

Terminal singularities and F-theory

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2018, String Math

University of Pennsylvania

String compactifications

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Mathematics

String compactifications

Mathematics (manifolds, complex, algebraic)

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Mathematics (manifolds, complex, algebraic)

F-theory,

String compactifications

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F-theory,

Calabi-Yau

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Mathematics (manifolds, complex, algebraic)

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Local,

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Mathematics (manifolds, complex, algebraic)

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Local, Global

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F-theory,

Calabi-Yau (elliptically fibered)

Local, Global and

Local **TO** Global principles

From F-theory: We learned: a correspondence

- dim Lie algebras and certain representations
- $\downarrow \uparrow$
- smooth elliptically fibered Calabi-Yau varieties

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Evidence:

- Prove \mathcal{R} , a formula relating [1] and [2] (*local to global principles*) when:
 $\dim(X) = 3$, X , elliptic, Calabi-Yau with singularities (\mathbb{Q} -factorial terminal)

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Birational extension of Kodaira's classification of singular fibers of relatively minimal elliptic surfaces to higher dimensions.

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Applications

(Strings \leftarrow) Outlook in F -theory, SCFT, . . .

Based on work with collaborators:

L. Anderson, P. Arras, M. Cvetič, J. Gray, J. Halverson, C. Long, D. Morrison, P. Oehlmann, F. Ruehle, J. Shaneson, J. Tian, T. Weigand.

References

For Strings, (324) references in

“TASI Lectures on F-theory” Timo Weigand, Jun 5, 2018.

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Caveat.

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- invariants of singularities:
discrepancies (terminal \dots), Minor-Tyurina's numbers, \dots
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- deformations

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Lesson learned from **Strings** and **Math**.

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$\pi : Y \rightarrow B$ is a genus one (elliptic fibration with section σ) \leftrightarrow

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\mathcal{U} open dense,

$\Sigma = B \setminus \mathcal{U}$: ramification locus. (Assume: $\Sigma \neq \emptyset$.)

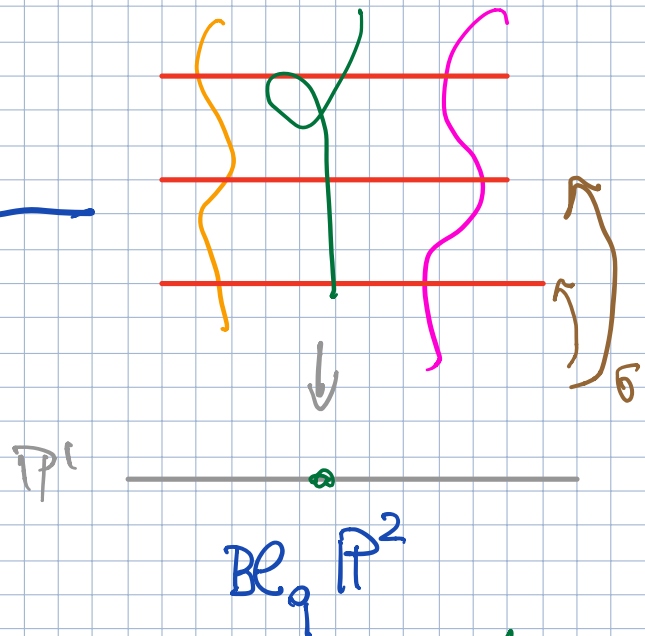
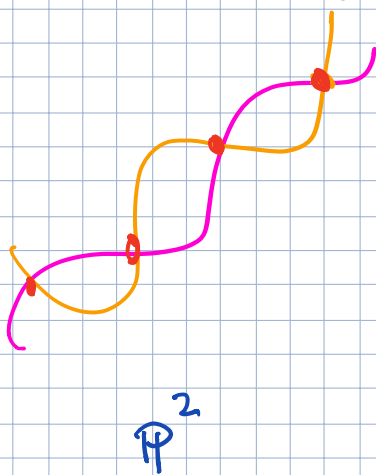
Examples:

- $F = 0 \Leftrightarrow$ plane cubic, ✓ general
- $G = 0 \Leftrightarrow$ plane cubic

$$\mathbb{P}^2 \dashrightarrow \mathbb{P}^1$$

$$z \mapsto [F(z), G(z)]$$

Not defined when $F = G = 0$,
at 9 points



Claim: \exists 12 nodal curves:

(one way) compute: $\chi_{\text{top}}(BE_q \mathbb{P}^2)$

Σ : 12 points.

- resolution X :

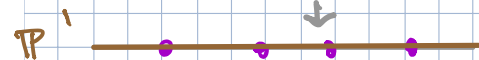
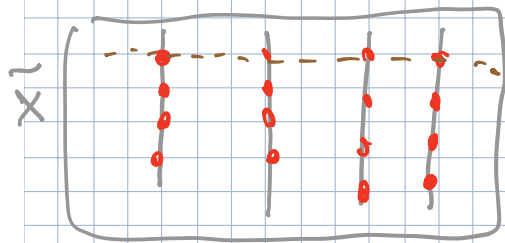
$$\bullet \quad (T^2 \times T^2) / \mathbb{Z}/2\mathbb{Z} = \bar{X}$$

$$\downarrow$$

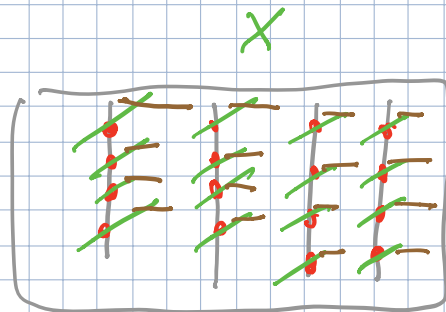
$$\mathbb{P}^1 = T^2 / \mathbb{Z}/2\mathbb{Z}$$

Action:

$$(x, y) \mapsto (-x, -y)$$



Σ :



sections.

- Many examples as hypersurfaces in toric varieties.

- Many examples as CICY

Why study genus one fibrations

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- ▶ Arithmetic: when there is a section (elliptic) fibrations
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- ▶ F-theory "compactifications" ($\Sigma \leftrightarrow$ 7-branes of $II-B$ on B .)

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Theorem (Nakayama)

Elliptic fibrations $X \xleftarrow{\text{bir.}}$ Weierstrass models W .

Example: $\dim(W) = 2$: $W : y^2 = x^3 + f(u)x + g(u), \quad u \in \mathbb{C}$;

$$\Sigma : 4f^3 - 27g^2 = 0.$$

$f(u)$ and $g(u)$ determine:

the type of singularity of W **AND** the fiber over Σ in the minimal resolution $X \rightarrow W$.

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extended Dynkin diagrams when there is a section:

I.4: The List of possible singular fibers.

Kodaira

Before beginning to address the issues mentioned above, I would like to give a series of examples to illustrate some of the features of the theory to the reader. It will be useful for the purposes of illustration and communication for the reader to know the possible singular fibers which can occur, and Kodaira's names for them. This I present below, without proof, simply so that I can speak of them intelligently in the examples to follow.

(I.4.1) Table of possible singular fibers of a smooth minimal elliptic surface. The names are those used by Kodaira.

<u>Name</u>	<u>Fiber</u>
I_0	smooth elliptic curve
I_1	nodal rational curve 
I_2	two smooth rational curves meeting transversally at two points 
I_3	three smooth rational curves meeting in a cycle; a triangle
$I_N, N \geq 3$	N smooth rational curves meeting in a cycle, i.e., meeting with dual graph \tilde{A}_N  
$I_N^*, N \geq 0$	$N+5$ smooth rational curves meeting with dual graph \tilde{D}_{N+4}  
II	a cuspidal rational curve 
III	two smooth rational curves meeting at one point to order 2 
IV	three smooth rational curves all meeting at one point
IV^*	7 smooth rational curves meeting with dual graph \tilde{E}_6
III^*	8 smooth rational curves meeting with dual graph \tilde{E}_7
II^*	9 smooth rational curves meeting with dual graph \tilde{E}_8
$M I_N, N \geq 0$	topologically an I_N , but each curve has multiplicity N

All components of reducible fibers have self-intersection -2 ; the irreducible fibers have self-intersection 0 , of course.

The dual graphs referred to above are those of the extended Dynkin diagrams. For ease of reference I'll give below tables of the Dynkin diagrams and the extended Dynkin diagrams.

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Theorem (Grassi - D. Wen (to appear, 2018))

Let $\tilde{\pi} : \tilde{X} \rightarrow \tilde{B}$ be any genus one fibration. There is a (bir.) commutative diagram:

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$$K_X = \pi^*(K_B + \Delta),$$

$X(\stackrel{\text{bir}}{\sim} \tilde{X})$ with *at most*: \mathbb{Q} -factorial, terminal singularities
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- C. $\dim(\tilde{X}) \leq 3$.

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2. If X is Calabi-Yau: $X \rightleftarrows (B, \Sigma)$.

Definition

X is \mathbb{Q} -factorial if any Weil divisor is \mathbb{Q} -Cartier.

Example

1. \mathbb{Q} -factorial: X , toric: every cone in the fan is simplicial.
2. $X \subset \mathbb{P}^4$ of equation $x_0g_0 + x_1g_1 = 0$ is NOT \mathbb{Q} -factorial

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\rightsquigarrow “non-Calabi-Yau resolvable singularities”

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- Transitions through singularities

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It is important, possible and interesting to work directly with singularities

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Example

Transverse intersections of nodal divisors on 3-folds (\mathbb{Q} -factorial conifold).

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X , Calabi-Yau, $\dim(X) = 3$, X is generally smooth, but not always.

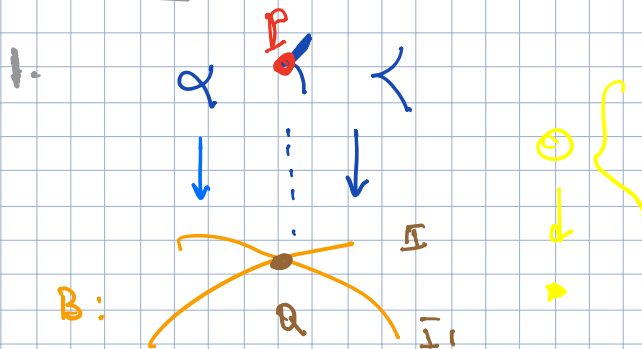
Examples in F-theory literature, where \mathbb{Q} -factorial terminal singularities occur.

Example

Transverse intersections of nodal divisors on 3-folds (\mathbb{Q} -factorial conifold).

It governs general behavior of the Jacobian of genus-one fibrations.

Example: $\dim X = 3$.



• X is smooth except at $P \in \pi^{-1}(Q)$.

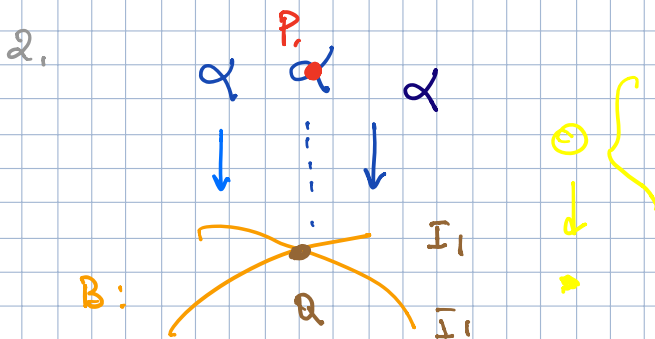
• local equation around P :

$$w_1^3 + w_2^2 + w_3^2 + w_4^2 = 0$$

• If $f: Y \rightarrow X$ is a resolution:

$$K_Y = f^*(K_X) + \sum a_i E_i \quad a_i \geq 0$$

(terminal)



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3. Algebras, representations, geometry

For W :

Kodaira fiber	I_n	II	III	IV	I_n^*	IV^*	III^*	II^*
algebra: A-D-E	$\mathrm{su}(n)$	$\{e\}$	$\mathrm{su}(2)$	$\mathrm{su}(3)$	$\mathrm{so}(2n+8)$	e_6	e_7	e_8

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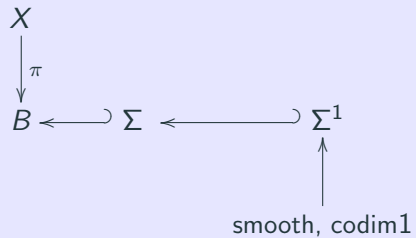
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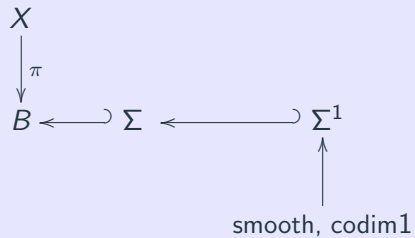
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Conjectures-Theorem (slice): *Brieskorn-Grothendieck, 1970*

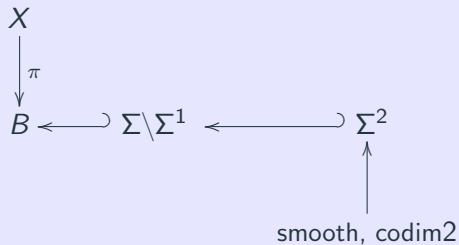
Stratified discriminant locus Σ



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etc.



Math: “A Brieskorn-Grothendieck program”, local, global, local to global

$X \rightarrow B$, X \mathbb{Q} -factorial, klt, B smooth in codimension 2.

- Semi-simple Lie algebras \mathfrak{g} and some of their representations
 \longleftrightarrow geometry of genus one fibrations and degenerations of fibers,
- $\Sigma^1 \longleftrightarrow$ algebras \mathfrak{g}
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Implement it:

1. Verify consistency with physics expectations
2. Prove results in mathematics.

Physics constructions use X smooth;

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Analysis in the String Literature, $\dim(X) = 3$ (from \sim mid 90s)

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Intersections \rightarrow “Cartan” of \mathfrak{g} (roots).

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Deform to nodal fibers.

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• Example (I_2) $\gamma_1 = \gamma_3$

$$J = (1, 0, -1, 0, \dots, 0) \in \mathbb{Z}^n$$



$$\sum J_j [\Gamma_j] = [\Gamma_1] - [\Gamma_3] \leftrightarrow S^2 \in H_2(X)$$

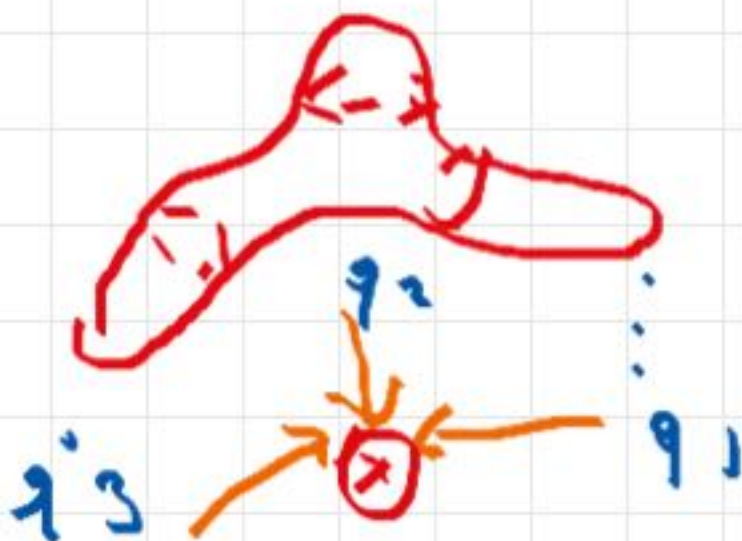
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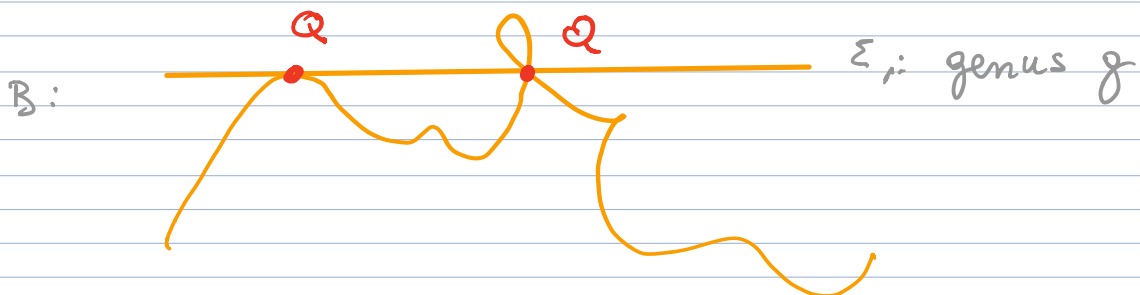
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\downarrow \downarrow
 B

General conditions :



[$\Sigma \supset \Sigma'$
 $\Sigma \supset \Sigma \setminus \Sigma_1 \supset \Sigma^2$ Stratification.

$\Sigma \setminus \Sigma_1$: general.

Σ , stratified \longleftrightarrow Algebras, representations and multiplicity

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$\Sigma^2 \rightarrow$ Tyurina's number of P .

Number	Type	\mathfrak{g}	ρ_0	$\rho_{Q_1^\ell}$	$\rho_{Q_2^\ell}$	$(\dim \text{adj})_{ch}$	$(\dim \rho_0)_{ch}$	$\dim (\rho_{Q_1^\ell})_{ch}$	$\dim (\rho_{Q_2^\ell})_{ch}$
1	I_1	$\{e\}$		–	–	0	0	0	0
2	I_2	$\text{su}(2)$		–	fund	2	0	0	2
3	I_3	$\text{su}(3)$		–	fund	6	0	0	3
4	$I_{2k}, k \geq 2$	$\text{sp}(k)$	Λ_0^2	–	fund	$2k^2$	$2k^2 - 2k$	0	$2k$
5	$I_{2k+1}, k \geq 1$	$\text{sp}(k)$	$\Lambda^2 + 2 \times \text{fund}$	$\frac{1}{2} \text{fund}$	fund	$2k^2$	$2k^2 + 2k$	k	$2k$
6	$I_n, n \geq 4$	$\text{su}(n)$		Λ^2	fund	$n^2 - n$	0	$\frac{1}{2}(n^2 - n)$	n
7	II	$\{e\}$		–		0	0	0	
8	III	$\text{su}(2)$		$2 \times \text{fund}$		2	0	4	
9	IV	$\text{sp}(1)$	$\Lambda^2 + 2 \times \text{fund}$	$\frac{1}{2} \text{fund}$		2	4	1	
10	IV	$\text{su}(3)$		$3 \times \text{fund}$		6	0	9	
11	I_0^*	\mathfrak{g}_2	7	–		12	6	0	
12	I_0^*	$\text{spin}(7)$	vect	–	spin	18	6	0	8
13	I_0^*	$\text{spin}(8)$		vect	spin_\pm	24	0	8	8
14	I_1^*	$\text{spin}(9)$	vect	–	spin	32	8	0	16
15	I_1^*	$\text{spin}(10)$		vect	spin_\pm	40	0	10	16
16	I_2^*	$\text{spin}(11)$	vect	–	$\frac{1}{2} \text{spin}$	50	10	0	16
17	I_2^*	$\text{spin}(12)$		vect	$\frac{1}{2} \text{spin}_\pm$	60	0	12	16
18	$I_n^*, n \geq 3$	$\text{so}(2n+7)$	vect	–	NM	$2(n+3)^2$	$2n+6$	0	NM
19	$I_n^*, n \geq 3$	$\text{so}(2n+8)$		vect	NM	$2(n+3)(n+4)$	0	$2n+8$	NM
20	IV^*	\mathfrak{f}_4	26	–		48	24	0	
21	IV^*	\mathfrak{e}_6		27		72	0	27	
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In **1, 5, 7** : \mathbb{Q} -factorial terminal singularities at P ;

topologically the fiber through P is the same as the general fiber over Σ_1 .

In **7** the singularity induces a non-trivial representation associated to Q_2^ℓ .

4. Local, global invariants, local to global principles, \mathcal{R} and applications

Definition (The charged dimension of ρ)

\mathfrak{h} Cartan of \mathfrak{g} . $(\dim \rho)_{ch} = \dim(\rho) - \dim(\ker \rho|_{\mathfrak{h}})$.

Example: $(\dim \text{adj})_{ch} = \dim \mathfrak{g} - \dim \mathfrak{h} = \dim \mathfrak{g} - rk \mathfrak{h} =$.

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Definition (The Milnor number of P)

$$m(P) = \dim_{\mathbb{C}}(\mathbb{C}\{x_1, \dots, x_{n+1}\} / \langle \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_{n+1}} \rangle).$$

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Saito: $\tau(P) = m(P) \leftrightarrow P$ is a weighted hypersurface singularity.

The formula \mathcal{R} : local to global.

Application: Global to local, *Birational Kodaira classification of “singular fibers”*.

Theorem

$30K_B^2 + \frac{1}{2} (\chi_{top}(X) + \sum_P m(P))$, P singular of X with Milnor number $m(P)$,
is independent of the choice of the particular minimal model X .

Theorem (Local to Global (simplified version))

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Birational extension of Kodaira's classification of singular fibers of relatively minimal elliptic surfaces to higher dimensions (codimension one and two strata):

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The dimensions of the representations are birational invariants of the minimal model of the elliptic fibrations.

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Need: Poincaré duality (with singularities).

Also $m(P)$ is a birational invariant of the minimal model

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- (1) It always holds.
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(2) For Calabi-Yau \Leftrightarrow discrete gauged symmetry (Anderson-Grassi-Gray-Ohelmann)

Cancellations of anomalies unveiled and extended to the singular cases.

The anomaly cancellation requirements lead to:

1. (Global:) $0 = (n_H - n_V + 273 - 29n_T)$ and $0 = (\frac{9-n_T}{8})$
 n_T : tensor multiplets, n_V vector multiplets, n_H hypermultiplets.
2. (Local:) Conditions on Tr_{adj} in adjoint representation, Tr_ρ in *suitable* representations ρ s, with multiplicities, and local geometries around Σ^1 and Σ^2 .

Dictionary:

$$n_V = \dim(G),$$

$$n_T = h^{1,1}(B) - 1 \rightsquigarrow \text{KaDef}(X)$$

$$n_H = H_{ch} + \text{CxDef}(X) + 1,$$

$X \rightarrow B$, X , Calabi-Yau, smooth, B smooth.

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We also verify it in other, different, examples.

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Towards: higher dimensions.

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Consistent quantum theory:

\rightsquigarrow

the “anomalies” of this theory **MUST VANISH**.

Schwarz: ($N=1$ theories in six dimensions with a semisimple group G)

The anomaly polynomial:

$$\kappa \cdot A \cdot \text{tr} R^4 + B \cdot (\text{tr} R^2)^2 + \frac{1}{6} \text{tr} R^2 \sum X_i^{(2)} - \frac{2}{3} \sum X_i^{(4)} + 4 \sum_{i < j} Y_{ij}$$

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$$X_i^{(n)} = \text{Tr}_{\text{adj}} F_i^n - \sum_{\rho} n_{\rho} \text{Tr}_{\rho} F_i^n \quad Y_{ij} = \sum_{\rho, \sigma} n_{\rho\sigma} \text{Tr}_{\rho} F_i^2 \text{Tr}_{\sigma} F_j^2,$$

n_{ρ} is a *suitable* multiplicity in the matter representation, and $n_{i,j}$ multiplicity of representation (ρ, σ) of $G_i \times G_j$.

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The anomaly vanishes if:

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Sadov say (??) can be taken as:

$$\frac{1}{2} \left(\frac{1}{2} K_B \text{tr } R^2 + 2 \sum \mathbf{\Sigma}_i \text{tr } F_i^2 \right) \cdot \left(\frac{1}{2} K_B \text{tr } R^2 + 2 \sum \mathbf{\Sigma}_i \text{tr } F_i^2 \right).$$

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$$-6K_B \cdot \Sigma_i (\text{tr } F_i^2) = -\text{Tr}_{\text{adj}} F_i^2 + \sum_{\rho} n_{\rho} \text{Tr}_{\rho} F_i^2$$

$$3\Sigma_i^2 (\text{tr } F_i^2)^2 = -\text{Tr}_{\text{adj}} F_i^4 + \sum_{\rho} n_{\rho} \text{Tr}_{\rho} F_i^4$$

$$\Sigma_i \cdot \Sigma_j (\text{tr } F_i^2)(\text{tr } F_j^2) = \sum_{\rho, \sigma} n_{\rho\sigma} \text{Tr}_{\rho} F_i^2 \text{Tr}_{\sigma} F_j^2$$

Grothendieck

Brieskorn, ICM, Nice, 1970

Theorem: \bar{G} Lie group/ \mathbb{C} of type A-D-E.

$\exists S \subset X \subset \bar{G}$, $\dim S = 2$,

$\chi|_X : X \rightarrow \mathbb{C}^r$ such that

(i) S has an isolated Du Val singularity, of type A-D-E.

(ii) $\chi|_X : X \rightarrow \mathbb{C}^r$ is a

semiuniversal deformation of S

Example:

$$G = SU(2)$$

$$\tilde{G} = SL(2, \mathbb{C})$$

$$\chi = \bar{G}$$

$$S = (\chi^{-1} \cdot \chi(e_1))$$

$$= \left\{ \begin{bmatrix} 1 + \alpha & \beta \\ \gamma & 1 + \delta \end{bmatrix} \text{ n.t.} \quad \left. \begin{array}{l} \alpha + \delta = 0 \\ \alpha \delta - \gamma \beta = 0 \end{array} \right\}$$

$$S \longleftrightarrow \{z_1^2 + z_2 z_3 = 0\} \subset \mathbb{C}^3$$

Theorem:

$$\begin{array}{ccc} 1. & \exists: W \rightsquigarrow W_0 & \text{deformation s.t.} \\ & \pi \downarrow & \downarrow \pi_0 \\ & \mathfrak{u} & = \mathfrak{u} \end{array}$$

$$\pi_0^{-1}(q) : \text{model } (\bar{J},), \forall q \in \Sigma$$

$$(\text{Recall: } \Sigma = \pi^{-1}(0))$$

$$2. J^{(-2)} \stackrel{\text{def}}{=} \{J \text{ s.t. } \langle J, J \rangle = -2, \alpha(J) = 0\}$$

$$\rightarrow \# J^{(-2)} = \text{roots of Lie algebra}$$

(of the Dynkin diagram classically associated to $\pi^{-1}(0)$.)

$$3. \exists \{\alpha_1, \dots, \alpha_r\} \subset J^{(-2)} \text{ s.t.}$$

$\langle \alpha_j, \alpha_k \rangle$ is the Negative Cartan

↳ We also derive (computer aided) :
entire representation structure
of the Lie algebras.

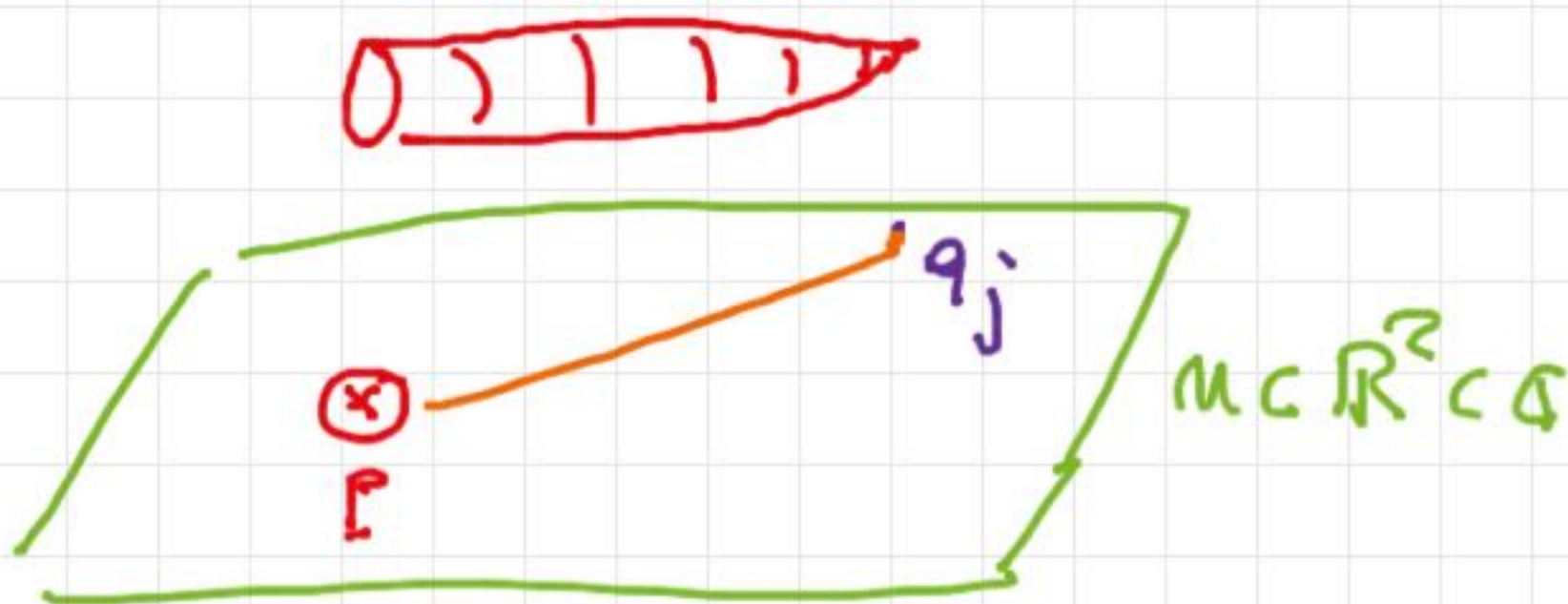
- Application of L_1 :
to higher dimensional elliptic
fibrations

- What is new:

- ↳ DeWolfe - Zwiebach)

- ↳ Bonga - Sauli)

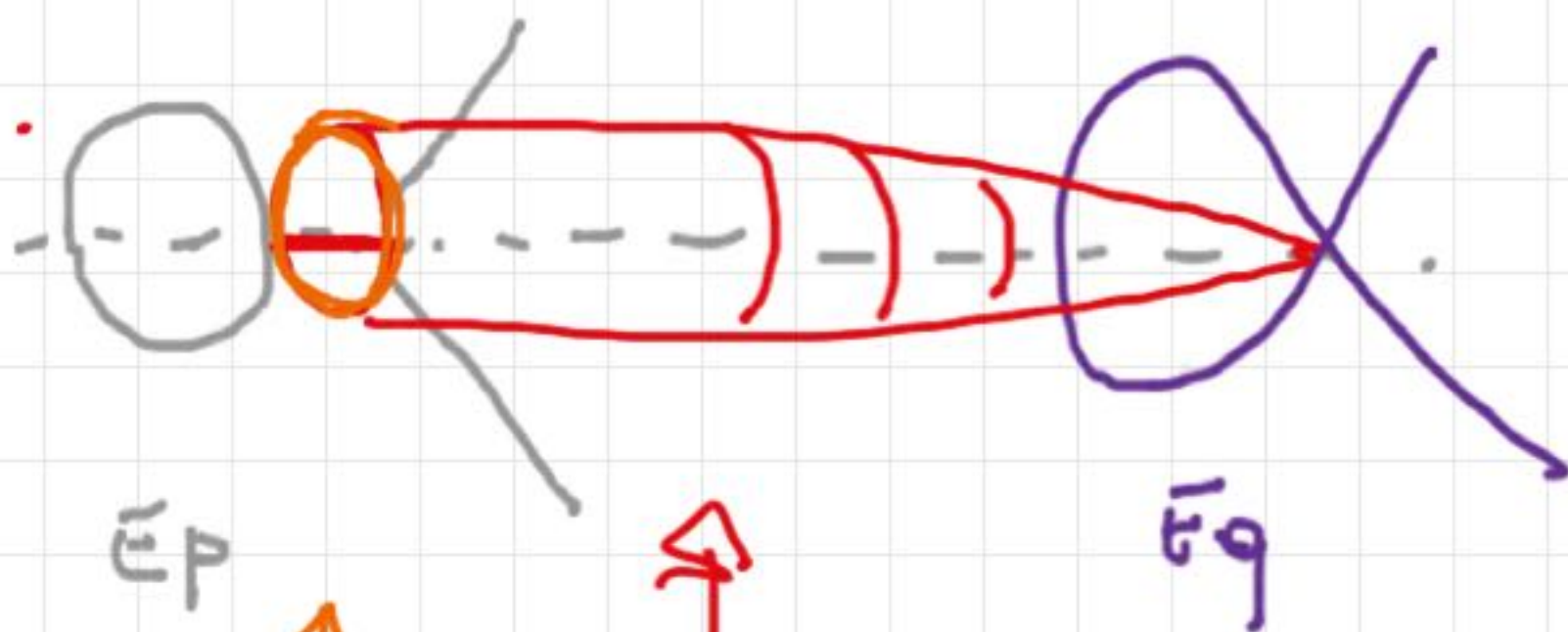
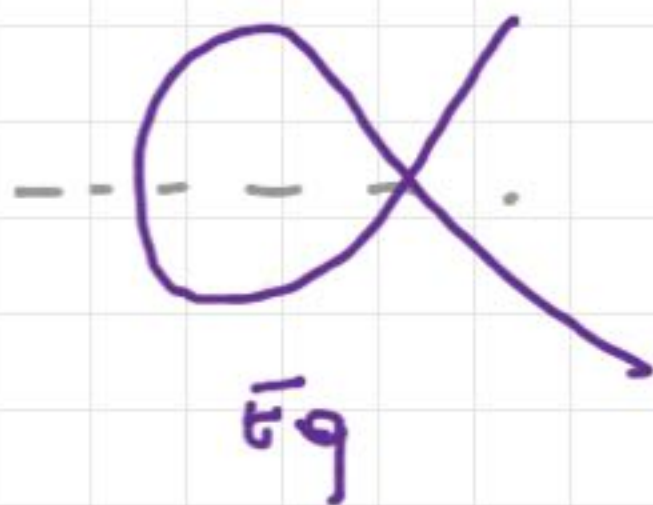
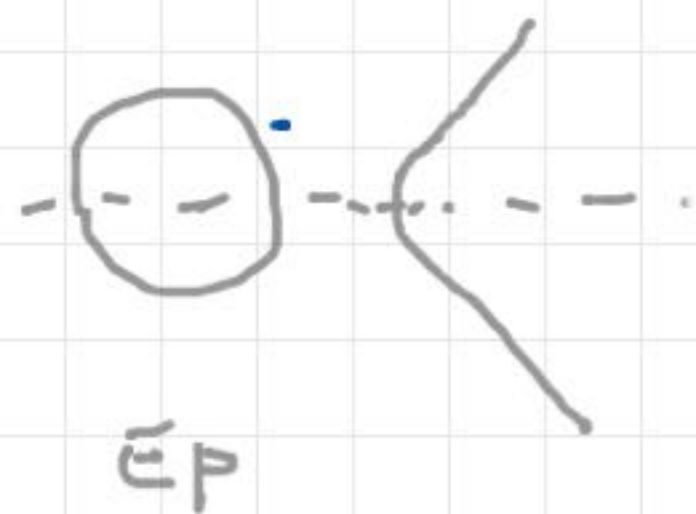
Vanishing cycles determine
thimbles (prongs) in $H_1(X; \mathbb{R})$



Example: $X = W$ Weierstrass equation:
 $y^2 = x^3 + f x + g$
 \downarrow
 u

• E_P : double cover of \mathbb{C} branched at
 3 roots $\oplus \infty$ of RHS

• E_{q_j} : two roots merge.



thimble $\Gamma_j \in H_2(X; \mathbb{R})$
 vanishing cycle $\gamma_j \in H_1(\mathbb{R})$

Proposition: We can explicitly determine γ_j, Γ_j and the above intuitive picture can be made accurate.

As before:



$$\pi^{-1}(p) = \epsilon_p \text{ smooth}$$

$$\pi^{-1}(q_j) = \text{modal curve } j=1, \dots, N$$

Def: $J = (J_1, \dots, J_N) \in \mathbb{Z}^N$, junction.

$$\rightarrow [J]_2 = \sum_{j=1}^N J_j [\Gamma_j] \in H_2(X; \mathbb{Z})$$

$$\rightarrow \partial[J_p] = \sum J_j [\gamma_j] \in H_1(\epsilon_p, \mathbb{Z})$$

Note: $\partial[J_p] = a(J)$

asymptotic change

• Example (I_2) $\gamma_1 = \gamma_3$

$$J = (1, 0, -1, 0, \dots, 0) \in \mathbb{Z}^n$$



$$\sum J_j [\Gamma_j] = [\Gamma_1] - [\Gamma_3] \leftrightarrow S^2 \in H_2(X)$$

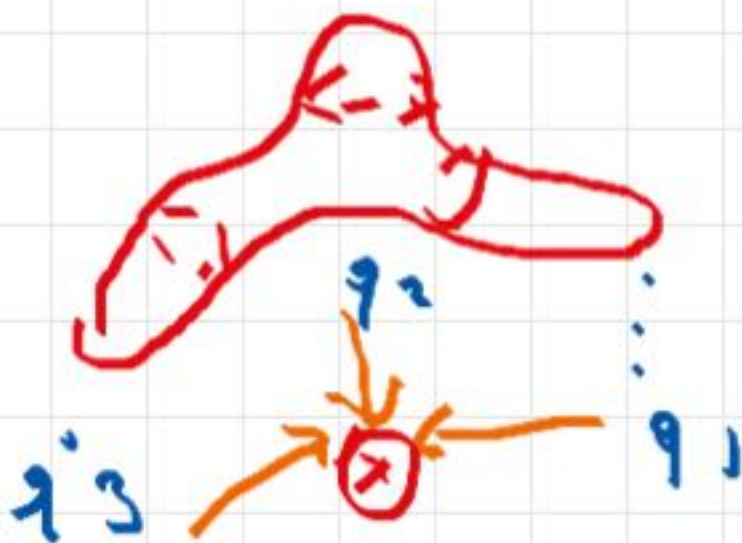
$$\omega(J) = \gamma_1 - \gamma_3 = 0 \in H_1(X)$$

• Example (Π_1) : $\gamma_1 + \gamma_2 + \gamma_3 = 0$

$$J = (1, 1, 1, 0, \dots, 0)$$

$$\sum J_j [\Gamma_j] = [\Gamma_1 + \Gamma_2 + \Gamma_3] \leftrightarrow S^2 \in H_2(X)$$

$$\omega(J) = \gamma_1 + \gamma_2 + \gamma_3 = 0$$



Theorem: $a(J)=0$

• $\rightarrow \sum J_j [\Gamma_j] \in H_2(X, \mathbb{Z}).$

Def: $\langle J, J \rangle = \sum_{j > k \geq 2} J_k J_j \gamma_k \gamma_j - \sum J_k^2$

intersection in $H_1(E, \mathbb{Z})$

intersection over Σ .

Theorem: If $a(J) = 0$:

$\langle J, J \rangle = [J] \cdot [J]$, topological intersection in $H_2(X, \mathbb{Z})$.

Note: there is an induced pairing:

$$\langle J, K \rangle = \frac{1}{2} \langle J+K, J+K \rangle - \langle J, J \rangle - \langle K, K \rangle$$

\rightarrow If $a(J) = a(K) = 0 \rightarrow \langle J, K \rangle = [J] \cdot [K]$