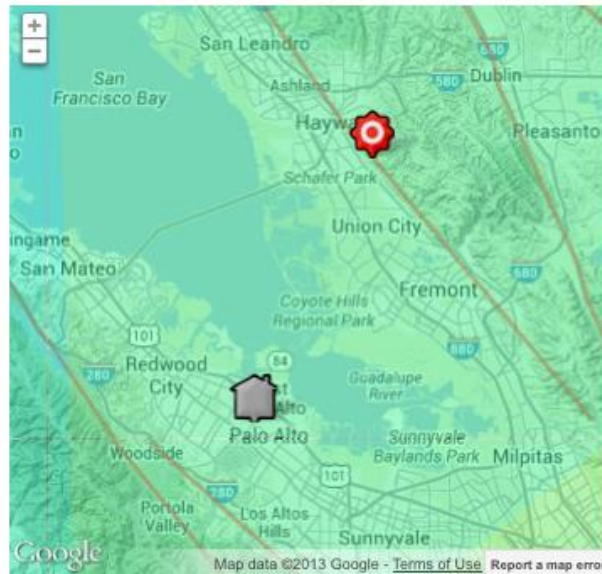
Damage Factor: 0.1432

▶ Step 1: Locate House

▶ Step 2: Describe House

▼ Step 3: Place Sample Earthquake

Drag the red earthquake marker to the desired location for your sample earthquake. Don't forget to specify a magnitude!



Magnitude: 7.0

Create Report

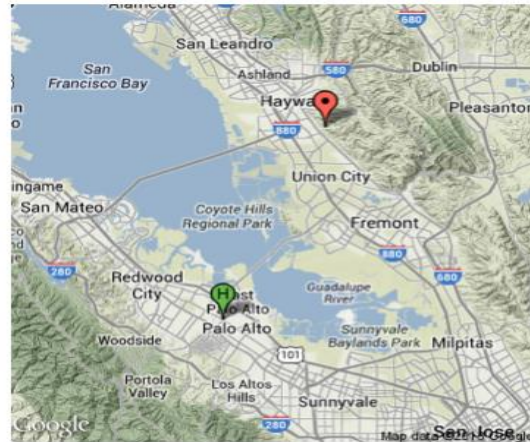
1. First, locate your house. You can do this by holding down on the green house marker in "Step 1" and dragging it to your home's location. You can also enter your street address in the search box.
2. Next, describe your house. You can do this by filling out the table in "Step 2". Initial guesses at appropriate values are supplied by [Zillow.com](#).
3. Finally, place a sample earthquake to check for possible damage. You can do this by holding down on the red earthquake marker in "Step 3" and dragging it close to your home's location. The closer you place the earthquake, the more damage you'll see. You can also select a magnitude for the sample earthquake.



## Risk Assessment For User Generated Home Values

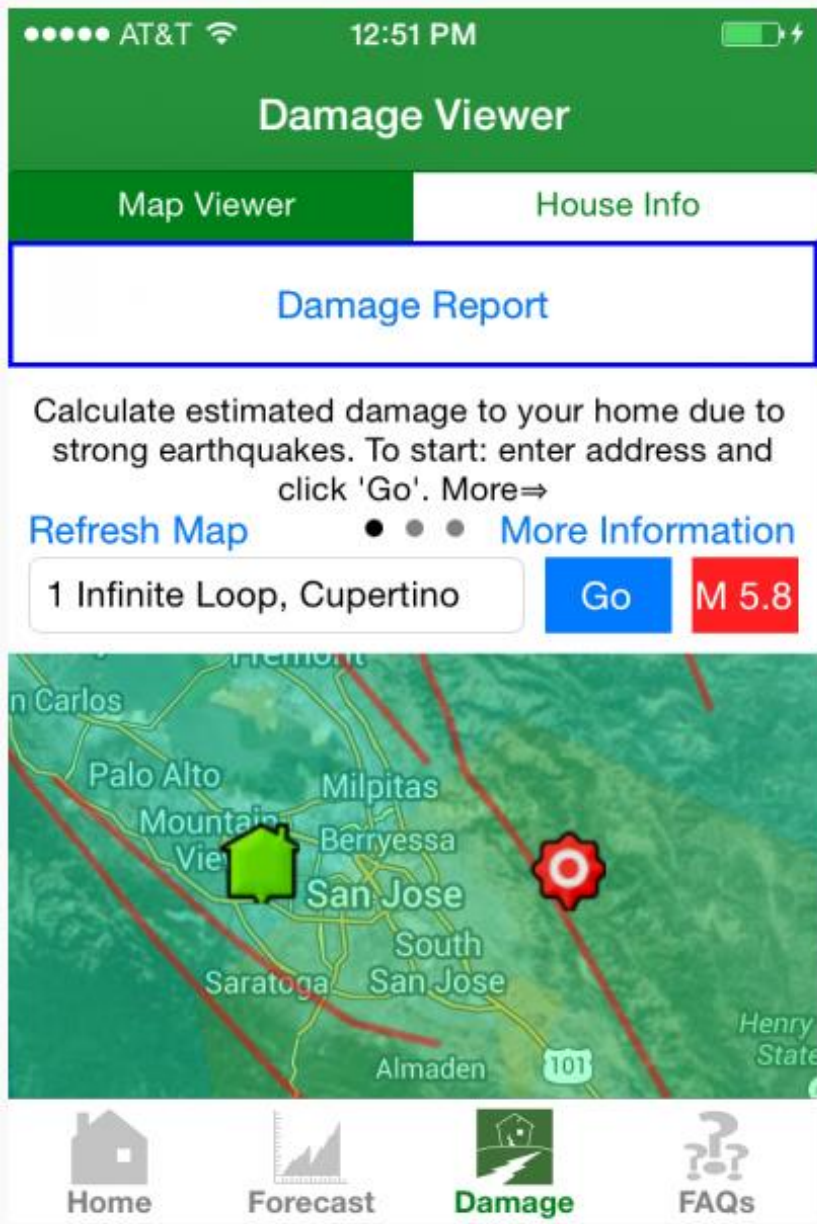
Report Generated: Fri Jul 05 2013 14:18:41 GMT-0700 (PDT)

Your test earthquake produced a simulated peak ground acceleration (PGA) of 18.658%g at your home location. Given your description, the damage factor (DF) for this event is 0.1432. This means on average you would experience \$87,000 in damage (assuming a home value of \$605,972).



Address: 498 Fulton Street, Palo Alto, CA 94301  
Earthquake Location: 37.642°N, -122.050°E  
Magnitude: 7.0  
Estimated PGA (%g): 18.658  
Damage Factor: 0.1432  
Estimated Damage: **\$87,000**

# QuakeWorks Mobile App (iOS)





# Collaborative Social Network - social.openhazards.com

Members

Create content Settings Search

Profile Account settings Bookmarks My dashboard Features Invitations Messages Notifications OpenID My guestbook Subscriptions Customize dashboard Edit my profile

My Status Add custom

## My Status Page

200 characters allowed

This is your personal Facebook-style status update box. Create relationships with other users to share your status here...

Attach: CAPTCHA: no challenge enabled

Share



John

No financial hazards yet...



### Bernanke: Fed to begin tapering bond purchases later this year

Federal Reserve Chairman Ben Bernanke said the central bank anticipates beginning tapering bond purchases later this year but that policy will remain accommodative and could change depending on the

incoming economic data.

1 day ago

Edit Delete

Comment



John

An interesting story on the financial system...



### Enjoy it while it lasts: Bank earnings face trouble

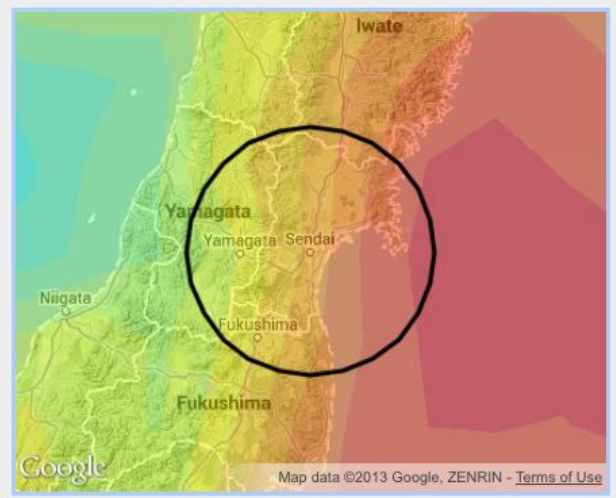
## Welcome to the Open Hazards Social Network

Edit

Welcome to the Open Hazards Social Network! Planning for and responding to disasters depends on establishing strong collaboration and social networks. On this site you can post content to a Facebook-style status stream and your colleagues and neighbors can see it. You can join a group to add content, or to upload, discuss, and share images and information with others. You can also add a new group and invite others to join. When you join or create a group, you will see a video providing instruction on how to use groups and the site in general. Feel free to rearrange boxes on dashboard pages using the "Customize Dashboard" button at the top right. You can find instructions on how to do this at: <https://community.openatrium.com/documentation-en/node/23>. We hope you find this site useful in preparing for and responding to natural disasters.

## My Personal Earthquake Forecast

Sendai,japan Go



# APRU Multihazards Group: A Moderated Group

John Private messages Home > Groups > APRU Multihazards Group

Dashboard Create content Settings Search

Dashboard Add custom Customize dashboard

## Recent activity

Thursday, Jul 11

9:29am JohnBR commented on APRU Multihazards Group

## Calendar

July 2013

M	T	W	T	F	S	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31	1	2	3	4

## Upcoming events

No upcoming events found.

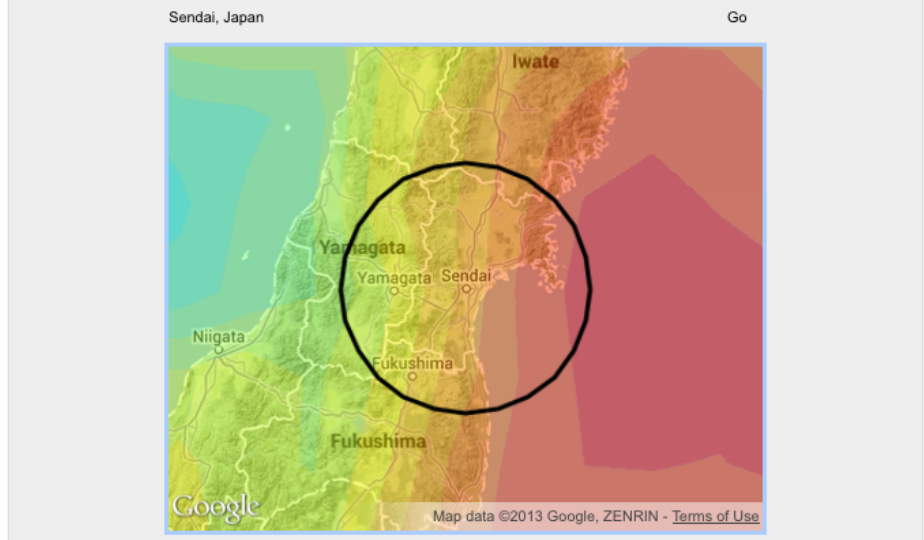
## John

- Add user
- Features
- Guestbooks
- Help

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## My Personal Earthquake Forecast



Probability of Earthquake Within 50 Miles of Sendai, Miyagi Prefecture, Japan

# My Personal Group: A Private (Closed) Group

The screenshot displays the Open Hazards Social Network interface. At the top, a blue navigation bar includes a user profile for 'John', 'Private messages', and a breadcrumb trail: 'Home > Groups > Johns Personal Group'. A secondary bar contains various application icons (Dashboard, calendar, mail, etc.) and utility buttons for 'Create content', 'Settings', and 'Search'. Below this is a dashboard area with a 'Dashboard' tab and an 'Add custom' button, alongside a 'Customize dashboard' button.

The main content area is divided into several sections:

- Recent activity:** A list of posts from 'John' dated Monday, Jul 15 (10:26pm, 10:24pm, 10:23pm) and Thursday, Jul 11 (8:44am, 8:41am). The posts include 'Program', 'Lecture 1', 'APRU Summer School 2013', 'Personal Earthquake Forecast', and 'Home Damage Estimator'.
- Welcome to the Open Hazards Social Network:** A text block explaining the site's purpose for disaster response and providing instructions on how to use groups and customize the dashboard. It includes a URL: <https://community.openatrium.com/documentation-en/node/23>.
- Welcome to Johns Personal Group:** A section header for the user's private group.
- Activity Stream:** A screenshot of a browser window showing a detailed activity feed for the 'Apples' group, including user avatars, timestamps, and post content.
- Chat Area:** A section titled 'Talking to: no one' with a text input field and a 'refresh' button. Below it is a CAPTCHA section with the text 'CAPTCHA: no challenge enabled'.
- Calendar:** A calendar for July 2013, showing days of the week (M, T, W, T, F, S, S) and dates (1-7).
- Footer:** A row of buttons for 'Add Blog entry', 'Add ICal Feed', and 'Add Photos'.



# Verification and Validation

<http://www.cawcr.gov.au/projects/verification/>

- Australian site for weather and more general validation and verification of forecasts
- Common methods are Reliability/Attributes diagrams, ROC diagrams, Briar Scores, etc.

Forecast Verification: Issues, Methods and FAQ



WWRP/WGNE Joint Working Group on Forecast Verification Research

New since last update:

More scores:

[Extreme dependence family of scores for binary rare events](#)  
[Stable equitable error in probability space \(SEEPS\)](#)



Upcoming meetings:

**6th International Verification Methods Workshop and Tutorial, New Delhi, India, 13-19 March 2014**

For more information go to <http://www.ncmrwf.gov.in/verif2014>

Introduction - what is this web site about?

Issues:

[Why verify?](#)

[Types of forecasts and verification](#)

[What makes a forecast good?](#)

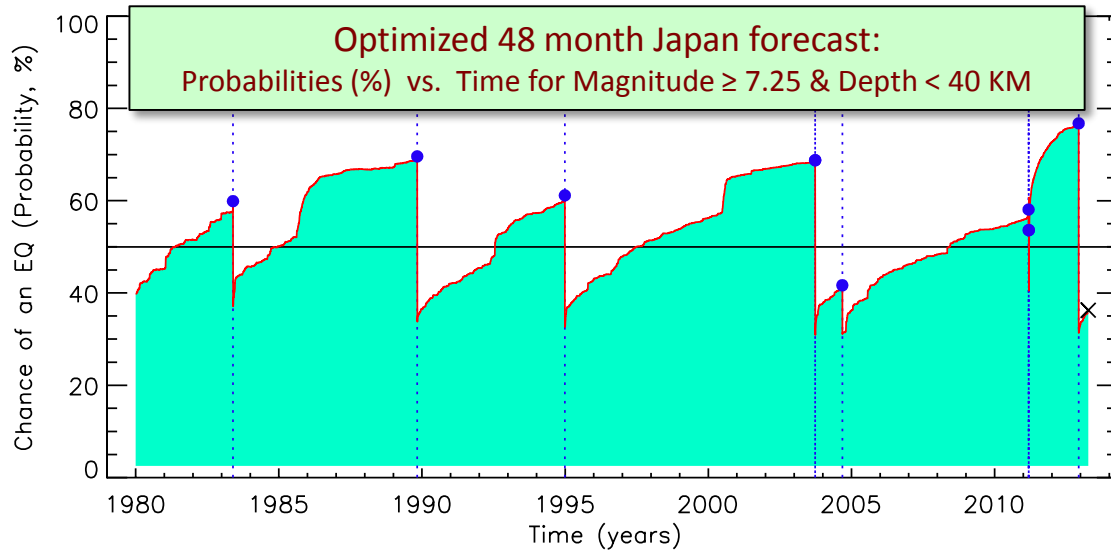
[Forecast quality vs. value](#)

[What is "truth"?](#)

[Validity of verification results](#)

[Pooling vs. stratifying results](#)

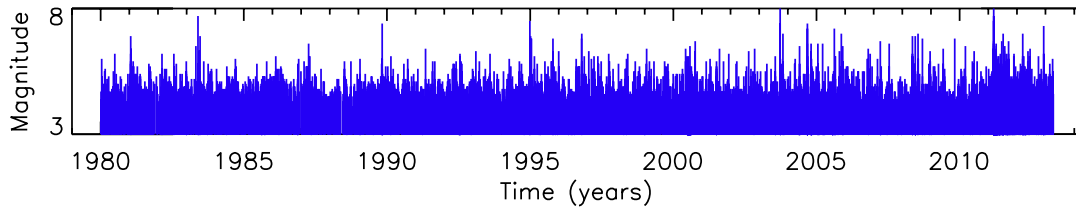




# Verification: Example

Japan NTW Forecast  
Assumes Infinite Correlation Length

Optimal forecasts via  
**backtesting**, with  
most commonly used  
verification testing  
procedures.



Forecast Date: 2013/04/10

